

Finitary reducibility on equivalence relations

Russell Miller & Keng Meng Ng

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Abstract

We introduce the notion of finitary computable reducibility on equivalence relations on the domain ω . This is a weakening of the usual notion of computable reducibility, and we show it to be distinct in several ways. In particular, whereas no equivalence relation can be Π_{n+2}^0 -complete under computable reducibility, we show that, for every n , there does exist a natural equivalence relation which is Π_{n+2}^0 -complete under finitary reducibility. We also show that our hierarchy of finitary reducibilities does not collapse, and illustrate how it sharpens certain known results. Along the way, we present several new results which use computable reducibility to establish the complexity of various naturally defined equivalence relations in the arithmetical hierarchy. We also refute a possible generalization of Myhill's Theorem.

1 Introduction to Computable Reducibility

Computable reducibility provides a natural way of measuring and comparing the complexity of equivalence relations on the natural numbers. Like most notions of reducibility on sets of natural numbers, it relies on the concept of Turing computability to rank objects according to their complexity, even when those objects themselves may be far from computable. It has found particular usefulness in computable model theory, as a measurement of the classical property of being isomorphic: if one can computably reduce the isomorphism problem for computable models of a theory T_0 to the isomorphism problem for computable models of another theory T_1 , then it is reasonable to say that isomorphism on models of T_0 is no more difficult than on models of T_1 . The related notion of Borel reducibility was famously applied this way by Friedman and Stanley in [10], to study the isomorphism problem on all countable models of a theory. Yet computable reducibility has also become the subject of study in pure computability theory, as a way of ranking various well-known equivalence relations arising there.

The purpose of this article is twofold. First, we present several new results which use computable reducibility to establish the complexity of various naturally defined equivalence relations in the arithmetical hierarchy. In doing so, we continue the program of work already set in motion in [6, 2, 11, 5, 1, 12]

and augment their results. However, as part of our efforts, we came to consider certain reducibilities weaker than computable reducibility, and we use this article as an opportunity to introduce these new, finitary notions of reducibility on equivalence relations, and to explain some of their uses. We believe that researchers familiar with computable reducibility will find finitary reducibility to be a natural and appropriate measure of complexity, not to supplant computable reducibility but to enhance it and provide a finer analysis of situations in which computable reducibility fails to hold.

Computable reducibility is readily defined. It has gone by many different names in the literature, having been called m -reducibility in [2, 11, 1] and FF-reducibility in [7, 9, 8], in addition to a version on first-order theories which was called Turing-computable reducibility (see [3, 4]).

Definition 1.1 *Let E and F be equivalence relations on ω . A reduction from E to F is a function $g : \omega \rightarrow \omega$ such that*

$$\forall x, y \in \omega [x E y \iff g(x) F g(y)]. \quad (1)$$

We say that E is computably reducible to F , written $E \leq_c F$, if there exists a reduction from E to F which is Turing-computable. More generally, for any Turing degree \mathbf{d} , E is \mathbf{d} -computably reducible to F if there exists a reduction from E to F which is \mathbf{d} -computable.

There is a close analogy between this definition and that of *Borel reducibility*: in the latter, one considers equivalence relations E and F on the set 2^ω of real numbers, and requires that the reduction g be a Borel function on 2^ω . In another variant, one requires g to be a continuous function on reals (i.e., given by a Turing functional Φ^Z with an arbitrary real oracle Z), thus defining *continuous reducibility* on equivalence relations on 2^ω .

So a reduction from E to F maps every element in the field of the relation E to some element in the field of F , respecting these equivalence relations. Our new notions begin with *binary computable reducibility*. In some situations, while it is not possible to give a computable reduction from E to F , there does exist a computable function which takes each pair $\langle x_0, x_1 \rangle$ of elements from the field of E and outputs a pair of elements $\langle y_0, y_1 \rangle$ from that of F such that $y_0 F y_1$ if and only if $x_0 E x_1$. Likewise, an *n -ary computable reduction* accepts n -tuples \vec{x} from the field of E and outputs n -tuples \vec{y} from F with $(x_i E x_j \iff y_i F y_j)$ for all $i < j < n$, and a *finitary computable reduction* does the same for all finite tuples. Intuitively, a computable reduction (as in Definition 1.1) does this not just for finite tuples, but for all elements from the field of E simultaneously. A computable reduction clearly gives us a computable finitary reduction, and hence a computable n -reduction for every n . (For $n = 2$, the reader may have noticed that binary computable reducibility is equivalent to m -reducibility from the set E to the set F .)

At first we did not expect much from this new notion, but we found it to be of increasing interest as we continued to examine it. This paper proceeds much as our investigations proceeded. First, in Section 2, we present the equivalence

relations on ω which we set out to study. We derive a number of results about them, and by the time we reach Proposition 2.7, it should seem clear to the reader how the notion of finitary reducibility arose for us, and why it seems natural in this context. The exact definitions of n -ary and finitary reducibility appear as Definition 3.1. In Sections 3 and 4, we study finitary reducibility in its own right. We produce natural Π_{n+2}^0 equivalence relations defined by equality among Σ_n^0 sets, which are complete under finitary reducibility among all Π_{n+2}^0 equivalence relations, a result of particular interest since it is known that, precisely when $m \geq 2$, no equivalence relation can be Π_m^0 -complete under computable reducibility. Subsequently we show that the hierarchy of n -ary reducibilities does not collapse, and indeed exhibit a standard equivalence relation which is Π_2^0 -complete under 3-ary reducibility but not under 4-ary reducibility. Finally, in Section 5, we establish some further results on computable reducibility, including a proof that Myhill's Theorem does not apply to the relation of computable reducibility, even in a very simple context.

2 Natural Equivalence Relations on ω

The following definition introduces several natural equivalence relations which we will consider in this section. Here, for a set $A \subseteq \omega$, we write $A^{[n]} = \{x : \langle x, n \rangle \in A\}$ for the n -th column of A when ω is viewed as the two-dimensional array ω^2 under the standard computable pairing function $\langle \cdot, \cdot \rangle$ from ω^2 onto ω .

Definition 2.1 *First we define several equivalence relations on 2^ω .*

- $E_{perm} = \{\langle A, B \rangle \mid (\exists \text{ a permutation } p : \omega \rightarrow \omega)(\forall n)A^{[n]} = B^{[p(n)]}\}.$
- $E_{Cof} = \{\langle A, B \rangle \mid \text{For every } n, A^{[n]} \text{ is cofinite iff } B^{[n]} \text{ is cofinite}\}.$
- $E_{Fin} = \{\langle A, B \rangle \mid \text{For every } n, A^{[n]} \text{ is finite iff } B^{[n]} \text{ is finite}\}.$

Each of these relations induces an equivalence relation on ω , by restricting to the c.e. subsets of ω and then allowing the index e to represent the set W_e , under the standard indexing of c.e. sets. The superscript "ce" denotes this, so that, for instance,

$$E_{perm}^{ce} = \{\langle i, j \rangle \mid (\exists \text{ a permutation } p : \omega \rightarrow \omega)(\forall n)W_i^{[n]} = W_j^{[p(n)]}\}.$$

Similarly we define E_{Cof}^{ce} and E_{Fin}^{ce} , and also the following two equivalence relations on ω (where the superscripts denote oracle sets, so that $W_i^D = \text{dom}(\Phi_i^D)$):

- $E_{=}^n = \{\langle i, j \rangle \mid W_i^{\emptyset^{(n)}} = W_j^{\emptyset^{(n)}}\}, \text{ for each } n \in \omega.$
- $E_{max}^n = \{\langle i, j \rangle \mid \max W_i^{\emptyset^{(n)}} = \max W_j^{\emptyset^{(n)}}\}, \text{ for each } n \in \omega.$

In E_{max}^n , for any two infinite sets $W_i^{\emptyset^{(n)}}$ and $W_j^{\emptyset^{(n)}}$, this defines $\langle i, j \rangle \in E_{max}^n$, since we consider both sets to have the same maximum $+\infty$.

2.1 Π_4^0 equivalence relations

Here we will clarify the relationship between several equivalence relations occurring naturally at the Π_4^0 level. Recall the equivalence relations E_3 , E_{set} , and Z_0 defined in the Borel theory. Again the analogues of these for c.e. sets are relations on the natural numbers, defined using the symmetric difference Δ :

$$\begin{aligned} i E_3^{ce} j &\iff \forall n [|(W_i)^{[n]} \Delta (W_j)^{[n]}| < \infty] \\ i E_{set}^{ce} j &\iff \{(W_i)^{[n]} \mid n \in \omega\} = \{(W_j)^{[n]} \mid n \in \omega\} \\ i Z_0^{ce} j &\iff \lim_n \frac{|(W_i \Delta W_j) \upharpoonright n|}{n} = 0 \end{aligned}$$

The aim of this section is to show that the situation in the following picture holds for computable reducibility.

$$\begin{array}{c} E_{set}^{ce} \equiv_c E_{perm}^{ce} \equiv_c E_{Cof}^{ce} \equiv_c E_{=}^2 \\ | \\ E_3^{ce} \equiv_c Z_0^{ce} \end{array}$$

Hence all these classes fall into two distinct computable-reducibility degrees, one strictly below the other. Even though no Π_4^0 class is complete under \leq_c , we will show that each of these classes is complete under a more general reduction.

The three classes E_3^{ce} , E_{set}^{ce} and Z_0^{ce} are easily seen to be Π_4^0 . This is not as obvious for E_{perm}^{ce} .

Lemma 2.2 *The relation E_{perm}^{ce} is Π_4^0 , being defined on pairs $\langle e, j \rangle$ by:*

$$\forall k \forall n_0 < \dots < n_k \exists \text{ distinct } m_0, \dots, m_k \forall i \leq k (W_e^{[n_i]} = W_j^{[m_i]}),$$

in conjunction with the symmetric statement with W_j and W_e interchanged.

Proof. Since " $W_e^{[n_i]} = W_j^{[m_i]}$ " is Π_2^0 , the given statement is Π_4^0 , as is the interchanged version. The statements clearly hold for all $\langle e, j \rangle \in E_{perm}^{ce}$. Conversely, if the statements hold, then each c.e. set which occurs at least k times as a column in W_e must also occur at least k times as a column in W_j , and vice versa. It follows that every c.e. set occurs equally many times as a column in each, allowing an easy definition of the permutation p to show $\langle e, j \rangle \in E_{perm}^{ce}$. ■

Theorem 2.3 *E_{perm}^{ce} and E_{set}^{ce} are computably bireducible. (We write $E_{perm}^{ce} \equiv_c E_{set}^{ce}$ to denote this.)*

Proof. For the easier direction $E_{set}^{ce} \leq_c E_{perm}^{ce}$, given a c.e. set A , define uniformly the c.e. set \hat{A} by setting (for each e, i, x) $x \in \hat{A}^{[e, i]}$ iff $x \in A^{[e]}$. That is, we repeat each column of A infinitely many times in \hat{A} . Then $A E_{set} B$ iff

$\widehat{A} E_{\text{perm}} \widehat{B}$. (Since the definition is uniform, there is a computable function g which maps each i with $W_i = A$ to $g(i)$ with $W_{g(i)} = \widehat{A}$. This g is the computable reduction required by the theorem, with $i E_{\text{set}}^{ce} j$ iff $g(i) E_{\text{perm}}^{ce} g(j)$ for all i, j .)

We now turn to $E_{\text{perm}}^{ce} \leq_c E_{\text{set}}^{ce}$. Fix a c.e. set A . We describe a uniform procedure to build \widehat{A} from A . For each x let $F(x)$ be the number of columns $y \leq x$ such that $A^{[x]} = A^{[y]}$. There is a natural computable guessing function $F_s(x)$ such that for every s , $F_s(x) \leq x$ and $F(x) = \limsup_s F_s(x)$.

Associated with x are the c.e. sets $C[x, n]$ for each $n > 0$ and $D[x, i, j]$ for each $i > 0, j \in \omega$, defined as follows. $D[x, i, j]$ is the set D such that

$$D^{[k]} = \begin{cases} A^{[x]}, & \text{if } k = 0, \\ \{0, 1, \dots, j-1\}, & \text{if } k = i, \\ \emptyset, & \text{otherwise.} \end{cases}$$

and $C[x, n]$ is the set C such that

$$C^{[k]} = \begin{cases} A^{[x]}, & \text{if } k = 0, \\ \{0, 1, \dots, \max\{s : F_s(x) \geq n\}\}, & \text{if } k = n, \text{ and } \forall^\infty s (F_s(x) < n), \\ \omega, & \text{if } k = n, \text{ and } \exists^\infty s (F_s(x) \geq n), \\ \emptyset, & \text{otherwise.} \end{cases}$$

Now let \widehat{A} be obtained by copying all the sets $C[x, n]$ and $D[x, i, j]$ into the columns. That is, let $\widehat{A}^{[2^{(x,n)}]} = C[x, n]$ and $\widehat{A}^{[2^{(x,i,j)+1}]} = D[x, i, j]$. Now suppose that $A E_{\text{perm}} B$. We verify that $\widehat{A} E_{\text{set}} \widehat{B}$, writing $C[A, x, n]$, $C[B, x, n]$, $D[A, x, i, j]$, and $D[B, x, i, j]$ to distinguish between the columns of \widehat{A} and \widehat{B} .

Fix x and consider $D[A, x, i, j]$. Since there is some y such that $A^{[x]} = B^{[y]}$ it follows that $D[A, x, i, j] = D[B, y, i, j]$ for every i, j . Now we may pick y such that $F(A, x) = F(B, y)$. It then follows that $C[A, x, n] = C[B, y, n]$ for every $n \leq F(A, x)$, and for $n > F(A, x)$ we have $C[A, x, n] = D[B, y, n, j]$ for some appropriate j . Hence every column of \widehat{A} appears as a column of \widehat{B} . A symmetric argument works to show that every column of \widehat{B} is a column of \widehat{A} .

Now suppose that $\widehat{A} E_{\text{set}} \widehat{B}$. We argue that $A E_{\text{perm}} B$. Fix x and n such that there are exactly n many different numbers $z \leq x$ with $A^{[z]} = A^{[x]}$. We claim that there is some y such that $A^{[x]} = B^{[y]}$ and there are at least n many $z \leq y$ such that $B^{[z]} = B^{[y]}$.

The column $C[A, x, n]$ of \widehat{A} is the set C such that $C^{[0]} = A^{[x]}$ and $C^{[n]} = \omega$. Now $C[A, x, n]$ cannot equal $D[B, y, i, j]$ for any y, i, j since D -sets have every column finite except possibly for the 0th column. So $C[A, x, n] = C[B, y, n]$ for some y . It follows that $A^{[x]} = (C[B, y, n])^{[0]} = B^{[y]}$, and we must have $\limsup_s F_s(B, y) \geq n$. So each $A^{[x]}$ corresponds to a column $B^{[y']}$ of B with $F(B, y') = F(A, x)$. Again a symmetric argument follows to show that each $B^{[y]}$ corresponds to a column $A^{[x]}$ of A with $F(A, x) = F(B, y)$. Hence A and B agree up to a permutation of columns. ■

Theorem 2.4 $E_{\text{Cof}}^{ce} \equiv_c E_{\text{set}}^{ce} \equiv_c E_{=}^2$.

Proof. We first show that $E_{\text{set}}^{ce} \leq_c E_{=}^2$. There is a Σ_3^0 predicate $R(i, x)$ which holds iff $\exists n(W_x^{[n]} = W_i)$. Let $f(x)$ be a computable function such that $R(i, x)$ iff $i \in W_{f(x)}^{\emptyset''}$. It is then easy to verify that $x E_{\text{set}}^{ce} y \Leftrightarrow f(x) E_{=}^2 f(y)$.

Next we show $E_{=}^2 \leq_c E_{\text{Cof}}^{ce}$. There is a single Σ_3^0 predicate R such that for every a, x , we have $a \in W_x^{\emptyset''} \Leftrightarrow R(a, x)$. Since every Σ_3^0 set is 1-reducible to the set $\text{Cof} = \{n : W_n = \text{dom}(\varphi_n) \text{ is cofinite}\}$, let g be a computable function so that $a \in W_x^{\emptyset''} \Leftrightarrow W_{g(a,x)}$ is cofinite. Now for each x we produce the c.e. set $W_{f(x)}$ such that for each $a \in \omega$ we have $W_{f(x)}^{[a]} = \text{dom}(\varphi_{g(a,x)})$. Hence f is a computable function witnessing $E_{=}^2 \leq_c E_{\text{Cof}}^{ce}$.

Finally we argue that $E_{\text{Cof}}^{ce} \leq_c E_{\text{set}}^{ce}$. Given a c.e. set A , and i, n , we let $C(i, n) = [0, i + M + 2] - \{i + 1\}$, where M is the smallest number $\geq n$ such that $M \notin A^{[i]}$. Hence the characteristic function of $C(i, n)$ is a string of $i + 1$ many 1's, followed by a single 0, and followed by $M + 1$ many 1's. Since the least element not in a c.e. set never decreases with time, $C(i, n)$ is uniformly c.e. Note that if $i \neq i'$ then $C(i, n) \neq C(i', n')$. Now let $D(a, b) = [0, a] \cup [a + 2, a + b + 1]$.

Now let \hat{A} be a c.e. set having exactly the columns $\{C(i, n) \mid i, n \in \omega\} \cup \{D(a, b) \mid a, b \in \omega\}$. We verify that $A E_{\text{Cof}} B$ iff $\hat{A} E_{\text{set}} \hat{B}$. Again we write $C(A, i, n), C(B, i, n)$ to distinguish between the different versions. Suppose that $A E_{\text{Cof}} B$. Since $D(a, b)$ appear as columns in both \hat{A} and \hat{B} , it suffices to check the C columns. Fix $C(A, i, n)$. If this is finite then it must equal $D(i, b)$ for some b , and so appears as a column of \hat{B} . If $C(A, i, n)$ is infinite then it is in fact cofinite and so every number larger than n is eventually enumerated in $A^{[i]}$. Hence $B^{[i]}$ is cofinite and so $C(B, i, m)$ is cofinite for some m . Hence $C(A, i, n) = C(B, i, m) = \omega - \{i + 1\}$ appears as a column of \hat{B} . A symmetric argument works to show that each column of \hat{B} appears as a column of \hat{A} .

Now assume that $\hat{A} E_{\text{set}} \hat{B}$. Fix i such that $A^{[i]}$ is cofinite. Then $C(A, i, n) = \omega - \{i + 1\}$ for some n . This is a column of \hat{B} . Since each $D(a, b)$ is finite $C(A, i, n) = C(B, j, m)$ for some j . Clearly $i = j$, which means that $B^{[i]}$ is cofinite. By a symmetric argument we can conclude that $A E_{\text{Cof}} B$. ■

Theorem 2.5 $E_3^{ce} \equiv_c Z_0^{ce}$.

Proof. $E_3^{ce} \leq_c Z_0^{ce}$ was shown in [5, Prop. 3.7]. We now prove $Z_0^{ce} \leq_c E_3^{ce}$. Let $F_s(i, j, n) = \frac{|(W_{i,s} \Delta W_{j,s}) \cap [n]|}{n}$. Note that for each i, j, n , $F_s(i, j, n)$ changes at most $2n$ times. The triangle inequality holds in this case, that is, for every s, x, y, z, n , we have $F_s(x, z, n) \leq F_s(x, y, n) + F_s(y, z, n)$.

Given i, j, n, p where $i < j < n$ and $p > 3$ we describe how to enumerate the finite c.e. sets $C_{i,j,n,p}(k)$ for $k \in \omega$. We write $C(k)$ instead of $C_{i,j,n,p}(k)$. For each k , $C(k)$ is an initial segment of ω with at most $n^2(n + 1)$ many elements.

If $k \geq n$ we let $C(k) = \emptyset$. We enumerate $C(0), \dots, C(n - 1)$ simultaneously. Each set starts off being empty, and we assume that $F_0(i, j, n) < 2^{-p}$. At each stage there will be a number M such that $C(i) = [0, M]$, and for every $k < n$,

$C(k) = [0, M]$ or $[0, M + 1]$. At stage $s > 0$ we act only if $F_s(k, k', n)$ has changed for some $k < k' < n$. Assume s is such a stage. Suppose $C(i) = [0, M - 1]$. We make every $C(k) \supseteq [0, M]$; this is possible as at the previous stage $C(k) = [0, M - 1]$ or $[0, M]$. If $F_s(i, j, n) < 2^{-p}$ then do nothing else. In this case every $C(k)$ is equal to $[0, M]$. Suppose that $F_s(i, j, n) \geq 2^{-p}$. Increase $C(j) = [0, M + 1]$. For each $k \neq i, j$ we need to decide if $C(k) = [0, M]$ or $[0, M + 1]$.

Consider the graph $G_{i,j,n,p,s}$ with vertices labelled $0, \dots, n - 1$. Vertices k and k' are adjacent iff $F_s(k, k', n) < 2^{-(p+k+k'+1)}$, i.e. if $W_k \upharpoonright n$ and $W_{k'} \upharpoonright n$ are close and have small Hamming distance. Since ‘‘closeness’’ is reflexive and symmetric but not transitive, so we consider the connected components of G . It follows easily from the triangle inequality that i and j must lie in different components. If k is in the same component as j we increase $C(k) = [0, M + 1]$ and otherwise keep $C(k) = [0, M]$. This ends the description of the construction.

It is clear that $C_{i,j,n,p}(k)$ is an initial segment of ω with at most $2n \binom{n}{2} = n^2(n+1)$ many elements. For each k , define the set \widehat{W}_k by letting $\widehat{W}_k^{[(i,j,p)]} = C_{i,j,j+1,p}(k) \star C_{i,j,j+2,p}(k) \star C_{i,j,j+3,p}(k) \star \dots$ on column $\langle i, j, p \rangle$, where $i < j$ and $p > 3$. Here $C_{i,j,j+1,p}(k) \star C_{i,j,j+2,p}(k)$ denotes the set X where $X(z) = C_{i,j,j+1,p}(k)(z)$ if $z \leq (j+1)^2(j+2)$ and $X(z+(j+1)^2(j+2)+1) = C_{i,j,j+2,p}(k)(z)$. Essentially this concatenates the sets, with $C_{i,j,j+2,p}(k)$ after the set $C_{i,j,j+1,p}(k)$. The iterated \star operation is defined the obvious way (and \star is associative). We call the copy of $C_{i,j,n,p}(k)$ in $\widehat{W}_k^{[(i,j,p)]}$ the n^{th} block of $\widehat{W}_k^{[(i,j,p)]}$.

We now check that the reduction works. Suppose $W_x \leq_0 W_y$, where $x < y$. Hence we have $\limsup_n F(x, y, n) = 0$. Fix a column $\langle i, j, p \rangle$. We argue that for almost every n , $C_{i,j,n,p}(x) = C_{i,j,n,p}(y)$. There are several cases.

- (i) $\{i, j\} = \{x, y\}$. There exists $n_0 > i, j$ such that for every $n \geq n_0$ we have $F(x, y, n) < 2^{-p}$. Hence $C_{i,j,n,p}(x) = C_{i,j,n,p}(y)$ for all large n .
- (ii) $|\{i, j\} \cap \{x, y\}| = 1$. Assume $i = x$ and $j \neq y$; the other cases will follow similarly. There exists $n_0 > i, j, y$ such that for every $n \geq n_0$ we have $F(x, y, n) < 2^{-(p+x+y+1)}$ and so x, y are adjacent in the graph $G_{i,j,n,p,s}$ where s is such that $F_s(x, y, n)$ is stable. Since j cannot be in the same component as x , we have $C_{i,j,n,p}(x) = C_{i,j,n,p}(y)$.
- (iii) $\{i, j\} \cap \{x, y\} = \emptyset$. Similar to (ii). Since x, y are adjacent in the graph $G_{i,j,n,p,s}$ then we must have $C_{i,j,n,p}(x) = C_{i,j,n,p}(y)$.

Hence we conclude that $\widehat{W}_x \leq_3 \widehat{W}_y$. Now suppose that $\widehat{W}_x \leq_3 \widehat{W}_y$ for $x < y$. Fix $p > 2$ and we have $\widehat{W}_x^{[(x,y,p)]} =^* \widehat{W}_y^{[(x,y,p)]}$. So there is $n_0 > y$ such that $C_{x,y,n,p}(x) = C_{x,y,n,p}(y)$ for all $n \geq n_0$. We clearly cannot have $F(x, y, n) \geq 2^{-p}$ for any $n > n_0$ and so $\limsup_n F(x, y, n) \leq 2^{-p}$. Hence we have $W_x \leq_0 W_y$. ■

Theorem 2.6 $E_{\text{set}}^{ce} \not\leq_c E_3^{ce}$.

Proof. Suppose there is a computable function witnessing $E_{\text{set}}^{ce} \leq_c E_3^{ce}$, and which maps (the index for) a c.e. set A to (the index for) \widehat{A} , so that $A \leq_{\text{set}} B$

iff $\widehat{A} E_3 \widehat{B}$. Given (indices for) c.e. sets A and B , define

$$F_s(A, B) = \begin{cases} \max\{z < x : A(z) \neq B(z)\}, & \text{if } x \text{ enters } A \cup B \text{ at stage } s, \\ \max\{z < s : A(z) \neq B(z)\}, & \text{otherwise.} \end{cases}$$

Here we assume that at each stage s at most one new element is enumerated in $A \cup B$ at stage s . One readily verifies that $F_s(A, B)$ is a total computable function in the variables involved, with $A =^* B$ iff $\liminf_s F_s(A, B) < \infty$.

We define the c.e. sets A, B and C_0, C_1, \dots by the following. Let $A^{[0]} = \omega$ and for $k > 0$ let $A^{[k]} = [0, k - 1]$. Let $B^{[k]} = [0, k]$ for every k . Finally for each i define $C_i^{[k]}$ to equal

$$\begin{cases} [0, j], & \text{if } k = 2j + 1, \\ \omega, & \text{if } k = 2j \text{ and } \exists^\infty s \left(F_s(\widehat{B}^{[i]}, \widehat{C}_i^{[i]}) = j \right), \\ \left[0, \max\{s : F_s(\widehat{B}^{[i]}, \widehat{C}_i^{[i]}) = j\} \right], & \text{if } k = 2j \text{ and } \forall^\infty s \left(F_s(\widehat{B}^{[i]}, \widehat{C}_i^{[i]}) \neq j \right). \end{cases}$$

By the recursion theorem we have in advance the indices for C_0, C_1, \dots so the above definition makes sense. Fix i . If $\liminf_s F_s(\widehat{B}^{[i]}, \widehat{C}_i^{[i]}) = \infty$ then every column of C_i is a finite initial segment of ω and thus we have $C_i E_{\text{set}} B$. By assumption we must have $\widehat{C}_i E_3 \widehat{B}$ and thus the two sets agree (up to finite difference) on every column. In particular $\liminf_s F_s(\widehat{B}^{[i]}, \widehat{C}_i^{[i]}) < \infty$, a contradiction. Hence we must have $\liminf_s F_s(\widehat{B}^{[i]}, \widehat{C}_i^{[i]}) = j$ for some j . The construction of C ensures that $C_i E_{\text{set}} A$ which means that $\widehat{C}_i E_3^{ce} \widehat{A}$ and so $\widehat{C}_i^{[i]} =^* \widehat{A}^{[i]}$. Since $\liminf_s F_s(\widehat{B}^{[i]}, \widehat{C}_i^{[i]}) < \infty$ we in fact have $\widehat{B}^{[i]} =^* \widehat{C}_i^{[i]} =^* \widehat{A}^{[i]}$. Since this must be true for every i we have $\widehat{B} E_3 \widehat{A}$ and so $B E_{\text{set}} A$, which is clearly false since B has no infinite column. ■

The result of Theorem 2.6 was something of a surprise. We were able to see how to give a basic module for a computable reduction from E_{set}^{ce} to E_3^{ce} , in much the same way that Proposition 3.9 in [5] serves as a basic module for Theorem 3.10 there. In the situation of Theorem 2.6, we were even able to combine finitely many of these basic modules, but not all ω -many of them. The following propositions express this and sharpen our result. One the one hand, Propositions 2.7 and 2.8 and the ultimate Theorem 3.2 show that it really was necessary to build infinitely many sets to prove Theorem 2.6. On the other hand, Theorem 2.6 shows that in this case the proposed basic modules cannot be combined by priority arguments or any other methods.

Proposition 2.7 *There exists a binary reduction from E_{set}^{ce} to E_3^{ce} . That is, there exist total computable functions f and g such that, for every $x, y \in \omega$, $x E_{\text{set}}^{ce} y$ iff $f(x, y) E_3^{ce} g(x, y)$.*

Proof. We begin with a uniform computable ‘‘chip’’ function h , such that, for all i and j , $W_i = W_j$ iff $\exists^\infty s h(s) = \langle i, j \rangle$. Next we show how to define f .

First, for every $k \in \omega$, $W_{f(x,y)}$ contains all elements of every even-numbered column $\omega^{[2k]}$. To enumerate the elements of $W_{g(x,y)}$ from this column, we use h . At each stage $s+1$ for which there is some c such that $h(s)$ is a chip for the sets $W_x^{[k]}$ and $W_y^{[c]}$ (i.e. the k -th and c -th columns of W_x and W_y , respectively, identified effectively by some c.e. indices for these sets), we take it as evidence that these two columns may be equal, and we find the c -th smallest element of $W_{g(x,y),s}^{[2k]}$ and enumerate it into $W_{g(x,y),s+1}$.

The result is that, if there exists some c such that $W_x^{[k]} = W_y^{[c]}$, then $W_{g(x,y)}^{[2k]}$ is cofinite, since the c -th smallest element of its complement was added to it infinitely often, each time $W_x^{[k]}$ and $W_y^{[c]}$ received a chip. (In the language of these constructions, the c -th marker was moved infinitely many times.) Therefore $W_{g(x,y)}^{[2k]} =^* \omega = W_{f(x,y)}^{[2k]}$ in this case. Conversely, if for all c we have $W_x^{[k]} \neq W_y^{[c]}$, then $W_{g(x,y)}^{[2k]}$ is coinfinite, since for each c , the c -th marker was moved only finitely many times, and so $W_{g(x,y)}^{[2k]} \neq^* \omega = W_{f(x,y)}^{[2k]}$. Thus $W_{g(x,y)}^{[2k]} =^* W_{f(x,y)}^{[2k]}$ iff there exists c with $W_x^{[k]} = W_y^{[c]}$.

Likewise, $W_{g(x,y)}$ contains all elements of each odd-numbered column $\omega^{[2k+1]}$, and whenever $h(s)$ is a chip for $W_y^{[k]}$ and $W_x^{[c]}$, we adjoin to $W_{f(x,y),s+1}$ the c -th smallest element of the column $\omega^{[2k+1]}$ which is not already in $W_{f(x,y),s}$. This process is exactly symmetric to that given above for the even columns, and the result is that $W_{f(x,y)}^{[2k]} =^* W_{g(x,y)}^{[2k]}$ iff there exists c with $W_y^{[k]} = W_x^{[c]}$. So we have established that

$$x E_{\text{set}}^{ce} y \iff f(x,y) E_3^{ce} g(x,y)$$

exactly as required. ■

Proposition 2.8 *There exists a ternary reduction from E_{set}^{ce} to E_3^{ce} . That is, there exist total computable functions f , g , and h such that, for all $x, y, z \in \omega$:*

$$\begin{aligned} x E_{\text{set}}^{ce} y &\text{ iff } f(x,y,z) E_3^{ce} g(x,y,z), \\ y E_{\text{set}}^{ce} z &\text{ iff } g(x,y,z) E_3^{ce} h(x,y,z), \text{ and} \\ x E_{\text{set}}^{ce} z &\text{ iff } f(x,y,z) E_3^{ce} h(x,y,z). \end{aligned}$$

Proof. To simplify matters, we lift the notation “ E_{set} ” to a partial order \leq_{set} , defined on subsets of ω by:

$$A \leq_{\text{set}} B \iff \text{every column of } A \text{ appears as a column in } B.$$

So $A E_{\text{set}} B$ iff $A \leq_{\text{set}} B$ and $B \leq_{\text{set}} A$.

Again we describe the construction of individual columns of the sets $W_{f(x,y,z)}$, $W_{g(x,y,z)}$, and $W_{h(x,y,z)}$, using a uniform chip function for equality on columns. First, for each pair $\langle i, j \rangle$, we have a column designated L_{ij}^x , the column where we consider x on the left for i and j . This means that we wish to guess, using the chip function, whether the column $W_x^{[i]}$ occurs as a column in W_y , and also whether it occurs as a column in W_z . We make $W_{f(x,y,z)}$ contain all of this

column right away. For every c , we move the c -th marker in the column L_{ij}^x in both $W_{g(x,y,z)}$ and $W_{h(x,y,z)}$ whenever either:

- the c -th column of W_y receives a chip saying that it may equal $W_x^{[i]}$; or
- the c -th column of W_z receives a chip saying that it may equal $W_x^{[j]}$.

Therefore, these columns in $W_{g(x,y,z)}$ and $W_{h(x,y,z)}$ are automatically equal, and they are cofinite (i.e. $=^* W_{f(x,y,z)}$ on this column) iff either $W_x^{[i]}$ actually does equal some column in W_y or $W_x^{[j]}$ actually does equal some column in W_z .

The result, on the columns L_{ij}^x for all i and j collectively, is the following.

1. $W_{g(x,y,z)}$ and $W_{h(x,y,z)}$ are always equal to each other on these columns.
2. If $W_x \leq_{set} W_y$, then $W_{f(x,y,z)}$, $W_{g(x,y,z)}$, and $W_{h(x,y,z)}$ are all cofinite on each of these columns.
3. If $W_x \leq_{set} W_z$, then again $W_{f(x,y,z)}$, $W_{g(x,y,z)}$, and $W_{h(x,y,z)}$ are all cofinite on each of these columns.
4. If there exist i and j such that $W_x^{[i]}$ does not appear as a column in W_y and $W_x^{[j]}$ does not appear as a column in W_z , then on that particular column L_{ij}^x , $W_{g(x,y,z)}$ and $W_{h(x,y,z)}$ are coinfinite (and equal), hence $\neq^* W_{f(x,y,z)} = \omega$.

This explains the name L^x : these columns collectively ask whether either $W_x \leq_{set} W_y$ or $W_x \leq_{set} W_z$. We have similar columns L_{ij}^y and L_{ij}^z , for all i and j , doing the same operations with the roles of x , y , and z permuted.

We also have columns R_{ij}^z , for all $i, j \in \omega$, asking about W_z on the right – that is, asking whether either $W_x \leq_{set} W_z$ or $W_y \leq_{set} W_z$. The procedure here, for a fixed i and j , sets both $W_{f(x,y,z)}$ and $W_{g(x,y,z)}$ to contain the entire column R_{ij}^z , and enumerates elements of this column into $W_{h(x,y,z)}$ using the chip function. Whenever the column $W_x^{[i]}$ receives a chip indicating that it may equal $W_z^{[c]}$ for some c , we move the c -th marker in column R_{ij}^z in $W_{h(x,y,z)}$. Likewise, whenever the column $W_y^{[j]}$ receives a chip indicating that it may equal $W_z^{[c]}$ for some c , we move the c -th marker in R_{ij}^z in $W_{h(x,y,z)}$. The result of this construction is that the column R_{ij}^z in $W_{h(x,y,z)}$ is cofinite (hence $=^* \omega = W_{f(x,y,z)} = W_{g(x,y,z)}$ on this column) iff at least one of $W_x^{[i]}$ and $W_y^{[j]}$ appears as a column in W_z .

Considering the columns R_{ij}^z for all i and j together, we see that:

1. $W_{f(x,y,z)}$ and $W_{g(x,y,z)}$ are always equal to ω on these columns.
2. If $W_x \leq_{set} W_z$, then $W_{f(x,y,z)}$, $W_{g(x,y,z)}$, and $W_{h(x,y,z)}$ are all cofinite on each of these columns.
3. If $W_y \leq_{set} W_z$, then again $W_{f(x,y,z)}$, $W_{g(x,y,z)}$, and $W_{h(x,y,z)}$ are all cofinite on each of these columns.

4. If there exist i and j such that neither $W_x^{[i]}$ nor $W_y^{[j]}$ appears as a column in W_z , then on that particular column R_{ij}^z , $W_{h(x,y,z)}$ is coinfinite, hence $\neq^* \omega = W_{f(x,y,z)} = W_{g(x,y,z)}$.

Once again, in addition to the columns R_{ij}^z , we have columns R_{ij}^x and R_{ij}^y for all i and j , on which the same operations take place with the roles of x , y , and z permuted.

We claim that the sets $W_{f(x,y,z)}$, $W_{g(x,y,z)}$, and $W_{h(x,y,z)}$ enumerated by this construction satisfy the proposition. Consider first the question of whether every column of W_x appears as a column in W_z . This is addressed by the columns labeled L^x and those labeled R^z (which are exactly the ones whose construction we described in detail.) If every column of W_x does indeed appear in W_z , then the outcomes listed there show that all three of the sets $W_{f(x,y,z)}$, $W_{g(x,y,z)}$, and $W_{h(x,y,z)}$ are cofinite on every one of these columns.

On the other hand, suppose some column $W_x^{[i]}$ fails to appear in W_z . Suppose further that $W_x^{[i]}$ also fails to appear in W_y . Then the column L_{ii}^x has the negative outcome: on this column, we have

$$W_{f(x,y,z)} \neq^* \omega = W_{g(x,y,z)} = W_{h(x,y,z)}.$$

This shows that $\langle f(x,y,z), h(x,y,z) \rangle$ (and also $\langle f(x,y,z), g(x,y,z) \rangle$) fail to lie in E_3^{ce} , which is appropriate, since $\langle x, z \rangle$ (and $\langle x, y \rangle$) were not in E_{set}^{ce} .

The remaining case is that some column $W_x^{[i]}$ fails to appear in W_z , but does appear in W_y . In this case, some column $W_y^{[j]}$ (namely, the copy of $W_x^{[i]}$) fails to appear in W_z , and so the negative outcome on the column R_{ij}^z holds:

$$W_{h(x,y,z)} \neq^* \omega = W_{f(x,y,z)} = W_{g(x,y,z)}.$$

This shows that $\langle f(x,y,z), h(x,y,z) \rangle$ (and also $\langle g(x,y,z), h(x,y,z) \rangle$) fail to lie in E_3^{ce} , which is appropriate once again, since $\langle x, z \rangle$ (and $\langle y, z \rangle$) were not in E_{set}^{ce} .

Thus, the situation $W_x \not\leq_{\text{set}} W_z$ caused $W_{f(x,y,z)}$ and $W_{h(x,y,z)}$ to differ infinitely on some column, whereas if $W_x \leq_{\text{set}} W_z$, then they were the same on all of the columns L^x and R^z . Moreover, if they were the same, then $W_{g(x,y,z)}$ was also equal to each of them on these columns. If they differed infinitely, but $W_x \leq_{\text{set}} W_y$, then $W_{g(x,y,z)}$ was equal to $W_{f(x,y,z)}$ on all those columns; whereas if they differed infinitely and $W_y \leq_{\text{set}} W_z$, then $W_{g(x,y,z)}$ was equal to $W_{h(x,y,z)}$ on all those columns.

The same holds for each of the other five situations: for instance, the columns L^y and R^x collectively give the appropriate outcomes for the question of whether $W_y \leq_{\text{set}} W_x$, while not causing $W_{h(x,y,z)}$ to differ infinitely from either $W_{f(x,y,z)}$ or $W_{g(x,y,z)}$ on any of these columns unless (respectively) $W_z \not\leq_{\text{set}} W_x$ or $W_y \leq_{\text{set}} W_z$. Therefore, the requirements of the proposition are satisfied by this construction. \blacksquare

3 Introducing Finitary Reducibility

Here we formally begin the study of finitary reducibility, building on the concepts introduced in Propositions 2.7 and 2.8. In Theorem 3.2, we will sketch the proof that this construction can be generalized to any finite arity n . That is, we will show that E_{set}^{ce} is n -arily reducible to E_3^{ce} , under the following definition.

Definition 3.1 *An equivalence relation E on ω is n -arily reducible to another equivalence relation F , written $E \leq_c^n F$, if there exists a computable $(n+1)$ -ary function $f : n \times \omega^n \rightarrow \omega$ (called an n -ary reduction from E to F) such that, whenever $i < j < n$, we have*

$$x_i E x_j \iff f(i, \vec{x}) F f(j, \vec{x})$$

for all tuples $\vec{x} = (x_0, \dots, x_{n-1})$ from ω^n .

If such functions exist uniformly for all $n \in \omega$, then E is finitarily reducible to F .

Often it is simplest to think of the n -ary reduction f as a function g from ω^n to ω^n , writing $\vec{y} = g(\vec{x}) = (f(0, \vec{x}), \dots, f(n-1, \vec{x}))$, in which case the condition says

$$(\forall i < n)(\forall j < n) [x_i E x_j \iff y_i F y_j].$$

Then a finitary reduction is just a function from $\omega^{<\omega}$ to $\omega^{<\omega}$, mapping n -tuples \vec{x} to n -tuples \vec{y} , with the above property. Whenever $E \leq_c^{n+1} F$, we also have $E \leq_c^n F$ (by taking $g(\vec{x}) = (h(\vec{x}, x')) \upharpoonright n$, for an $(n+1)$ -reduction h and any fixed x'), and finitary reducibility implies all n -reducibilities.

Unary reducibility is completely trivial, and binary reducibility $E \leq_c^2 F$ is exactly the same concept as m -reducibility on sets $E \leq_m F$, with E and F viewed as subsets of ω via a natural pairing function. For $n > 2$, however, we believe n -ary reducibility to be a new concept. To our knowledge, E_{set}^{ce} and E_3^{ce} form the first example of a pair of equivalence relations on ω proven to be finitarily reducible (or even binarily reducible), but not computably reducible. A simpler example appears below in Proposition 4.1.

Theorem 3.2 *E_{set}^{ce} is finitarily reducible to E_3^{ce} (yet $E_{\text{set}}^{ce} \not\leq_c E_3^{ce}$, by Theorem 2.6).*

Proof. Our proof leans heavily on the details from Propositions 2.7 and 2.8, and we begin by explaining 2.8 so as to make clear our generalization. There the columns L^x can be viewed as a way of asking whether X has anything else in its equivalence class. A negative answer, meaning that $W_x \not\leq_{\text{set}} W_y$ and $W_x \not\leq_{\text{set}} W_z$, clearly implies that neither $\langle x, y \rangle$ nor $\langle x, z \rangle$ lies in E_{set}^{ce} . A positive answer, on the other hand, could fail to imply the \leq_{set} relations, if $W_y \leq_{\text{set}} W_x$, for instance. In Proposition 2.8, such other cases were handled by L^y or similar columns. Here we will give a full argument about the possible equivalence classes into which E_{set} partitions the n given c.e. sets.

For any fixed n , consider each possible partition P of the c.e. sets A_1, \dots, A_n (given by (arbitrary) indices m_0, \dots, m_{n-1} , with $A_k = m_{k-1}$) into equivalence

classes. If P is consistent with E_{set} (that is, if every E_{set} -class is contained in some P -class), then for each i, j with $\langle A_i, A_j \rangle \notin P$, we have two possible relations: either $A_i \not\prec_{set} A_j$ or $A_j \not\prec_{set} A_i$. We consider every possible conjunction of one of these possibilities for each such pair $\langle i, j \rangle$.

We illustrate with an example: suppose $n = 5$ and P has classes $\{A_1, A_2\}$, $\{A_3, A_4\}$, and $\{A_5\}$. One possible conjunction explaining this situation is:

$$\begin{aligned} &A_1 \not\prec_{set} A_3 \ \& \ A_1 \not\prec_{set} A_4 \ \& \ A_2 \not\prec_{set} A_3 \ \& \ A_2 \not\prec_{set} A_4 \ \& \\ &A_1 \not\prec_{set} A_5 \ \& \ A_2 \not\prec_{set} A_5 \ \& \ A_3 \not\prec_{set} A_5 \ \& \ A_4 \not\prec_{set} A_5. \end{aligned}$$

Another possibility is:

$$\begin{aligned} &A_1 \not\prec_{set} A_3 \ \& \ A_1 \not\prec_{set} A_4 \ \& \ A_2 \not\prec_{set} A_3 \ \& \ A_2 \not\prec_{set} A_4 \ \& \\ &A_1 \not\prec_{set} A_5 \ \& \ A_2 \not\prec_{set} A_5 \ \& \ A_3 \not\prec_{set} A_5 \ \& \ A_4 \not\prec_{set} A_5. \end{aligned}$$

For this n and P there are 2^8 such possibilities in all, since there are 8 pairs $i < j$ with $\langle A_i, A_j \rangle \notin P$. If this P is consistent with E_{set} , then at least one of these 2^8 possibilities must hold.

Now, for every partition P of $\{A_1, \dots, A_n\}$ and for every such possible conjunction (with k conjuncts, say), we have an infinite set of columns used in building the sets $\hat{A}_1, \dots, \hat{A}_n$. These columns correspond to elements of ω^k . In the second possible conjunction in the example above, the column for $\langle i_1, \dots, i_k \rangle$ corresponds to the question of whether the following holds.

$$\begin{aligned} &(\exists c \ A_1^{[c]} = A_3^{[i_1]}) \ \text{or} \ (\exists c \ A_1^{[i_2]} = A_4^{[c]}) \ \text{or} \ (\exists c \ A_2^{[i_3]} = A_3^{[c]}) \ \text{or} \ (\exists c \ A_2^{[c]} = A_4^{[i_4]}) \ \text{or} \\ &(\exists c \ A_1^{[c]} = A_5^{[i_5]}) \ \text{or} \ (\exists c \ A_2^{[i_6]} = A_5^{[c]}) \ \text{or} \ (\exists c \ A_3^{[c]} = A_5^{[i_7]}) \ \text{or} \ (\exists c \ A_4^{[c]} = A_5^{[i_8]}). \end{aligned}$$

As before, a negative answer implies that P is consistent with E_{set} on these sets. Conversely, if P is consistent with E_{set} , then at least one of these 2^8 disjunctions (in this example) must fail to hold.

With this framework, the actual construction proceeds exactly as in Proposition 2.8. A uniform chip function guesses whether any of these eight existential (really Σ_3) statements holds. If any one does hold, then all sets \hat{A}_i are cofinite in the column for this P and this conjunction and for $\langle i_1, \dots, i_k \rangle$. If the entire disjunction (as stated here) is false, then $\hat{A}_i =^* \hat{A}_j$ on this column iff $\langle A_i, A_j \rangle \in P$. So, if P is consistent with E_{set} , then we have not caused $\hat{A}_i E_3 \hat{A}_j$ to fail for any $\langle i, j \rangle$ for which $A_i E_{set} A_j$, but we have caused $\hat{A}_i E_3 \hat{A}_j$ to fail whenever $\langle A_i, A_j \rangle \notin P$. (Also, if P is inconsistent with E_{set} , then every disjunction has a positive answer, so every \hat{A}_i is cofinite on each of the relevant columns, and thus they are all $=^*$ there.)

Of course, one of the finitely many possible equivalence relations P on $\{A_1, \dots, A_n\}$ is actually equal to E_{set} there. This P shows that, whenever $\langle A_i, A_j \rangle \notin E_{set}$, we have $\langle \hat{A}_i, \hat{A}_j \rangle \notin E_3$; while the argument above shows that whenever $A_i E_{set} A_j$, neither this P nor any other causes any infinite difference between any of the columns of \hat{A}_i and \hat{A}_j , leaving $\hat{A}_i E_3 \hat{A}_j$. So we have satisfied the requirements of finitary reducibility, in a manner entirely independent of n and of the choice of sets A_1, \dots, A_n . \blacksquare

A full understanding of this proof reveals that it was essential for each disjunction to consider every one of the sets A_1, \dots, A_n . If the disjunction caused $\widehat{A}_1 \neq^* \widehat{A}_2$ on a particular column, for example, by making \widehat{A}_2 coinfinite on that column, then the value of \widehat{A}_p (for $p > 2$) on that column will be either $\neq^* \widehat{A}_1$ or $\neq^* \widehat{A}_2$, and this decision cannot be made at random. In fact, one cannot even just guess from A_p whether or not the relevant column $A_1^{[i]}$ which fails to appear in A_2 appears in A_p ; in the event that it does not appear, \widehat{A}_p may need to be not just coinfinite but actually $=^* \widehat{A}_2$ on that column. Since A_p is included in the disjunction (and in the partition P which generated it), we have instructions for defining \widehat{A}_p : either we choose at the beginning to make it $= \widehat{A}_1 (= \omega)$ on this column, or we choose at the beginning to keep it $= \widehat{A}_2$ there. The partition P is thus essential as a guide. For a finite number n of sets, there are only finitely many P to be considered, but on countably many sets A_1, A_2, \dots (such as the collection W_0, W_1, \dots of all c.e. sets), there would be 2^ω -many possible equivalence relations. Even if we restricted to the Π_4^0 partitions P (which are the only ones that could equal E_{set}^{ce}), we would not know, for a given P , whether \widehat{A}_p should be kept equal to \widehat{A}_1 or to \widehat{A}_2 , since a Π_4^0 relation is too complex to allow effective guessing about whether it contains $\langle 1, p \rangle$ or $\langle 2, p \rangle$.

The concept of n -ary reducibility could prove to be a useful measure of how close two equivalence relations E and F come to being computably reducible. The higher the n for which n -ary reducibility holds, the closer they are, with finitary reducibility being the very last step before actual computable reducibility $E \leq_c F$. The example of E_{set}^{ce} and E_3^{ce} is surely quite natural, and shows that finitary reducibility need not imply computable reducibility. At the lower levels, we will see in Theorem 4.2 that there can also be specific natural differences between n -ary and $(n+1)$ -ary reducibility, at least in the case $n = 3$. Another example at the Π_2^0 level will be given in Proposition 4.1. Right now, though, our first application is to completeness under these reducibilities.

Working with Ivanovski and Nies, we showed in [12, Thm. 3.7 & Cor. 3.8] that no Π_{n+2}^0 equivalence relation can be complete amongst all Π_{n+2}^0 equivalence relations under computable reducibility. However, we now show that, under finitary reducibility, there is a complete Π_{n+2}^0 equivalence relation, for every n . Moreover, the example we give is very naturally defined. We consider, for each n , the equivalence relation $E_{\text{=}}^n = \{(i, j) \mid W_i^{\emptyset^{(n)}} = W_j^{\emptyset^{(n)}}\}$. Clearly $E_{\text{=}}^n$ is a Π_{n+2}^0 equivalence relation. We single out this relation $E_{\text{=}}^n$ because equality amongst c.e. sets (and in general, equality amongst Σ_{n+1}^0 sets) is indisputably a standard equivalence relation and, as n varies, permits coding of arbitrary arithmetical information at the Σ_{n+1}^0 level.

We begin with the case $n = 0$.

Theorem 3.3 *The equivalence relation $E_{\text{=}}^0$ (also known as $=^{ce}$) is complete amongst the Π_2^0 equivalence relations with respect to the finitary reducibility.*

Proof. Fix a Π_2^0 equivalence relation R . We must produce a computable function $f(k, i, \vec{x})$ such that $f(k, -, -)$ gives the k -ary reduction from R to $E_{\text{=}}^0$. Note

that the case $k = 2$ follows trivially from the fact that $E_{\underline{=}}^0$ is Π_2^0 -complete as a set. However the completeness of $E_{\underline{=}}^0$ under \leq_c^k for $k > 2$ does not follow trivially from this. Nevertheless we will mention the strategy for $k = 2$ since it will serve as the basic module.

$k = 2$: The strategy for $k = 2$ is simple. We monitor the stages at which the pair (m_0, m_1) gets a new chip in R . Each time we get a new chip we make $W_{f(2,0,m_0,m_1)} = [0, s]$ and $W_{f(2,1,m_0,m_1)} = [0, s + 1]$ where s is a fresh number. Clearly $m_0 R m_1$ iff $W_{f(2,0,m_0,m_1)} = W_{f(2,1,m_0,m_1)} = \omega$. This will serve as the basic module for the pair (m_0, m_1) .

$k = 3$: We fix the triple m_0, m_1, m_2 . For ease of notation we rename these as $0, 1, 2$ instead. We must build, for $i < 3$, the c.e. set $A_i = W_{f(3,i,m_0,m_1,m_2)}$. Each A_i will have $\binom{3}{2} = 3$ columns, which we denote as $A_i^{a,b}$ for $0 \leq a < b < 3$. That is, $A_i^{[0]} = A_i^{0,1}$, $A_i^{[1]} = A_i^{1,2}$, $A_i^{[2]} = A_i^{0,3}$ and $A_i^{[j]} = \emptyset$ for $j > 2$. We assume that at each stage, at most one pair (i, i') gets a new chip.

Each time we get a $(0, 1)$ -chip we must play the $(0, 1)$ -game, i.e. we set $A_0^{0,1} = [0, s]$ and $A_1^{0,1} = [0, s + 1]$ for a new large number s . Of course $A_2^{0,1}$ must decide what to do on this column; for instance if there are infinitely many $(0, 2)$ -chips then we must make $A_2^{0,1} = A_0^{0,1}$ and if there are infinitely many $(1, 2)$ -chips then we must make $A_2^{0,1} = A_1^{0,1}$. At the next stage where we get an $(i, 2)$ -chip we make $A_2^{0,1} = A_i^{0,1}$. This can be done by padding the shorter column with numbers to match the longer column, and if $A_0^{0,1}$ is made longer then we need to also make $A_1^{0,1}$ longer to keep $A_0^{0,1} \neq A_1^{0,1}$ at every finite stage.

If there are only finitely many $(0, 2)$ -chips and finitely many $(1, 2)$ -chips then $-0R2$ and $-1R2$ and we do not care if $A_2^{0,1} = A_0^{0,1}$ or $A_2^{0,1} = A_1^{0,1}$. Of course A_2 has to be different from both A_0 and A_1 but this will be true at the appropriate columns, i.e. the strategy will ensure that $A_2^{0,2} \neq A_0^{0,2}$ and $A_2^{1,2} \neq A_1^{1,2}$. At some point when the $(i, 2)$ -chips run out we will stop changing the columns $A_0^{0,1}$ and $A_1^{0,1}$ due to having to ensure the correctness of A_2 . Hence the outcome of the $(0, 1)$ -game will be correctly reflected in the columns $A_0^{0,1}$ and $A_1^{0,1}$.

If on the other hand there are infinitely many $(0, 2)$ -chips and only finitely many $(1, 2)$ -chips then we have $0R2$ and $-1R2$. We would have ensured that $A_2^{0,1} = A_0^{0,1}$ (which is important as we must make $A_2 = A_0$). Again we do not care if $A_2^{0,1}$ equals $A_1^{0,1}$.

Lastly if there are infinitely many $(i, 2)$ -chips for each $i < 2$ then the interference of A_2 will force both columns $A_0^{0,1}$ and $A_1^{0,1}$ to be ω . This is acceptable, because $0R1$ must hold (unless R is not an equivalence relation) and so the $(0, 1)$ -game would be played at infinitely many stages anyway.

$k = 4$: Again we fix the elements $0, 1, 2, 3$ and build $A_i^{a,b}$ for $i < 4$ and $0 \leq a < b < 4$. There are now $\binom{4}{2} = 6$ columns in each A_i . The strategy we used above would seem to suggest in this case that every time we get a (i, j) -chip we play the (i, j) -game and match columns $A_i^{a,b}$ and $A_j^{a,b}$ whenever $\{a, b\} \cap \{i, j\} = 1$. At $n = 4$ it is clear that this will not be enough. For instance we could have the equivalence classes $\{0\}, \{1\}, \{2, 3\}$. It could well be that the final $(0, 2)$ -chip came after the final $(1, 2)$ -chip, while the final $(1, 3)$ -chip came after the final $(0, 3)$ -chip. Then $A_2^{0,1}$ would end up equal to $A_0^{0,1}$ while $A_3^{0,1}$

would end up equal to $A_1^{0,1}$. Since $A_0^{0,1} \neq A_1^{0,1}$ this makes $A_2 \neq A_3$, which is not good.

Thus every time (i, j) gets a chip we have to match columns $A_i^{a,b}$ and $A_j^{a,b}$ for every pair a, b except the pair (i, j) . In the above scenario this new rule would force $A_0^{0,1}$ and $A_1^{0,1}$ to increase when a $(2, 3)$ -chip is obtained. The only way this can happen infinitely often is when $2R3$, and either $(0R2$ and $1R3)$ or $(1R2$ and $0R3)$. This cycle means that $0R1$ must also be true, and so the $(0, 1)$ -game would be played infinitely often anyway.

Arbitrary $k \geq 2$: We now fix $k \geq 2$, and fix c.e. sets A_0, \dots, A_{k-1} . We describe how to build $A_i^{a,b}$ for $i < k$ and $0 \leq a < b < k$. At every stage every column $A_i^{a,b}$ is just a finite initial segment of ω . We assume at each stage, at most one chip is obtained. At the beginning enumerate 0 into $A_b^{a,b}$ for every $a < b$. At a particular stage in the construction, if no chip is obtained, do nothing. Otherwise suppose we have an (i, j) -chip. We play the (i, j) -game, i.e. set $A_i^{i,j} = [0, s]$ and $A_j^{i,j} = [0, s + 1]$ for a fresh number s . For each pair a, b such that $(a, b) \neq (i, j)$ we match the columns $A_i^{a,b}$ and $A_j^{a,b}$. What this means is to do nothing if they are currently equal, and if they are unequal, say $|A_i^{a,b}| < |A_j^{a,b}|$, we fill up $A_i^{a,b}$ with enough numbers to make it equal $A_j^{a,b}$. Furthermore if $a = i$ then $A_b^{a,b}$ should also be topped up to have one more element than $A_i^{a,b}$. This ends the construction of the columns $A_i^{a,b}$ and of the sets A_i .

We now verify that the construction works. It is easy to check that at every stage of the construction, and for every $a < b$ and i , we have $|A_a^{a,b}| + 1 = |A_b^{a,b}|$ and $|A_i^{a,b}| \leq |A_b^{a,b}|$. Now suppose that iRj . Then there are infinitely many (i, j) -chips obtained during the construction and each time we play the (i, j) -game and match every other column of A_i and A_j . Hence $A_i = A_j$. Now suppose that $\neg iRj$. We verify that $A_i^{i,j} \neq A_j^{i,j}$. Suppose they are equal, so that they both have to be ω . Let t_0 be the stage where the last (i, j) -chip is issued. Hence $A_i^{i,j} = [0, s]$ and $A_j^{i,j} = [0, s + 1]$ for some fresh number s , and so we have $|A_l^{i,j}| \leq |A_i^{i,j}|$ for every $l \neq j$. Let $t_1 > t_0$ be the least stage such that either $A_i^{i,j}$ or $A_j^{i,j}$ is increased.

Claim 3.4 *If $A_l^{i,j}$ is increased to equal $A_j^{i,j}$ for some $l \neq j$ at some stage $t > t_0$, then at t some (l, c) -chip or (c, l) -chip is obtained with $A_c^{i,j} = A_j^{i,j}$.*

Proof. At t suppose a (i_0, j_0) -chip was issued. At t we have three different kind of actions:

- (i) The (i_0, j_0) -game is played, affecting columns $A_{i_0}^{i_0, j_0}$ and $A_{j_0}^{i_0, j_0}$.
- (ii) For each $(a, b) \neq (i_0, j_0)$, the smaller of the two columns $A_{i_0}^{a,b}$ or $A_{j_0}^{a,b}$ is increased to match the other.
- (iii) $A_b^{i_0, b}$ is increased in the case $a = i_0$ and $A_{i_0}^{i_0, b}$ is smaller than $A_{j_0}^{i_0, b}$, or $A_b^{j_0, b}$ is increased in the case $a = j_0$ and $A_{j_0}^{j_0, b}$ is smaller than $A_{i_0}^{j_0, b}$.

At t the column $A_l^{i,j}$ is increased due to an action of type (i), (ii) or (iii). (i) cannot be because otherwise we have $i_0 = i$ and $j_0 = j$, but we have assumed that no more (i, j) -chips were obtained. It is not possible for (iii) because otherwise $l = j$. Hence we must have (ii) which holds for some $a = i, b = j$. Furthermore $l \in \{i_0, j_0\}$, and letting c be the other element of the set $\{i_0, j_0\}$ we have the statement of the claim. ■

At t_1 we cannot have an increase in $A_j^{i,j}$ without an increase in $A_i^{i,j}$, due to the fact that the two always differ by exactly one element. Hence at t_1 we know that $A_i^{i,j}$ is increased. It cannot be increased by more than one element because the (i, j) -game can no longer be played and we have already seen that $|A_l^{i,j}| \leq |A_j^{i,j}|$ for every l . Hence at t_1 , $A_i^{i,j}$ (and also $A_j^{i,j}$) is increased by exactly one element. Now apply the claim successively to get a sequence of distinct indices $c_0 = i, c_1, c_1, c_2, \dots, c_N = j$ such for every x , at least one (c_x, c_{x+1}) - or (c_{x+1}, c_x) -chip is obtained in the interval between t_0 and t_1 . Hence we have a new cycle of chips beginning with i and ending with j .

Note that at t_1 , $A_i^{i,j}$ was increased to match $A_c^{i,j}$. Thus the construction at t_1 could not have increased the column $A_l^{i,j}$ for any $l \notin \{i, j\}$. Hence after the action at t_1 we again have the similar situation at t_0 , that is, we again have $|A_l^{i,j}| \leq |A_j^{i,j}|$ for every $l \neq j$. If $t_1 < t_2 < t_3 < \dots$ are exactly the stages where $A_i^{i,j}$ or $A_j^{i,j}$ is again increased, we can repeat the claim and the argument above to show that between two such stages we have a new cycle of chips starting with i and ending with j . Since there are only finitely many possible cycles, there is a cycle which appears infinitely often, a contradiction to the fact that R is transitive.

The construction produces a computable function $f(k, i, \vec{x})$ giving the k -ary reduction from the Π_2^0 relation R to E_-^0 . Since the construction is uniform in k , finitary reducibility follows. ■

Next we relativize this proof to an oracle. This will give Π_{n+2}^0 equivalence relations which are complete at that level under finitary reducibility, and will also yield the striking Corollary 3.8 below, which shows that finitary reductions can exist even when full reductions of arbitrary complexity fail to exist.

Corollary 3.5 *For each $X \subseteq \omega$, the equivalence relation E_-^X defined by*

$$i E_-^X j \iff W_i^X = W_j^X$$

is complete amongst all Π_2^X equivalence relations with respect to the finitary reducibility.

Proof. Essentially, one simply relativizes the entire proof of Theorem 3.3 to the oracle X . The important point to be made is that the reduction f thus built is not just X -computable, but actually computable. Since every set W_e^X in question is now X -c.e., the program $e = f(i, k, \vec{x})$ is allowed to give instructions saying “look up this information in the oracle,” and thus to use an X -computable chip function for an arbitrary Π_2^X relation R , without actually needing to use X to determine the program code e . ■

By setting $X = \emptyset^{(n)}$, we get Π_n^0 -complete equivalence relations (under finitary reducibility) right up through the arithmetical hierarchy.

Corollary 3.6 *Each equivalence relation $E_{\underline{=}}^n$ is complete amongst the Π_{n+2}^0 equivalence relations with respect to the finitary reducibility.* ■

This highlights the central role $E_{\underline{=}}^n$ plays amongst the Π_{n+2}^0 equivalence relations; it is complete with respect to the finitary reducibility. A wide variety of Π_{n+2}^0 equivalence relations arise naturally in mathematics (for instance, isomorphism problems for many common classes of computable structures), and all of these are finitarily reducible to $E_{\underline{=}}^n$. In particular, every Π_4^0 equivalence relation considered in this section is finitarily reducible to $E_{\underline{=}}^2$. Indeed, E_3^{ce} is complete amongst Π_4^0 equivalence relations with respect to the finitary reducibility, even though $E_{\underline{=}}^2 \not\leq_c E_3^{ce}$.

Corollary 3.7 *E_3^{ce} is complete amongst the Π_4 equivalence relations with respect to the finitary reducibility.*

Proof. By Theorem 2.4, $E_{\underline{=}}^2$ is computably reducible to E_{set}^{ce} , which is finitarily reducible to E_3^{ce} by Theorem 3.2. The corollary then follows from Corollary 3.6. ■

Allowing arbitrary oracles in Corollary 3.5 gives a separate result. Recall from Definition 1.1 the notion of \mathbf{d} -computable reducibility.

Corollary 3.8 *For every Turing degree \mathbf{d} , there exist equivalence relations E and F on ω such that E is finitarily reducible to F (via a computable function, of course), but there is no \mathbf{d} -computable reduction from E to F .*

Proof. We again recall from [12] that there is no Π_2^0 -complete equivalence relation under \leq_c . The proof there relativizes to any degree \mathbf{d} and any set $D \in \mathbf{d}$, to show that no Π_2^D equivalence relation on ω can be complete among Π_2^D equivalence relations even under \mathbf{d} -computable reducibility. (The authors of [12] use this relativization to show that there is no Π_3^0 -complete equivalence relation, for example, by taking $D = \emptyset'$, but their proof really shows that for every Π_3^0 equivalence relation, there is another one which is not even $\mathbf{0}'$ -computably reducible to the first one.)

Therefore, there exists some Π_2^D equivalence relation E such that $E \not\leq_{\mathbf{d}} E_{\underline{=}}^D$. However, Corollary 3.5 shows that E does have a finitary reduction f to $E_{\underline{=}}^D$ (with f specifically shown to be computable, not just \mathbf{d} -computable). ■

4 Further Results on Finitary Reducibility

4.1 Π_2^0 equivalence relations

Recall the Π_2^0 equivalence relations E_{\min}^{ce} and E_{\max}^{ce} , which were defined by

$$i E_{\min}^{ce} j \iff \min(W_i) = \min(W_j) \quad i E_{\max}^{ce} j \iff \max(W_i) = \max(W_j).$$

(Here the empty set has minimum $+\infty$ and maximum $-\infty$, by definition, while all infinite sets have the same maximum $+\infty$.) It was shown in [5] that $E_{\max}^{ce}, E_{\min}^{ce}$ are both computably reducible to $E_{=}^0 = E_{=}^0$, and $E_{\max}^{ce}, E_{\min}^{ce}$ are incomparable under \leq_c . The proof given there that $E_{\max}^{ce} \not\leq_c E_{\min}^{ce}$ seemed significantly simpler than the proof that $E_{\min}^{ce} \not\leq_c E_{\max}^{ce}$, but no quantitative distinction could be expressed at the time to make this intuition concrete. Now, however, we can use finitary reducibility to distinguish the two results rigorously.

Proposition 4.1 E_{\max}^{ce} is not binarily reducible to E_{\min}^{ce} . However E_{\min}^{ce} is finitarily reducible to E_{\max}^{ce} .

Proof. To show E_{\max}^{ce} is not binarily reducible to E_{\min}^{ce} , let f be any computable total function. We build the c.e. sets W_i, W_j and assume by the recursion theorem that the indices i, j are given in advance. At each stage, $W_{i,s}$ and $W_{j,s}$ will both be initial segments of ω , with $W_{i,0} = W_{j,0} = \emptyset$. Whenever $\max(W_{i,s}) = \max(W_{j,s})$ and $\min(W_{f(0,i,j),s}) = \min(W_{f(1,i,j),s})$, we add the least available element to $W_{i,s+1}$, making the maxima distinct at stage $s+1$. Whenever $\max(W_{i,s}) \neq \max(W_{j,s})$ and $\min(W_{f(0,i,j),s}) \neq \min(W_{f(1,i,j),s})$, we add the least available element to $W_{j,s+1}$, making the maxima the same again. Since the values of $\min(W_{f(0,i,j),s})$ and $\min(W_{f(1,i,j),s})$ can only change finitely often, there is some s with $W_i = W_{i,s}$ and $W_j = W_{j,s}$, and our construction shows that these are both finite initial segments of ω , equal to each other iff $\min(W_{f(0,i,j)}) \neq \min(W_{f(1,i,j)})$. Thus f was not a binary reduction.

To show that E_{\min}^{ce} is finitarily reducible to E_{\max}^{ce} , we must produce a computable function $f(k, i, \vec{x})$ such that $f(k, -, -)$ gives the k -ary reduction from E_{\min}^{ce} to E_{\max}^{ce} . Fixing $k \geq 2$ and indices m_0, \dots, m_k we describe how to build $W_{f(k,i,\vec{m})}$ for each $i < k$. We denote $A_i = W_{f(k,i,\vec{m})}$. We begin with $A_i = \emptyset$ for all i . Each time at a stage s we find a new element enumerated into some $W_{m_i}[s]$ below its current minimum we set $A_j = [0, t + \min W_{m_j}[s]]$ for every $j < k$, where t is a fresh number.

There are only finitely many m_i , so A_j is modified only finitely often. So there exists t such that for every $j < k$, $A_j = [0, t + \min W_{m_j}]$. Hence $\min W_{m_i} = \min W_{m_j}$ iff $\max A_i = \max A_j$. ■

This tells us that $E_{\min}^{ce} \leq_c E_{\max}^{ce}$ is a lot closer to being true than $E_{\max}^{ce} \leq_c E_{\min}^{ce}$. Surprisingly, we found that the Π_2^0 relation E_{\max}^{ce} is complete for the ternary reducibility but not for 4-ary reducibility.

Theorem 4.2 E_{\max}^{ce} is complete for ternary reducibility \leq_c^3 among Π_2^0 equivalence relations, but not so for 4-ary reducibility \leq_c^4 .

Proof. By Theorem 3.3, we may use the relation $E_{=}^0$ of equality of c.e. sets (also known as $=^{ce}$), needing only to show that $E_{=}^0 \leq_c^3 E_{\max}^{ce}$ and that $E_{=}^0 \not\leq_c^4 E_{\max}^{ce}$. First we address the former claim, building a computable 3-reduction $f(n, i, j, k)$ as follows.

For any $i, j, k \in \omega$ and any stage s , let

$$m_{ij,s} = \begin{cases} s, & \text{if } W_{i,s} = W_{j,s}; \\ \min(W_{i,s} \triangle W_{j,s}), & \text{else.} \end{cases}$$

Thus $W_i \neq W_j$ iff $\lim_s m_{ij,s} < \infty$. We define $m_{ik,s}$ and $m_{jk,s}$ similarly for those pairs of sets, and set $f(0, i, j, k)$, $f(1, i, j, k)$ and $f(2, i, j, k)$ to be c.e. indices of the three corresponding sets \widehat{W}_i , \widehat{W}_j , and \widehat{W}_k built by the following construction.

At each stage s , $\widehat{W}_{i,s}$, $\widehat{W}_{j,s}$, and $\widehat{W}_{k,s}$ will each be a distinct finite initial segment of ω . Each time the sets W_i and W_j get a chip (i.e. appear to be equal), we lengthen each of these initial segments to be longer than \widehat{W}_k (but still distinct from each other), so that $\widehat{W}_i = \widehat{W}_j = \omega$ iff $W_i = W_j$, and otherwise they have distinct maxima. Similar arguments apply for i and k , and also for j and k .

Let $\widehat{W}_{i,0} = \{0, 1\}$, $\widehat{W}_{j,0} = \{0\}$, and $\widehat{W}_{k,0} = \emptyset$. At each stage $s + 1$, set $\hat{m}_s = \max(\widehat{W}_{i,s}, \widehat{W}_{j,s}, \widehat{W}_{k,s})$. We first act on behalf of i and j , checking whether $m_{ij,s+1} \neq m_{ij,s}$. If so, then we make $\widehat{W}_i = [0, \hat{m}_s + 3]$ and $\widehat{W}_j = [0, \hat{m}_s + 2]$, so that both are longer than they were before, and if also either $m_{ik,s+1} \neq m_{ik,s}$ or $m_{jk,s+1} \neq m_{jk,s}$, then we set $\widehat{W}_{k,s+1} = [0, \hat{m}_s + 1]$. (Otherwise \widehat{W}_k stays unchanged at this stage.)

If $m_{ij,s+1} = m_{ij,s}$, then we check whether $m_{ik,s+1} \neq m_{ik,s}$. If so, then we make $\widehat{W}_i = [0, \hat{m}_s + 3]$ and $\widehat{W}_k = [0, \hat{m}_s + 2]$, and if also $m_{jk,s+1} \neq m_{jk,s}$, then we set $\widehat{W}_{j,s+1} = [0, \hat{m}_s + 1]$. (Otherwise \widehat{W}_j stays unchanged at this stage.)

Lastly, if $m_{ij,s+1} = m_{ij,s}$ and $m_{ik,s+1} = m_{ik,s}$, then we check whether $m_{jk,s+1} \neq m_{jk,s}$. If so, then we make $\widehat{W}_j = [0, \hat{m}_s + 3]$ and $\widehat{W}_k = [0, \hat{m}_s + 2]$, with \widehat{W}_i staying unchanged. This completes the construction.

Notice first that if $W_i = W_j$, then \widehat{W}_i and \widehat{W}_j were both lengthened at infinitely many stages, so that $\max(\widehat{W}_i) = \max(\widehat{W}_j) = +\infty$. The same holds for W_i and W_k , and also for W_j and W_k , (even though in those cases some of the lengthening may have come at stages at which we acted on behalf of W_i and W_j). On the other hand, if $W_i \neq W_j$, then at least one of these must be distinct from W_k as well. If $W_i \neq W_k$, then \widehat{W}_i was lengthened at only finitely many stages; likewise for \widehat{W}_j if $W_j \neq W_k$. So, if two of these sets were equal but the third was distinct, then the two equal ones gave rise to sets with maximum $+\infty$ and the third corresponded to a finite set. And if all three sets were distinct, then after some stage s_0 none of \widehat{W}_i , \widehat{W}_j , and \widehat{W}_k was ever lengthened again, in which case they are the three distinct initial segments built at stage s_0 , with three distinct (finite) maxima. So we have defined a ternary reduction from E_{\max}^0 to E_{\max}^{ce} .

However, no 4-ary relation exists. We prove this by a construction using the Recursion Theorem, supposing that f were a 4-ary reduction and using indices i, j, k , and l which “know their own values.” We write \widehat{W}_i for $W_{f(0,i,j,k,l)}$, \widehat{W}_j for $W_{f(1,i,j,k,l)}$, and so on as usual, having first waited for f to converge on these four inputs. If it converges on them all at stage s , we set $W_{i,s+1} = \{0\}$, $W_{j,s+1} = \{0, 2\}$, $W_{k,s+1} = \{1\}$, and $W_{l,s+1} = \{1, 3\}$.

Thereafter, at any stage $s + 1$ for which $W_{i,s} \neq W_{j,s}$ and $\max(\widehat{W}_{i,s}) \neq \max(\widehat{W}_{j,s})$, we add the next available even number to $W_{i,s+1}$, leaving $W_{i,s+1} = W_{j,s+1} = W_{j,s}$. At any stage $s + 1$ for which $W_{i,s} = W_{j,s}$ and $\max(\widehat{W}_{i,s}) =$

$\max(\widehat{W}_{j,s})$, we add the next available even number to $W_{j,s+1}$, leaving $W_{i,s+1} = W_{i,s} \subsetneq W_{j,s+1}$. Similarly, at any stage $s + 1$ for which $W_{k,s} \neq W_{l,s}$ and $\max(\widehat{W}_{k,s}) \neq \max(\widehat{W}_{l,s})$, we add the next available odd number to $W_{k,s+1}$, leaving $W_{k,s+1} = W_{l,s+1} = W_{l,s}$. At any stage $s + 1$ for which $W_{k,s} = W_{l,s}$ and $\max(\widehat{W}_{k,s}) = \max(\widehat{W}_{l,s})$, we add the next available odd number to $W_{l,s+1}$, leaving $W_{k,s+1} = W_{l,s} \subsetneq W_{l,s+1}$. This is the entire construction.

Now if f is indeed a 4-ary reduction, then it must keep adding elements to both \widehat{W}_i and \widehat{W}_j , since if either of these sets turns out to be finite, then the construction would have built W_i and W_j to contradict f . So in particular, $W_i = W_j = \{0, 2, 4, \dots\}$, and $\max(\widehat{W}_i) = \max(\widehat{W}_j) = +\infty$. Similarly, it must keep adding elements to both \widehat{W}_k and \widehat{W}_l , and so $W_k = W_l = \{1, 3, 5, \dots\}$, and $\max(\widehat{W}_k) = \max(\widehat{W}_l) = +\infty$. But then $W_i \neq W_k$, yet $\max(\widehat{W}_i) = \max(\widehat{W}_k) = +\infty$. So in fact f was not a 4-ary reduction. ■

The preceding proof of the lack of any 4-ary reduction is best understood by the simple argument that, since E_{\max}^{ce} has exactly one Π_2^0 -complete equivalence class (and all its other classes are Δ_2^0) while E_{\leq}^0 has infinitely many Π_2^0 -complete classes, the latter cannot reduce to the former. It requires four distinct elements of the equivalence relation to show this, however, as evidenced by the first half of the proof. One naturally conjectures that a Π_2^0 equivalence relation with exactly two Π_2^0 -complete classes might be complete under \leq_c^4 , but not under \leq_c^5 . In the next subsection we examine this question, and find that this intuition was not correct.

4.2 Distinguishing Finitary Reducibilities

Theorem 4.2 implies that 3-ary and 4-ary reducibility are distinct notions, and it is natural to attempt to extend this result to other finitary reducibilities. Above we suggested that one way to do so might be to create Π_2^0 equivalence relations in which only finitely many of the equivalence classes are themselves Π_2^0 -complete as sets. (We use the class of Π_2^0 -equivalence relations simply because it is the one we found useful in the preceding subsection. The same principle could be applied at the Π_p^0 or other levels, for any p .) Theorem 4.8 below will prove this attempt to be in vain, but the suspicion that n -ary reducibilities are distinct for distinct n turns out to be well-founded, as we will see in Theorem 4.3.

It is not difficult to create a Π_2^0 equivalence relation E on ω having exactly c distinct Π_2^0 -complete equivalence classes. Define $m E n$ iff:

$$(\exists i < m)[m \equiv n \equiv i \pmod{c} \ \& \ \max(W_{\frac{m-i}{c}}) = \max(W_{\frac{n-i}{c}})].$$

This essentially just partitions ω into c distinct classes modulo c , and then partitions each of those classes further using the relation E_{\max}^{ce} . As with E_{\max}^{ce} , we intend here that $\max(W) = \max(V)$ iff W and V are both infinite or both empty or else have the same (finite) maximum. For each $i < c$, the class of those $m \equiv i \pmod{c}$ with $\frac{m-i}{c} \in \mathbf{Inf}$ is Π_2^0 -complete, while every other class is defined

by such an i along with a condition of having either a specific finite maximum (which is a Δ_1^0 condition) or being empty (which is Π_1^0).

However, this E is not complete among Π_2^0 equivalence relations under 4-ary reducibility. To build an F with $F \not\leq_c^4 E$, one uses infinitely many nonconflicting basic modules, one for each e , showing that no φ_e is a 4-ary reduction from F to E . To do this, assign four specific numbers $w = 4e$, $x = 4e + 1$, $y = 4e + 2$ and $z = 4e + 3$ to this module. Wait until all four of these computations converge: $\varphi_e(1, w, x, y, z)$, $\varphi_e(2, w, x, y, z)$, $\varphi_e(3, w, x, y, z)$, and $\varphi_e(4, w, x, y, z)$. (If any diverges, then φ_e is not total, and each of the four inputs is an F -class unto itself.) If the four outputs are all congruent modulo c , then we use the same process which showed that E_{\max}^{ce} is not 4-arily complete for Π_2^0 equivalence relations, since now there is only one Π_2^0 complete class to which $\varphi_e(w)$ and the rest could belong. On the other hand, if, say, $\varphi_e(1, w, x, y, z) \not\equiv \varphi_e(2, w, x, y, z) \pmod{c}$, then these two values lie in distinct E -classes, so we just make $w F x$; similarly for the other five possibilities.

Nevertheless, there is a straightforward procedure for building an equivalence relation which is 4-complete but not 5-complete among Π_2^0 equivalence relations, and it generalizes easily to larger finitary reducibilities as well, showing them all to be distinct.

Theorem 4.3 *For every $n > 1$, there exists a Π_2^0 equivalence relation E which is Π_2^0 -complete under \leq_c^n , but not under \leq_c^{n+1} .*

Corollary 4.4 *For every $n \neq n'$ in ω , n -ary reducibility and n' -ary reducibility do not coincide. ■*

Proof. Start with a computable listing $\{(a_{m,0}, \dots, a_{m,n-1})\}_{m \in \omega}$ of all n -tuples in ω^n , without repetitions. The idea is that E should use the natural numbers $nm, nm + 1, \dots, nm + n - 1$ to copy $=^{ce}$ from the m -th tuple. For $i, j \in \omega$, we define $i E j$ if and only if

$$\exists m [nm \leq i < (n+1)m \ \& \ nm \leq j < (n+1)m \ \& \ a_{m,i-nm} =^{ce} a_{m,j-nm}].$$

The last condition just says that $W_{a_{m,i-nm}} = W_{a_{m,j-nm}}$, which is Π_2^0 . Of course, for each i , only $m = \lfloor \frac{i}{n} \rfloor$ can possibly satisfy the existential quantifier, so this E really is a Π_2^0 equivalence relation. Moreover, it is immediate that $=^{ce}$ has an n -reduction f to E : for each n -tuple $(x_0, \dots, x_{n-1}) \in \omega^n$, just find the unique m with $(a_{m,0}, \dots, a_{m,n-1}) = (x_0, \dots, x_{n-1})$, and set $f(i, x_0, \dots, x_{n-1}) = nm + i$. That f is an n -reduction follows directly from the design of E . But every Π_2^0 equivalence relation F has an n -reduction to $=^{ce}$, since $=^{ce}$ is complete under finitary reducibility, and so our E is complete under \leq_c^n among Π_2^0 equivalence relations.

To show that E is not complete under \leq_c^{n+1} , we show that $=^{ce} \not\leq_c^{n+1} E$. This is surprisingly easy. Fix any $e \in \omega$, and define x_0, \dots, x_n to be the indices of the following programs, using the Recursion Theorem. The programs wait until $\varphi_e(i, x_0, \dots, x_n)$ has converged for every $i \leq n$, say with $\hat{x}_i = \varphi_e(i, x_0, \dots, x_n)$. If all of $\hat{x}_0, \dots, \hat{x}_n$ lie in a single interval $[nm, (n+1)m)$ for some m , then

each program x_i simply enumerates i into its set. Thus we have $x_i \neq^{ce} x_j$ for $i < j \leq n$, but some two of $\hat{x}_0, \dots, \hat{x}_n$ must be equal, by the Pigeonhole Principle, and hence φ_e was not an $(n+1)$ -reduction. On the other hand, if there exist $j < k \leq n$ for which \hat{x}_j and \hat{x}_k do not lie in the same interval $[nm, (n+1)m)$, then no program x_i ever enumerates anything. In this case we have $x_j =^{ce} x_k$, since both are indices of the empty set, yet $\langle \hat{x}_j, \hat{x}_k \rangle \notin E$ by the definition of E . Therefore, no φ_e can be an $(n+1)$ -reduction, and so $=^{ce} \not\leq_c^{n+1} E$. \blacksquare

This proof of Theorem 4.3 is readily adapted to other levels of the arithmetic hierarchy. Recall first the following fact.

Proposition 4.5 *For every $p \geq 0$, there exists a Σ_p^0 equivalence relation which is complete under finitary reducibility $\leq_c^{<\omega}$ among Σ_p^0 equivalence relations, and a Π_p^0 equivalence relation which is complete under $\leq_c^{<\omega}$ among Π_p^0 equivalence relations.*

Proof. For $p = 0$, equality on ω is Σ_0^0 -complete (equivalently, Π_0^0 -complete). For $p > 0$, it is well known that there is an equivalence relation which is Σ_p^0 -complete under full computable reducibility: let $\{V_e : e \in \omega\}$ be a uniform list of the Σ_p^0 sets, and take the closure of $\{(\langle e, i \rangle, \langle e, j \rangle) : \langle i, j \rangle \in V_e\}$ under reflexivity, symmetry, and transitivity. A Π_1^0 -complete equivalence relation under computable reducibility was constructed in [12], and the equivalence relation $\{(i, j) : W_i^{\emptyset^{(p-2)}} = W_j^{\emptyset^{(p-2)}}\}$ is Π_p^0 -complete under $\leq_c^{<\omega}$ for each $p > 1$. \blacksquare

Theorem 4.6 *For every $p \geq 0$ and every $n \geq 2$, there exists a Σ_p^0 equivalence relation which is complete under n -ary reducibility \leq_c^n among Σ_p^0 equivalence relations, but fails to be complete among them under \leq_c^{n+1} . Likewise, there exists a Π_p^0 equivalence relation which is complete under \leq_c^n among Π_p^0 equivalence relations, but not under \leq_c^{n+1} .*

Proof. The $p = 0$ case is trivial: every computable equivalence relation with exactly n equivalence classes clearly satisfies the theorem. Otherwise, the technique is exactly the same as in the proof of Theorem 4.3. For $p > 0$, fix the Σ_p^0 equivalence relation F which is complete among Σ_p^0 equivalence relations under $\leq_c^{<\omega}$, as given in Proposition 4.5. Define $i E j$ if and only if

$$\exists m[nm \leq i < (n+1)m \ \& \ nm \leq j < (n+1)m \ \& \ a_{m,i-nm} F a_{m,j-mn}],$$

again using an effective enumeration $\{(a_{m,0}, \dots, a_{m,n-1}) : m \in \omega\}$ of ω^n . Once again we have an n -reduction from F to E : set $f(i, x_0, \dots, x_{n-1}) = nm + i$, where $(a_{m,0}, \dots, a_{m,n-1}) = (x_0, \dots, x_{n-1})$. And for $p > 0$, the same strategy as in Theorem 4.3 succeeds in showing that no φ_e can be an $(n+1)$ -reduction from F to E , although this must be checked for the different cases. When $p > 0$, for each fixed φ_e , there is a computable reduction to the Σ_p^0 -complete equivalence relation F from the Σ_p^0 equivalence relation which makes $0, \dots, n$ all equivalent if all $\varphi_e(x_i)$ converge to values in the same interval $[nm, n(m+1))$, and leaves them pairwise inequivalent otherwise.

The same argument also works with Π_p^0 in place of Σ_p^0 . Our F , defined exactly the same way, is now a Π_p^0 equivalence relation, and the n -ary reduction from E is also the same. We claim that again $E \not\leq_c^{n+1} F$. For $p > 1$, our F is equality of the sets $W_i^{\emptyset^{(n)}}$ and $W_j^{\emptyset^{(n)}}$, and so the proof in Theorem 4.3 using the Recursion Theorem still works, each c.e. set being also c.e. in $\emptyset^{(n)}$. For $p = 1$, let all the numbers $\leq n$ be equivalent unless, on all of those $(n + 1)$ numbers, φ_e converges to values in the same interval $[nm, n(m + 1))$, in which case they become pairwise inequivalent. This Π_1^0 equivalence relation must have a computable reduction to the Π_1^0 -complete equivalence relation F , which therefore cannot have any $(n + 1)$ -ary reduction to E . ■

Finally, we adapt Theorem 4.3 to compare finitary reducibility with full computable reducibility. Of course, it is already known that equality of $\emptyset^{(n)}$ -c.e. sets is Π_{n+2}^0 -complete under the former, but not under the latter.

Theorem 4.7 *For each $p > 0$, there exists a Σ_p^0 equivalence relation E which is complete under finitary reducibility among Σ_p^0 equivalence relations, but not under computable reducibility.*

Proof. Again, let F be Σ_p^0 -complete under computable reducibility. This time we use an effective enumeration $\{(a_{m,0}, \dots, a_{m,n_m})\}_{m \in \omega}$ of $\omega^{<\omega}$, and define the computable function g by $g(0) = \langle 0, 0 \rangle$, and

$$g(x + 1) = \begin{cases} \langle m, i + 1 \rangle, & \text{if } g(x) = \langle m, i \rangle \text{ with } i < n_m; \\ \langle m + 1, 0 \rangle, & \text{if } g(x) = \langle m, n_m \rangle. \end{cases}$$

We let $x E y$ iff there is an m with $g(x) = \langle m, j \rangle$ and $g(y) = \langle m, k \rangle$ and $a_{m,j} F a_{m,k}$. Since F is Σ_p^0 , so is E , and the finitary reduction from F to E is given by $h(i, x_0, \dots, x_n) = g^{-1}(\langle m, i \rangle)$, where $(x_0, \dots, x_n) = (a_{m,0}, \dots, a_{m,n_m})$. With F Σ_p^0 -complete under \leq_c , this makes E Σ_p^0 -complete under $\leq_c^{<\omega}$. But for each computable total function f (which you think might be a full computable reduction from F to E), there would be a computable reduction to E from a particular slice of F (say the c -th slice) on which we wait until $f(\langle c, 0 \rangle)$ converges to some number $\langle m, k \rangle$, then wait until f has converged on each of $\langle c, 1 \rangle, \dots, \langle c, 1 + n_m \rangle$ as well, and define these $(2 + n_m)$ elements to be in distinct F -classes if f maps each of them to a pair of the form $\langle m, j \rangle$ for the same m , or else all to be in the same F -class if not. As usual, this shows that f cannot have been a computable reduction. ■

So we have answered the basic question. However, the proof did not involve any equivalence relation with only finitely many Π_2^0 -complete equivalence classes, as we had originally guessed it would. Indeed, 4-completeness for Π_2^0 equivalence relations turns out to require a good deal more than just two Π_2^0 -complete equivalence classes, as we now explain.

Say that a total computable function h is a Π_2^0 -approximating function for an equivalence relation E if

$$(\forall x \forall y)[x E y \iff \exists^\infty s h(x, y, s) = 1].$$

(We may assume that h has range $\subseteq \{0, 1\}$. Every Π_2^0 equivalence relation has such a function h .) We say that, under this h , a particular E -class $[z]_E$ is Δ_2^0 if, for all $x, y \in [z]_E$, we have $\lim_s h(x, y, s) = 1$. Of course, if $x \in [z]_E$ and $y \notin [z]_E$, then $\lim_s h(x, y, s) = 0$, so in this case the class $[z]_E$ really is Δ_2^0 , uniformly in any single element x in the class. On the other hand, even if $[z]_E$ is not Δ_2^0 under this h , it could still be a Δ_2^0 set, under some other computable approximation. For this reason, our next theorem does not preclude the possibility that cofinitely many E -equivalence classes might be Δ_2^0 , but it does say that cofinitely many classes cannot be uniformly limit-computable.

For an example of these notions, let E be the relation E_{\max}^{ce} , saying of i and j that W_i and W_j have the same maximum. More formally, $i E_{\max}^{ce} j$ iff

$$(\forall x \forall s \exists t \exists y \geq x)[(x \in W_{i,s} \implies y \in W_{j,t}) \ \& \ (x \in W_{j,s} \implies y \in W_{i,t})].$$

We can define h here by letting $h(i, j, s) = 1$ when either $\max(W_{i,s}) = \max(W_{j,s})$ or else $\max(W_{i,s}) > \max(W_{i,t})$ and $\max(W_{j,s}) > \max(W_{j,t})$ (where t is the greatest number $< s$ with $h(i, j, t) = 1$), and taking $h(i, j, s) = 0$ otherwise. Then the E_{\max}^{ce} -class \mathbf{Inf} of those i with W_i infinite is the only class which fails to be Δ_2^0 under this h , and since the set \mathbf{Inf} is in fact Π_2^0 -complete, it cannot be Δ_2^0 under any other h either. Recall that E_{\max}^{ce} is complete among Π_2^0 equivalence relations under \leq_c^3 , but not under \leq_c^4 . The following theorem generalizes this result.

Theorem 4.8 *Suppose that E is complete under \leq_c^4 among Π_2^0 equivalence relations. Let h be any computable Π_2^0 -approximating function for E . Then E must contain infinitely many equivalence classes which are not Δ_2^0 under this h .*

Proof. Suppose that z_0, \dots, z_n were numbers such that $\langle z_i, z_j \rangle \notin E$ for each $i < j$, and such that every E -class except these $(n+1)$ classes $[z_i]_E$ is Δ_2^0 under h . For each e , we will build four c.e. sets which show that φ_e is not a 4-reduction from the relation $=^{ce}$ to E . (Recall that $i =^{ce} j$ iff $W_i = W_j$, and that this Π_2^0 -equivalence relation is complete under finitary reducibility, making it a natural choice to show 4-incompleteness of E .)

Fix any e , and choose four fresh indices a, b, c and d of c.e. sets $A = W_a$, $B = W_b$, $C = W_c$, and $D = W_d$, which we enumerate according to the following instructions. First, we wait until $\varphi_e(i, a, b, c, d)$ has converged for each $i < 4$. (By the Recursion Theorem, these indices may be assumed to know their own values.) Set $\hat{a} = \varphi_e(0, a, b, c, d)$, $\hat{b} = \varphi_e(1, a, b, c, d)$, etc. If φ_e is a 4-reduction, then $A = B$ iff $\hat{a} E \hat{b}$, and $A = C$ iff $\hat{a} E \hat{c}$, and so on.

At an odd stage $2s+1$, we first compare \hat{a} and \hat{b} , using the computable Π_2^0 -approximating function h for E . If $h(\hat{a}, \hat{b}, s) = 1$ and $A_{2s} = B_{2s}$, then we add to A_{2s+1} some even number not in B_{2s} , so $A_{2s+1} \neq B_{2s+1}$. On the other hand, if $h(\hat{a}, \hat{b}, s) = 0$ and $A_{2s} \neq B_{2s}$, then we make $A_{2s+1} = B_{2s+1} = A_{2s} \cup B_{2s}$. (The purpose of these maneuvers is to ensure that $\lim_s h(\hat{a}, \hat{b}, s)$ diverges, so that \hat{a} and \hat{b} lie in one of the properly Π_2^0 E -classes.)

Next we do exactly the same procedure with \hat{c} and \hat{d} in place of \hat{a} and \hat{b} , and using a new odd number if needed, instead of a new even number. This completes stage $2s + 1$, ensuring that $\lim_s h(\hat{c}, \hat{d}, s)$ also diverges.

At an even stage $2s + 2$, we fix the $i \leq n$ such that $h(\hat{a}, z_i, s') = 1$ for the greatest possible $s' \leq s$, and similarly the $j \leq n$ such that $h(\hat{c}, z_j, s'') = 1$ for the greatest possible $s'' \leq s$. (If there are several such i , choose the least; likewise for j . If there is no such i or no such j , then we do nothing at this stage.) If $i = j$, then add a new even number to both A_{2s+2} and B_{2s+2} , thus ensuring that they are both distinct from C_{2s+2} and D_{2s+2} (and keeping $A_{2s+2} = B_{2s+2}$ iff $A_{2s+1} = B_{2s+1}$). If $i \neq j$, then we add all the even numbers in A_{2s+1} to both C_{2s+2} and D_{2s+2} , and add all the odd numbers in C_{2s+1} to both A_{2s+2} and B_{2s+2} . (This is the only step in which even numbers are enumerated into C or D , or odd numbers into A or B .) This completes stage $2s + 2$, and the construction.

We claim first that the odd stages succeeded in their purpose of making \hat{a} , \hat{b} , \hat{c} , and \hat{d} all belong to properly Π_2^0 E -classes. At each stage $2s + 1$ such that $h(\hat{a}, \hat{b}, s) = 1$, we made A_{2s+1} contain a new even number, which only subsequently entered B if $A_{2s'} = B_{2s'}$ at some stage $s' > s$. Therefore, if $\lim_s h(\hat{a}, \hat{b}, s) = 1$, this even number would show $A \neq B$, yet $\hat{a} E \hat{b}$, so that φ_e would not be a 4-reduction. So there are infinitely many s with $h(\hat{a}, \hat{b}, s) = 0$, and at all corresponding stages $2s + 1$ we made $A_{2s+1} = B_{2s+1}$, which implies $A = B$. If φ_e is a 4-reduction, then we must have $\hat{a} E \hat{b}$, so there were infinitely (but also coinfinately) many s with $h(\hat{a}, \hat{b}, s) = 1$. Therefore $\lim_s h(\hat{a}, \hat{b}, s)$ diverged, and so the E -class of \hat{a} must be one of the $[z_i]_E$ with $i \leq n$, with \hat{b} lying in the same class. We now fix this i . A similar analysis on \hat{c} and \hat{d} shows that they both lie in one particular E -class $[z_j]_E$ with $j \leq n$, and that $C = D$.

Recall that z_0, \dots, z_n were chosen as representatives of distinct E -classes. Therefore, there must exist some stage s_0 such that, at all stages $s > s_0$, we had $h(\hat{a}, z_k, s) = 0 = h(\hat{b}, z_k, s)$ for every $k \neq i$, and also $h(\hat{c}, z_k, s) = 0 = h(\hat{d}, z_k, s)$ for every $k \neq j$. Moreover, we know that $i = j$ iff $z_i E z_j$. If indeed $i = j$, then at every even stage $> 2s_0$ we were in the $i = j$ situation, and we added a new even number to A and B at each such stage, while no even numbers were added to either C or D at any stage $> 2s_0$. Therefore, if $i = j$, we would have $A \neq C$, yet $\hat{a} E z_i E \hat{c}$, which would show that φ_e is not a 4-reduction. On the other hand, if $i \neq j$, then at every even stage $> 2s_0$ we were in the $i \neq j$ situation, and so all even numbers ever added to A were subsequently added to both C and D , and all odd numbers in C were subsequently added to both A and B . However, no odd numbers were ever added to A or B except numbers already in C , and no even numbers were ever added to C or D except numbers already in A . So we must have $A = B = C = D$, yet $\hat{a} E z_i$ and $\hat{c} E z_j$, which lie in distinct E -classes. So once again φ_e cannot have been a 4-reduction from $=^{ce}$ to E .

This same argument works for every e (by a separate argument for each; there is no need to combine them), and so $=^{ce} \not\leq_c^4 E$. \blacksquare

It remains open whether an equivalence relation E which is Π_2^0 -complete

under \leq_c^4 might have cofinitely many (or possibly all) of its classes be Δ_2^0 in some nonuniform way.

5 Myhill's theorem

Myhill's Theorem (as stated, for instance, in [13, Theorem I.5.4]) shows that when A and B are subsets of ω , each 1-reducible to the other, then there exists a computable isomorphism between them – which essentially means that a single computable function and its inverse can serve as the 1-reduction in both directions. This is often seen as an effective version of the Cantor-Schröder-Bernstein Theorem from set theory. Since a reduction from E to F on equivalence relations induces an injective function from the E -equivalence classes to the F -classes, it is natural to ask whether a similar result holds for computable reductions. Here we give a negative answer.

Theorem 5.1 *There exist c.e. equivalence relations S and T , each with infinitely many infinite classes, such that $S \equiv_c T$ but there is no computable reduction from S to T which is surjective on equivalence classes.*

Proof. Let $(\omega)_i$ be the set of all numbers of the form $\langle x, i \rangle$. Denote A_i^e as $(\omega)_{\langle e, i \rangle}$. Let $B_i^e = A_i^e$. At the beginning S and T start off with distinct equivalence classes $\{A_i^k \mid k, i \in \omega\}$ and $\{B_i^k \mid k, i \in \omega\}$ respectively. S and T start off exactly the same way, we use A and B to distinguish between the domains of S and T .

We must meet each requirement \mathcal{R}_e , which ensures that if φ_e is a computable reduction mapping elements in $\text{dom}(S)$ to $\text{dom}(T)$ then it is not surjective on the T equivalence classes. Each requirement \mathcal{R}_e will use the classes $\{A_i^k \mid i \in \omega\}$ and $\{B_i^k \mid i \in \omega\}$ for some k .

Let f map each class A_i^k to B_{i+1}^k and g map B_i^k to A_{i+1}^k . We will ensure that f witnesses $S \leq_c T$ and g witnesses $T \leq_c S$.

Construction of S and T . At stage 0 initialize every requirement. This means to reset the follower associated with \mathcal{R}_e (which we will call k_e) for every e . At stage $s > 0$ we pick the smallest $e < s$ such that \mathcal{R}_e requires attention. This means that either \mathcal{R}_e has no associated follower, or φ_e has converged on some element of $A_0^{k_e}$, some element of $A_1^{k_e}$ and some element of $B_0^{k_e}$ has entered the range of φ_e .

First initialize all lower priority requirements. If the former holds we pick a fresh value for k_e . Suppose the latter holds. Suppose $\varphi_e(a_0) \in B_{i_0}^{l_0}$, $\varphi_e(a_1) \in B_{i_1}^{l_1}$ and $\varphi_e(a_2) \in B_0^{k_e}$ for some $a_0 \in A_0^{k_e}$, $a_1 \in A_1^{k_e}$ and $a_2 \in A_{i_2}^{l_2}$.

- (i) The finite restriction of φ_e on $\{a_0, a_1, a_2\}$ is not 1-1 on equivalence classes. That is, for some pair i, j , $a_i S a_j \Leftrightarrow \varphi_e(a_i) T \varphi_e(a_j)$ fails. In this case we do nothing.
- (ii) $(l_0, i_0) = (k_e, 0)$. For each $i \in \omega$, we collapse classes $A_{2i}^{k_e}$ and $A_{2i+1}^{k_e}$ with respect to S , and collapse $B_{2i+1}^{k_e}$ and $B_{2i+2}^{k_e}$ with respect to T .

- (iii) $l_0 \neq k_e$. Collapse $A_i^{k_e}$ and $A_j^{k_e}$ for every i, j , and collapse $B_i^{k_e}$ and $B_j^{k_e}$ for every i, j .
- (iv) $l_2 \neq k_e$. Collapse $A_i^{k_e}$ and $A_j^{k_e}$ for every i, j , and collapse $B_i^{k_e}$ and $B_j^{k_e}$ for every i, j .
- (v) Otherwise. For each $i \in \omega$, we collapse classes $A_{2i}^{k_e}$ and $A_{i_2+2i}^{k_e}$, and collapse $B_{2i+1}^{k_e}$ and $B_{i_2+2i+1}^{k_e}$.

Pick from the list the first item which applies, and take the action described there. Go to the next stage.

Verification. We first argue that f witnesses $S \leq_c T$ and g witnesses $T \leq_c S$. We note that A_i^k and $A_i^{k'}$ are never collapsed if $k \neq k'$. The same goes for the B_i^k and $B_i^{k'}$. Hence it suffices to verify that the restriction of f on each block $\{A_i^k \mid i \in \omega\}$ is a computable reducibility. The same goes for g . Fix k . We assume that some requirement \mathcal{R}_e acted on this block (there is at most one requirement which may do so) during the construction. If (i), (iii) or (iv) holds there is nothing to check, since either everything in the block is collapsed or untouched. For (ii) and (v) consider an action collapsing A_{2i}^k and A_{m+2i}^k , and B_{2i+1}^k and B_{m+2i+1}^k for some $m > 0$. Suppose m is odd. Then on the k^{th} block we end up with the distinct equivalence classes $\{A_{2i}^k \cup A_{m+2i}^k \mid i \in \omega\}$ for S and $\{B_{2i+1}^k \cup B_{m+2i+1}^k \mid i \in \omega\}$ for T . Each class not mentioned is an original class which did not grow. Hence it is easy to see that f and g are both computable reducibilities on the k^{th} block. Now suppose that m is even. Now it is easy to see that this time we end up with the distinct equivalence classes $\{\cup_{p \in \omega} A_{2i+pm}^k \mid 2i < m\}$ for S and $\{\cup_{p \in \omega} B_{2i+1+pm}^k \mid 2i < m\}$ for T . Again each class not mentioned is an original class which did not grow, and it is easy to see that f and g are both computable reducibilities on the k^{th} block. Thus we conclude that $S \equiv_c T$.

Next we argue that each \mathcal{R}_e is satisfied. Inductively assume that \mathcal{R}_{e-1} receives attention finitely often. Hence \mathcal{R}_e receives a final follower k_e . Suppose φ_e is a computable reduction. Since k_e is fresh each class in the k_e^{th} block $A_i^{k_e}$ and $B_i^{k_e}$ start off being unrelated with each other. If φ_e is surjective on the T equivalence classes then \mathcal{R}_e must eventually require attention. If (i) applies then we keep the disagreement preserved so that φ_e is not a computable reducibility. If (ii) is the first that applies then we have that $\varphi_e(a_1) \notin B_0^{k_e}$. We make a_0Sa_1 but do not collapse $B_0^{k_e}$ with any other class. Hence $\neg(\varphi_e(a_0)T\varphi_e(a_1))$. Suppose (iii) is the first that applies. Then the construction made a_0Sa_1 . If $l_1 \neq l_0$ then $\neg(\varphi_e(a_0)T\varphi_e(a_1))$ holds as different blocks are never collapsed. If $l_1 = l_0$ then at this stage $\neg(\varphi_e(a_0)T\varphi_e(a_1))$ as (i) did not apply. These two elements are never collapsed in the construction as \mathcal{R}_e have now the highest priority.

Suppose now that (iv) is the first that applies. Therefore $l_0 = k_e$. The construction made a_0Sa_1 but as different blocks are never collapsed we have $\neg(\varphi_e(a_0)T\varphi_e(a_1))$. Finally assume that (v) is the first that applies. Hence $l_0 = l_2 = k_e$ and $i_0 \neq 0$. Since (i) did not apply we have $i_2 \neq 0$. The construction made a_0Sa_2 but did not collapse $B_0^{k_e}$ with any other class. Hence $\neg(\varphi_e(a_0)T\varphi_e(a_2))$. ■

6 Questions

Computable reducibility has been independently invented several times, but many of its inventions were inspired by the analogy to Borel reducibility on 2^ω . Therefore, when a new notion appears in computable reducibility, it is natural to ask whether one can repay some of this debt by introducing the analogous notion in the Borel context. We have not attempted to do so here, but we encourage researchers in Borel reducibility to consider this idea. First, do the obvious analogues of n -ary and finitary reducibility bring anything new to the study of Borel reductions? And second, in the context of 2^ω , could one not also ask about ω -reducibility? A Borel ω -reduction from E to F would take an arbitrary countable subset $\{x_0, x_1, \dots\}$ of 2^ω , indexed by naturals, and would produce corresponding reals y_0, y_1, \dots with $x_i E x_j$ iff $y_i F y_j$. Obviously, a Borel reduction from E to F immediately gives a Borel ω -reduction, and when the study of Borel reducibility is restricted to Borel relations on 2^ω , such ω -reductions always exist. The interesting situation would involve E and F which are not Borel and for which $E \not\leq_B F$: could Borel ω -reductions (or finitary reductions) be of use in such situations? And finally, if the Continuum Hypothesis fails, could the same hold true of κ reductions, or $< \kappa$ -reductions, for other $\kappa < 2^\omega$?

Meanwhile, back on earth, there are plenty of specific questions to be asked about computable finitary reducibility. Computable reductions have become a basic tool in computable model theory, being used to compare classes of computable structures under the notion of Turing-computable embeddings (as in [3, 4], for example). In situations where no computable reduction exists, finitary reducibility could aid in investigating the reasons why: is there not even any binary reduction? Or is there a computable finitary reduction, but no computable reduction overall? Or possibly the truth lies somewhere in between? Finitary reducibility has served to answer such questions in several contexts already, as shown in this article, and one hopes for it to be used to sharpen other results as well.

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