

# Local Computability and Uncountable Structures

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## Local Descriptions of Structures

**Defn.:** A *simple cover*  $\mathfrak{A}$  of a structure  $\mathcal{S}$  is a set  $\{\mathcal{A}_i : i \in I\}$  which contains the finitely generated substructures of  $\mathcal{S}$ , up to isomorphism.

$\mathfrak{A}$  is *computable* if every  $\mathcal{A} \in \mathfrak{A}$  is.

$\mathfrak{A}$  is *uniformly computable* if there is a single algorithm listing out all  $\mathcal{A}_i$  in  $\mathfrak{A}$ . In this case  $\mathcal{S}$  is *locally computable*.

### Examples:

- All fields, and all relational structures, have computable simple covers.
- The ordered field  $(\mathbb{R}, <)$  does not.
- The ordered field of computable real numbers is not locally computable, but has a computable simple cover.

## Embeddings

Let  $\mathcal{S}$  be locally computable via  $\{\mathcal{A}_0, \mathcal{A}_1, \dots\}$ .  
 Suppose  $\mathcal{B} \subseteq \mathcal{C} \subseteq \mathcal{S}$  are finitely generated. If

$$\begin{array}{ccc}
 \mathcal{B} & \xrightarrow{\subseteq} & \mathcal{C} \\
 \beta \uparrow \cong & & \uparrow \cong \gamma \\
 \mathcal{A}_i & \xrightarrow{f} & \mathcal{A}_j
 \end{array}$$

commutes, we say that  $f : \mathcal{A}_i \hookrightarrow \mathcal{A}_j$  *lifts to the inclusion*  $\mathcal{B} \subseteq \mathcal{C}$  via the isomorphisms  $\beta$  and  $\gamma$ .

**Defn.:** A *cover* of  $\mathcal{S}$  also has sets  $I_{ij}^{\mathcal{A}}$  of embeddings  $\mathcal{A}_i \hookrightarrow \mathcal{A}_j$ , such that every inclusion in  $\mathcal{S}$  is the lift of some  $f$  in some  $I_{ij}^{\mathcal{A}}$ , and every  $f \in I_{ij}^{\mathcal{A}}$  lifts to an inclusion in  $\mathcal{S}$ .

The cover is *uniformly computable* if all  $I_{ij}^{\mathcal{A}}$  are c.e. uniformly in  $i$  and  $j$ .

Notice that  $f$  is determined by its values on the generators of  $\mathcal{A}_i$ .

## Examples

- Every infinite linear order has the same uniformly computable cover:  $\mathcal{A}_i$  is the linear order on  $i$  elements, and  $I_{ij}^{\mathcal{A}}$  contains all embeddings  $\mathcal{A}_i \hookrightarrow \mathcal{A}_j$ .
- In  $\mathbb{C}$ , the cover contains every f.g. field of characteristic 0, and every possible embedding  $f : \mathcal{A}_i \hookrightarrow \mathcal{A}_j$  lifts to an inclusion. Similarly for any ACF, given its transcendence degree.
- $\mathbb{R}$  also has a uniformly computable cover.  
This follows from:  
**Lemma:**  $\mathcal{S}$  has a uniformly computable cover iff  $\mathcal{S}$  has a uniformly computable simple cover.  
Proof: Given a simple cover  $\{\mathcal{A}_i\}$ , consider the cover containing all f.g. substructures of each  $\mathcal{A}_i$ , with inclusion maps from these substructures into the original  $\mathcal{A}_i$ .

## 1-Extensionality

**Defn.:** Every embedding from any  $\mathcal{A}_i$  into  $\mathcal{S}$  is *0-extensional*. An isomorphism  $\beta : \mathcal{A}_i \hookrightarrow \mathcal{B} \subseteq \mathcal{S}$  is *1-extensional* if

- $(\forall j)(\forall f \in I_{ij}^{\mathfrak{A}})(\exists \mathcal{C} \subseteq \mathcal{S})[f \text{ lifts to } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and some isomorphism } \gamma];$  and
- $(\forall \text{ f.g. } \mathcal{C} \supseteq \mathcal{B})(\exists j)(\exists f \in I_{ij}^{\mathfrak{A}})[f \text{ lifts to } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and some isomorphism } \gamma].$

Intuition: A 1-extensional  $\beta$  is a strong pairing between  $\mathcal{A}_i$  and  $\mathcal{B}$ , in that  $\mathfrak{A}$ 's ways to extend  $\mathcal{A}_i$  are exactly the ways of extending  $\mathcal{B}$  within  $\mathcal{S}$ .

$\mathfrak{A}$  is a *1-extensional cover* if every  $\mathcal{A}_i \in \mathfrak{A}$  is the domain of a 1-extensional embedding and every f.g.  $\mathcal{B} \subseteq \mathcal{S}$  is the range of one.

## Example

**Cantor Space:** The linear order on  $2^\omega$  has a 1-extensional cover. The objects are all finite linear orders  $a_0 \prec \cdots \prec a_n$  under the following specifications.  $a_0$  may or may not be designated as the left end point; likewise  $a_n$  as the right end point. Each  $a_m$  not so designated may be called either a *left gap point* or a *right gap point* (but not both). If  $a_m$  is a LGP and  $a_{m+1}$  a RGP, then we must specify whether they belong to the same gap or not.

An embedding  $f : \mathcal{A}_i \hookrightarrow \mathcal{A}_j$  belongs to  $I_{ij}^{\mathfrak{A}}$  if it respects all these properties:  $a_m$  is a left end point iff  $f(a_m)$  is, etc.

So, if  $a_m$  and  $a_{m+1}$  are LGP and RGP for the same gap, then there can be no element between  $f(a_m)$  and  $f(a_{m+1})$  in  $\mathcal{A}_j$ .

## θ-Extensionality

**Defn.:** Let  $\theta$  be an ordinal. An isomorphism  $\beta : \mathcal{A}_i \hookrightarrow \mathcal{B} \subseteq \mathcal{S}$  is  $\theta$ -*extensional* if

- $(\forall \text{ f.g. } \mathcal{C} \supseteq \mathcal{B})(\forall \zeta < \theta)(\exists j)(\exists f \in I_{ij}^{\mathfrak{A}})$   
 $[f \text{ lifts to } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and a } \zeta\text{-extensional } \gamma].$
- and  $(\forall j)(\forall f \in I_{ij}^{\mathfrak{A}})(\forall \zeta < \theta)(\exists \mathcal{C} \subseteq \mathcal{S})$   
 $[f \text{ lifts to } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and a } \zeta\text{-extensional } \gamma];$

Intuition: A  $\theta$ -extensional  $\beta$  is a strong pairing between  $\mathcal{A}_i$  and  $\mathcal{B}$ , in that  $\mathfrak{A}$ 's ways to extend  $\mathcal{A}_i$  are exactly the ways of extending  $\mathcal{B}$  within  $\mathcal{S}$  while preserving the  $\Sigma_\zeta$ -theory over  $\mathcal{B}$ .

$\mathfrak{A}$  is a  $\theta$ -*extensional cover* if every  $\mathcal{A}_i \in \mathfrak{A}$  is the domain of an  $\theta$ -extensional embedding and every f.g.  $\mathcal{B} \subseteq \mathcal{S}$  is the range of one.

## Bad Example

**Lemma:**  $\mathbb{R}$  has no 1-extensional cover.

Proof: If  $\mathfrak{A}$  were such a cover, fix a noncomputable  $x \in \mathbb{R}$  and a 1-extensional  $\beta : \mathcal{A}_i \hookrightarrow \mathbb{Q}(x) \subseteq \mathbb{R}$ . Then for  $q \in \mathbb{Q}$ :

$$\begin{aligned} q < x &\iff (\exists y \in \mathbb{R}) y^2 = x - q \\ &\iff (\exists j \exists f \in I_{ij}^{\mathfrak{A}} \exists a \in \mathcal{A}_j) \\ &\quad [a^2 = f(\beta^{-1}(x)) - f(\beta^{-1}(q))] \end{aligned}$$

So the lower cut defined by  $x$  would be computably enumerable, and similarly for the upper cut.



## $\Sigma_\theta$ -Theory of $\mathcal{S}$

**Theorem** (Miller): Suppose  $\mathcal{S}$  has a  $\theta$ -extensional cover.

Then  $(\forall \zeta \leq \theta)$ , and for any finite set  $\vec{p}$  of parameters in  $\mathcal{S}$ , the  $\Sigma_\zeta$ -theory of  $(\mathcal{S}, \vec{p})$  is arithmetically  $\Sigma_\zeta^0$ , uniformly in  $i$  and  $\alpha^{-1}(\vec{p})$ , where  $\alpha : \mathcal{A}_i \hookrightarrow \langle \vec{p} \rangle$  is  $\theta$ -extensional.

Moreover, this applies even to *infinitary computable*  $\Sigma_\zeta$  formulas over  $P$ .

## Correspondence Systems

Now we want to be able to extend our diagrams infinitely far to the right.

**Defn.:** A set  $M$  of embeddings  $\beta : \mathcal{A}_i \hookrightarrow \mathcal{S}$  is a *correspondence system* if:

- $(\forall i)(\exists \beta \in M)\mathcal{A}_i = \text{dom}(\beta)$ ; and
- $(\forall \text{ f.g. } \mathcal{B} \subseteq \mathcal{S})(\exists \beta \in M)\mathcal{B} = \text{range}(\beta)$ ; and

and for all maps  $\beta : \mathcal{A}_i \cong \mathcal{B}$  in  $M$ :

- $(\forall j \forall f \in I_{ij}^{\mathcal{A}})(\exists \mathcal{C} \supseteq \mathcal{B})[f \text{ lifts to the inclusion } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and some } \gamma \in M]$ ; and
- $(\forall \text{ f.g. } \mathcal{C} \supseteq \mathcal{B})(\exists j \exists f \in I_{ij}^{\mathcal{A}})[f \text{ lifts to the inclusion } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and some } \gamma \in M]$ .

**Defn.:** A structure is  $\infty$ -*extensionally locally computable* if it has a correspondence system over a uniformly computable cover.

## Perfect Local Computability

$M$  is *perfect* if, for all  $\beta, \gamma \in M$  with  $\text{range}(\beta) = \text{range}(\gamma)$ , we have  $(\gamma^{-1} \circ \beta) \in I_{ij}^{\mathcal{A}}$ , where  $\mathcal{A}_i = \text{dom}(\beta)$  and  $\mathcal{A}_j = \text{dom}(\gamma)$ .

- The uniformly computable cover we built for  $\mathbb{C}$  has a perfect correspondence system.
- The uniformly computable cover we built for Cantor space (as a linear order) is perfect.
- It is also possible to view Cantor space as the top level of the tree  $2^{<\omega+1}$ , as a partial order, and to build a perfect correspondence system for this structure.

Such structures are called *perfectly locally computable*.

## Globally Computable Structures

**Theorem** (Miller): For a countable structure  $\mathcal{S}$ , TFAE:

1.  $\mathcal{S}$  is computably presentable;
2.  $\mathcal{S}$  is perfectly locally computable;
3.  $\mathcal{S}$  has a uniformly computable cover with a correspondence system, satisfying AP.

Proof: For  $(1 \implies 2)$ , build the *natural cover*  $\mathfrak{A}$  containing all f.g. substructures of  $\mathcal{S}$ , under inclusion.

For  $(2 \implies 3)$ , all perfect covers have AP.

For  $(3 \implies 1)$ , amalgamate the  $\mathcal{A}_i$  together over all embeddings in  $\mathfrak{A}$ , to get a computable presentation of  $\mathcal{S}$ .

## $\infty$ -Extensionality

(joint work with Dustin Mulcahey)

**Lemma:** Let structures  $\mathcal{C}$  and  $\mathcal{S}$  have correspondence systems over the same cover. Suppose that  $\mathcal{C}$  is countable, and that  $P$  is a countable subset of  $\mathcal{S}$ . Then there exists an elementary embedding of  $\mathcal{C}$  into  $\mathcal{S}$  whose image contains  $P$ .

**Corollary:** Any two countable structures with correspondence systems over the same cover are isomorphic.

## Simulations

**Defn.:** A *simulation*  $\mathcal{C}$  of a structure  $\mathcal{S}$  is an elementary substructure of  $\mathcal{S}$  which realizes the same  $n$ -types as  $\mathcal{S}$  (for all  $n$ ).

If for every  $\vec{a} \in \mathcal{C}$  there is  $\vec{p} \in \mathcal{S}$  such that  $\mathcal{C}$  and  $\mathcal{S}$  realize the same  $n$ -types over  $\vec{a}$  and  $\vec{p}$ , and likewise for every  $\vec{p}$  there is an  $\vec{a}$ , then  $\mathcal{C}$  simulates  $\mathcal{S}$  over parameters.

**Examples:** The algebraic closure of the field  $\mathbb{Q}(X_0, X_1, \dots)$  is a computably presentable simulation of  $\mathbb{C}$  over parameters.

The intersection of  $\mathbb{Q}$  with Cantor space ( $\subset [0, 1]$ , as linear order) is a computably presentable simulation of Cantor space over parameters.

## Building Simulations

**Lemma:** Every  $\infty$ -extensionally locally computable structure  $\mathcal{S}$  has a countable simulation  $\mathcal{C}$  over parameters with a correspondence system over the cover of  $\mathcal{S}$ .

Proof: For each  $i$ , enumerate *one* image  $\alpha(\mathcal{A}_i)$  into  $\mathcal{C}$ , with  $\alpha$  in the correspondence system  $M$  for  $\mathcal{S}$ . Then close  $\mathcal{C}$  under the  $\forall\exists$  conditions for a correspondence system.

Notice that if  $M$  is perfect for  $\mathcal{S}$ , then the new system is perfect for  $\mathcal{C}$ .

## Computable Simulations

**Thm.** (Mulcahey-Miller): Every perfectly locally computable structure  $\mathcal{S}$  has a computably presentable simulation  $\mathcal{C}$  over parameters.

Moreover, if we fix a computable  $\mathcal{D} \cong \mathcal{C}$ , then for any countable parameter set  $P \subseteq \mathcal{S}$ , there exists an embedding  $f_P : \mathcal{D} \hookrightarrow \mathcal{S}$  such that  $P \subseteq \text{range}(f_P)$  and  $\mathcal{S}$  and  $f_P(\mathcal{D})$  realize exactly the same finitary types over every finite subset of the image of  $f_P$ . (We call  $f_P$  an *elementary embedding over parameters*.)



## Computable Simulations

**Thm.:** A structure  $\mathcal{S}$  has an  $\infty$ -extensional cover with AP  $\iff$   $\mathcal{S}$  has a computable simulation  $\mathcal{C}$  over parameters, such that, for all elementary embeddings  $f : \mathcal{C} \hookrightarrow \mathcal{S}$  over parameters, all  $\vec{a} \in \mathcal{C}$ , and all  $x \in \mathcal{S}$ , there exists an elementary embedding  $g : \mathcal{C} \hookrightarrow \mathcal{S}$  over parameters with  $g \upharpoonright \vec{a} = f \upharpoonright \vec{a}$  and  $x \in \text{range}(g)$ .

The cover  $\mathfrak{A}$  is the natural cover of  $\mathcal{C}$ . The correspondence system contains all restrictions (to elements of  $\mathfrak{A}$ ) of elementary embeddings of  $\mathcal{C}$  into  $\mathcal{S}$  over parameters.

## $\mathbb{C}$ and its Simulations

A computable simulation of the field  $\mathbb{C}$  must have infinite transcendence degree and be algebraically closed. Hence it must be the field

$F = \overline{\mathbb{Q}(X_0, X_1, \dots)}$ . However,

**Fact:** The natural cover of  $F$  is *not* a perfect cover of  $\mathbb{C}$ . This follows from:

**Lemma:** A perfect cover of  $\mathbb{C}$  must include a set  $I_{ij}^{\mathfrak{A}}$  of size  $> 1$ .

Still, the natural cover  $\mathfrak{A}$  of  $F$  is an  $\infty$ -extensional cover of  $\mathbb{C}$ , and has AP. The correspondence system consists of all embeddings of every  $\mathcal{A}_i \in \mathfrak{A}$  into  $\mathbb{C}$ .

## Cardinalities

Fix any countable sequence  $\kappa_0 < \kappa_1 < \dots$  of cardinals. Let  $T$  be the tree of height  $\omega$  with each node at level  $n$  having  $\kappa_n$ -many immediate successors.

This  $T$  is perfectly locally computable:  $\mathfrak{A}$  contains all finite substructures of  $\omega^{<\omega}$ , under embeddings which preserve levels, and  $M$  contains all level-preserving embeddings  $\mathcal{A}_i \hookrightarrow T$ .

But we can make the  $\kappa$ -sequence arbitrarily complex!

## Local Constructivizability

**Defn.** (Ershov): A structure  $\mathcal{S}$  is *locally constructivizable* if, for all finite tuples  $\vec{p} \in \mathcal{S}$ , the  $\exists$ -theory of  $(\mathcal{S}, \vec{p})$  is arithmetically  $\Sigma_1^0$ .

**Cor.:** Every 1-extensional structure is locally constructivizable.

Local constructivizability may be seen as a non-uniform version of 1-extensional local computability.

The field  $\mathbb{R}$  is locally computable, but not locally constructivizable.

The field of computable real numbers is locally constructivizable, and locally computable, but not 1-extensional. (The *ordered* field of computable real numbers is not even locally computable.)

## Questions

1. Can there exist a structure  $\mathcal{S}$  with a computable simulation (over parameters?) such that  $\mathcal{S}$  is not perfectly locally computable? Or such that  $\mathcal{S}$  is not  $\infty$ -extensional with AP?
2. Develop a reasonable theory of maps (and computable maps) among covers.
  - Functors?
3. How locally computable is the structure  $(\mathbb{C}, +, \cdot, 0, 1, f)$ , where  $f(z) = e^z$ ? (Similar questions for other holomorphic functions.)
4. Find  $\theta$ -extensionally locally computable structures which are not  $(\theta + 1)$ -extensional, and which have arbitrarily complex  $\Sigma_{\theta+1}$ -theory over parameters.