

Local Computability

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Effective Mathematics of the Uncountable

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Local Descriptions of Structures

Defn.: A *simple cover* \mathfrak{A} of a structure \mathcal{S} is a set $\{\mathcal{A}_i : i \in I\}$ which contains the finitely generated substructures of \mathcal{S} , up to isomorphism.

\mathfrak{A} is *computable* if every $\mathcal{A} \in \mathfrak{A}$ is.

\mathfrak{A} is *uniformly computable* if there is a single algorithm listing out all \mathcal{A}_i in \mathfrak{A} . In this case \mathcal{S} is *locally computable*.

Examples:

- All fields, and all relational structures, have computable simple covers.
- The ordered field $(\mathbb{R}, <)$ does not.
- The ordered field of computable real numbers is not locally computable, but has a computable simple cover.

Embeddings

Let \mathcal{S} be locally computable via $\{\mathcal{A}_0, \mathcal{A}_1, \dots\}$.
 Suppose $\mathcal{B} \subseteq \mathcal{C} \subseteq \mathcal{S}$ are finitely generated. If

$$\begin{array}{ccc}
 \mathcal{B} & \xrightarrow{\subseteq} & \mathcal{C} \\
 \beta \uparrow \cong & & \uparrow \cong \gamma \\
 \mathcal{A}_i & \xrightarrow{f} & \mathcal{A}_j
 \end{array}$$

commutes, we say that $f : \mathcal{A}_i \hookrightarrow \mathcal{A}_j$ *lifts to the inclusion* $\mathcal{B} \subseteq \mathcal{C}$ via the isomorphisms β and γ .

Defn.: A *cover* of \mathcal{S} also has sets $I_{ij}^{\mathcal{A}}$ of embeddings $\mathcal{A}_i \hookrightarrow \mathcal{A}_j$, such that every inclusion in \mathcal{S} is the lift of some f in some $I_{ij}^{\mathcal{A}}$, and every $f \in I_{ij}^{\mathcal{A}}$ lifts to an inclusion in \mathcal{S} .

The cover is *uniformly computable* if all $I_{ij}^{\mathcal{A}}$ are c.e. uniformly in i and j .

Notice that f is determined by its values on the generators of \mathcal{A}_i .

Examples

- Every infinite linear order has the same uniformly computable cover: \mathcal{A}_i is the linear order on i elements, and $I_{ij}^{\mathcal{A}}$ contains all embeddings $\mathcal{A}_i \hookrightarrow \mathcal{A}_j$.
- In \mathbb{C} , every possible embedding $f : \mathcal{A}_i \hookrightarrow \mathcal{A}_j$ lifts to an inclusion. This works for any ACF.
- \mathbb{R} also has a uniformly computable cover.

This follows from:

Lemma: \mathcal{S} has a uniformly computable cover iff \mathcal{S} has a uniformly computable simple cover.

Proof: Given a simple cover $\{\mathcal{A}_i\}$, consider the cover containing all f.g. substructures of each \mathcal{A}_i , with inclusion maps from these substructures into the original \mathcal{A}_i .

1-Extensionality

Defn.: Every embedding from any \mathcal{A}_i into \mathcal{S} is *0-extensional*. An isomorphism $\beta : \mathcal{A}_i \hookrightarrow \mathcal{B} \subseteq \mathcal{S}$ is *1-extensional* if

- $(\forall j)(\forall f \in I_{ij}^{\mathfrak{A}})(\exists \mathcal{C} \subseteq \mathcal{S})[f \text{ lifts to } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and some isomorphism } \gamma];$ and
- $(\forall \text{ f.g. } \mathcal{C} \supseteq \mathcal{B})(\exists j)(\exists f \in I_{ij}^{\mathfrak{A}})[f \text{ lifts to } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and some isomorphism } \gamma].$

Intuition: A 1-extensional β is a strong pairing between \mathcal{A}_i and \mathcal{B} , in that \mathfrak{A} 's ways to extend \mathcal{A}_i are exactly the ways of extending \mathcal{B} within \mathcal{S} .

\mathfrak{A} is a *1-extensional cover* if every $\mathcal{A}_i \in \mathfrak{A}$ is the domain of a 1-extensional embedding and every f.g. $\mathcal{B} \subseteq \mathcal{S}$ is the range of one.

Example

Cantor Space: The linear order on 2^ω has a 1-extensional cover. The objects are all finite linear orders $a_0 \prec \cdots \prec a_n$ under the following specifications. a_0 may or may not be designated as the left end point; likewise a_n as the right end point. Each a_m not so designated may be called either a *left gap point* or a *right gap point* (but not both). If a_m is a LGP and a_{m+1} a RGP, then we must specify whether they belong to the same gap or not.

An embedding $f : \mathcal{A}_i \hookrightarrow \mathcal{A}_j$ belongs to $I_{ij}^{\mathfrak{A}}$ if it respects all these properties: a_m is a left end point iff $f(a_m)$ is, etc.

So, if a_m and a_{m+1} are LGP and RGP for the same gap, then there can be no element between $f(a_m)$ and $f(a_{m+1})$ in \mathcal{A}_j .

θ-Extensionality

Defn.: Let θ be an ordinal. An isomorphism $\beta : \mathcal{A}_i \hookrightarrow \mathcal{B} \subseteq \mathcal{S}$ is θ -*extensional* if

- $(\forall \text{ f.g. } \mathcal{C} \supseteq \mathcal{B})(\forall \zeta < \theta)(\exists j)(\exists f \in I_{ij}^{\mathfrak{A}})$
 $[f \text{ lifts to } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and a } \zeta\text{-extensional } \gamma].$
- and $(\forall j)(\forall f \in I_{ij}^{\mathfrak{A}})(\forall \zeta < \theta)(\exists \mathcal{C} \subseteq \mathcal{S})$
 $[f \text{ lifts to } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and a } \zeta\text{-extensional } \gamma];$

Intuition: A θ -extensional β is a strong pairing between \mathcal{A}_i and \mathcal{B} , in that \mathfrak{A} 's ways to extend \mathcal{A}_i are exactly the ways of extending \mathcal{B} within \mathcal{S} while preserving the Σ_ζ -theory over \mathcal{B} .

\mathfrak{A} is a θ -*extensional cover* if every $\mathcal{A}_i \in \mathfrak{A}$ is the domain of an θ -extensional embedding and every f.g. $\mathcal{B} \subseteq \mathcal{S}$ is the range of one.

Bad Example

Lemma: \mathbb{R} has no 1-extensional cover.

Proof: If \mathfrak{A} were such a cover, fix a noncomputable $x \in \mathbb{R}$ and a 1-extensional $\beta : \mathcal{A}_i \hookrightarrow \mathbb{Q}(x) \subseteq \mathbb{R}$. Then for $q \in \mathbb{Q}$:

$$\begin{aligned} q < x &\iff (\exists y \in \mathbb{R}) y^2 = x - q \\ &\iff (\exists j \exists f \in I_{ij}^{\mathfrak{A}} \exists a \in \mathcal{A}_j) \\ &\quad [a^2 = f(\beta^{-1}(x)) - f(\beta^{-1}(q))] \end{aligned}$$

So the lower cut defined by x would be computably enumerable, and similarly for the upper cut.

Σ_θ -Theory of \mathcal{S}

Theorem (Miller): Suppose \mathcal{S} has a θ -extensional cover.

Then $(\forall \zeta \leq \theta)$, and for any finite set \vec{p} of parameters in \mathcal{S} , the Σ_ζ -theory of (\mathcal{S}, \vec{p}) is arithmetically Σ_ζ^0 , uniformly in i and $\alpha^{-1}(\vec{p})$, where $\alpha : \mathcal{A}_i \hookrightarrow \langle \vec{p} \rangle$ is θ -extensional.

Moreover, this applies even to *infinitary computable* Σ_ζ formulas over P .

Local Constructivizability

Defn. (Ershov): A structure \mathcal{S} is *locally constructivizable* if, for all finite tuples $\vec{p} \in \mathcal{S}$, the \exists -theory of (\mathcal{S}, \vec{p}) is arithmetically Σ_1^0 .

Cor.: Every 1-extensional structure is locally constructivizable.

Local constructivizability may be seen as a non-uniform version of 1-extensional local computability.

The field \mathbb{R} is locally computable, but not locally constructivizable.

The field of computable real numbers is locally constructivizable, and locally computable, but not 1-extensional. (The *ordered* field of computable real numbers is not even locally computable.)

Correspondence Systems

Now we want to be able to extend our diagrams infinitely far to the right.

Defn.: A set M of embeddings $\beta : \mathcal{A}_i \hookrightarrow \mathcal{S}$ is a *correspondence system* if:

- $(\forall i)(\exists \beta \in M)\mathcal{A}_i = \text{dom}(\beta)$; and
- $(\forall \text{ f.g. } \mathcal{B} \subseteq \mathcal{S})(\exists \beta \in M)\mathcal{B} = \text{range}(\beta)$; and

and for all maps $\beta : \mathcal{A}_i \cong \mathcal{B}$ in M :

- $(\forall j \forall f \in I_{ij}^{\mathcal{A}})(\exists \mathcal{C} \supseteq \mathcal{B})[f \text{ lifts to the inclusion } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and some } \gamma \in M]$; and
- $(\forall \text{ f.g. } \mathcal{C} \supseteq \mathcal{B})(\exists j \exists f \in I_{ij}^{\mathcal{A}})[f \text{ lifts to the inclusion } \mathcal{B} \subseteq \mathcal{C} \text{ via } \beta \text{ and some } \gamma \in M]$.

Defn.: A structure is ∞ -*extensionally locally computable* if it has a correspondence system over a uniformly computable cover.

Perfect Local Computability

M is *perfect* if, for all $\beta, \gamma \in M$ with $\text{range}(\beta) = \text{range}(\gamma)$, we have $(\gamma^{-1} \circ \beta) \in I_{ij}^{\mathcal{A}}$, where $\mathcal{A}_i = \text{dom}(\beta)$ and $\mathcal{A}_j = \text{dom}(\gamma)$.

- The uniformly computable cover we built for \mathbb{C} has a perfect correspondence system.
- The uniformly computable cover we built for Cantor space (as a linear order) is perfect.
- It is also possible to view Cantor space as the top level of the tree $2^{<\omega+1}$, as a partial order, and to build a perfect correspondence system for this structure.

Such structures are called *perfectly locally computable*.

Globally Computable Structures

Theorem (Miller): For a countable structure \mathcal{S} , TFAE:

1. \mathcal{S} is computably presentable;
2. \mathcal{S} is perfectly locally computable;
3. \mathcal{S} has a uniformly computable cover with a correspondence system, satisfying AP.

Proof: For $(1 \implies 2)$, build the *natural cover* \mathfrak{A} containing all f.g. substructures of \mathcal{S} , under inclusion.

For $(2 \implies 3)$, all perfect covers have AP.

For $(3 \implies 1)$, amalgamate the \mathcal{A}_i together over all embeddings in \mathfrak{A} , to get a computable presentation of \mathcal{S} .

∞ -Extensionality

(joint work with Dustin Mulcahey)

Lemma: Let structures \mathcal{C} and \mathcal{S} have correspondence systems over the same cover. Suppose that \mathcal{C} is countable, and that P is a countable subset of \mathcal{S} . Then there exists an elementary embedding of \mathcal{C} into \mathcal{S} whose image contains P .

Corollary: Any two countable structures with correspondence systems over the same cover are isomorphic.

Simulations

Defn.: A *simulation* \mathcal{C} of a structure \mathcal{S} is an elementary substructure of \mathcal{S} which realizes the same n -types as \mathcal{S} (for all n).

If for every $\vec{a} \in \mathcal{C}$ there is $\vec{p} \in \mathcal{S}$ such that \mathcal{C} and \mathcal{S} realize the same n -types over \vec{a} and \vec{p} , and likewise for every \vec{p} there is an \vec{a} , then \mathcal{C} simulates \mathcal{S} over parameters.

Examples: The algebraic closure of the field $\mathbb{Q}(X_0, X_1, \dots)$ is a computably presentable simulation of \mathbb{C} over parameters.

The intersection of \mathbb{Q} with Cantor space ($\subset [0, 1]$, as linear order) is a computably presentable simulation of Cantor space over parameters.

Building Simulations

Lemma: Every ∞ -extensionally locally computable structure \mathcal{S} has a countable simulation \mathcal{C} over parameters with a correspondence system over the cover of \mathcal{S} .

Proof: For each i , enumerate *one* image $\alpha(\mathcal{A}_i)$ into \mathcal{C} , with α in the correspondence system M for \mathcal{S} . Then close \mathcal{C} under the $\forall\exists$ conditions for a correspondence system.

Notice that if M is perfect for \mathcal{S} , then the new system is perfect for \mathcal{C} .

Computable Simulations

Thm. (Mulcahey-Miller): Every perfectly locally computable structure \mathcal{S} has a computably presentable simulation \mathcal{C} over parameters.

Moreover, if we fix a computable $\mathcal{D} \cong \mathcal{C}$, then for any countable parameter set $P \subseteq \mathcal{S}$, there exists an embedding $f_P : \mathcal{D} \hookrightarrow \mathcal{S}$ such that $P \subseteq \text{range}(f_P)$ and \mathcal{S} and $f_P(\mathcal{D})$ realize exactly the same finitary types over every finite subset of the image of f_P . (We call f_P an *elementary embedding over parameters*.)

Computable Simulations

Thm.: A structure \mathcal{S} has an ∞ -extensional cover with AP \iff \mathcal{S} has a computable simulation \mathcal{C} over parameters, such that, for all elementary embeddings $f : \mathcal{C} \hookrightarrow \mathcal{S}$ over parameters, all $\vec{a} \in \mathcal{C}$, and all $x \in \mathcal{S}$, there exists an elementary embedding $g : \mathcal{C} \hookrightarrow \mathcal{S}$ over parameters with $g \upharpoonright \vec{a} = f \upharpoonright \vec{a}$ and $x \in \text{range}(g)$.

The cover \mathfrak{A} is the natural cover of \mathcal{C} . The correspondence system contains all restrictions (to elements of \mathfrak{A}) of elementary embeddings of \mathcal{C} into \mathcal{S} over parameters.

\mathbb{C} and its Simulations

A computable simulation of the field \mathbb{C} must have infinite transcendence degree and be algebraically closed. Hence it must be the field

$F = \overline{\mathbb{Q}(X_0, X_1, \dots)}$. However,

Fact: The natural cover of F is *not* a perfect cover of \mathbb{C} . This follows from:

Lemma: A perfect cover of \mathbb{C} must include a set $I_{ij}^{\mathfrak{A}}$ of size > 1 .

Still, the natural cover \mathfrak{A} of F is an ∞ -extensional cover of \mathbb{C} , and has AP. The correspondence system consists of all embeddings of every $\mathcal{A}_i \in \mathfrak{A}$ into \mathbb{C} .

Cardinalities

Fix any countable sequence $\kappa_0 < \kappa_1 < \dots$ of cardinals. Let T be the tree of height ω with each node at level n having κ_n -many immediate successors.

This T is perfectly locally computable: \mathfrak{A} contains all finite substructures of $\omega^{<\omega}$, under embeddings which preserve levels, and M contains all level-preserving embeddings $\mathcal{A}_i \hookrightarrow T$.

But we can make the κ -sequence arbitrarily complex!

Covers as Categories

Defn.: For a structure \mathcal{S} , $\mathbf{FGSub}(\mathcal{S})$ is the category of all finitely generated substructures of \mathcal{S} , with inclusion maps as morphisms. \mathcal{S} is the inverse limit of $\mathbf{FGSub}(\mathcal{S})$.

An ∞ -extensional cover can be made into a category by closing under composition of morphisms and adding identity morphisms. This is the *derived cover* \mathfrak{A} , and it is uniformly computable if the original cover was.

Prop.: If \mathfrak{A} is this derived perfect cover for \mathcal{S} , then there exists a functor \mathbf{R} mapping $\mathbf{FGSub}(\mathcal{S})$ into \mathfrak{A} , and there exists a natural isomorphism

$$\beta : (I_{\mathfrak{A}} \circ \mathbf{R}) \rightarrow I_{\mathbf{FGSub}(\mathcal{S})}.$$

(Here $I_{\mathfrak{C}}$ denotes the inclusion functor from any category \mathfrak{C} of \mathcal{L} -structures into the category of all \mathcal{L} -structures under embeddings.)

Proof of Proposition

We may define \mathbf{R} by choosing $\mathbf{R}(\mathcal{B})$ to be any $\mathcal{A}_i \in \mathfrak{A}$ such that there exists an $\alpha : \mathcal{A}_i \rightarrow \mathcal{B}$ in the correspondence system. Let $\beta_{\mathcal{B}}$ be this α .

For an inclusion $\mathcal{B} \subseteq \mathcal{C}$ within \mathcal{S} , we have

$\beta_{\mathcal{B}} : \mathcal{A}_i \rightarrow \mathcal{B}$ and $\beta_{\mathcal{C}} : \mathcal{A}_k \rightarrow \mathcal{C}$. There must exist j and $f \in I_{ij}^{\mathfrak{A}}$ and $\gamma : \mathcal{A}_j \rightarrow \mathcal{C}$ in M with $\gamma \circ f = \beta_{\mathcal{B}}$. But since γ and $\beta_{\mathcal{C}}$ both have image \mathcal{C} , perfection of the cover shows that $(\beta_{\mathcal{C}}^{-1} \circ \gamma) \in I_{jk}^{\mathfrak{A}}$. We define

$$\mathbf{R}(\mathcal{B} \subseteq \mathcal{C}) = \beta_{\mathcal{C}}^{-1} \circ \beta_{\mathcal{B}} = \beta_{\mathcal{C}}^{-1} \circ (\gamma \circ f) \in I_{ik}^{\mathfrak{A}}.$$

It follows that \mathbf{R} is a functor, since this respects composition of morphisms, and that β is a natural isomorphism.

Questions

1. Can there exist a structure \mathcal{S} with a computable simulation (over parameters?) such that \mathcal{S} is not perfectly locally computable? Or such that \mathcal{S} is not ∞ -extensional with AP?
2. Develop a reasonable theory of maps (and computable maps) among covers.
 - Functors?
3. How locally computable is the structure $(\mathbb{C}, +, \cdot, 0, 1, f)$, where $f(z) = e^z$? (Similar questions for other holomorphic functions.)
4. Find θ -extensionally locally computable structures which are not $(\theta + 1)$ -extensional, and which have arbitrarily complex $\Sigma_{\theta+1}$ -theory over parameters.