

Topological large fields, their generic differential expansions and transfer results.

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Outline of the talk

- Given a theory T of *large* topological fields with quantifier elimination, the class of existentially closed differential expansions is axiomatizable by a set of axioms T_D^* ,
- *Immediate* transfer results from T to T_D^* ,
- Transfer results (continued) : elimination of imaginaries, continuous definable functions, open core,
- Transfer results to the theory of *dense* pairs of models of T .

Examples of topological fields

Let $\bar{K} := (K, +, \cdot, -, 0, 1)$.

- $(\bar{K}, <)$ an ordered real-closed field, ie a model of *RCF*
[o-minimal theory]
- (\bar{K}, v) a p -adically closed valued field of rank d , respectively of ${}_pCF_d$ [p -minimal theory]
- $(\bar{K}, <, v)$ an ordered valued real-closed field, respectively of *RCVF* respectively [weakly o-minimal theory].
- (\bar{K}, v) a non-trivially valued algebraically closed field, respectively of *ACVF*_{0,0} [C-minimal],

Large fields

All these fields share a common algebraic property: they are *large*. [Pop, 1996] A field K is *large* iff K is existentially closed in the field of Laurent series $K((t))$ ($K \subseteq_{ec} K((t))$) and equivalently in any iterated Laurent series field extension $K((t_1))((t_2)) \cdots ((t_n))$, for some natural number $n \geq 1$ (also denoted by $K((\mathbb{Z}^n))$).

(This second equivalence is straightforward using Frayne's embedding theorem: if a structure \mathcal{A} is existentially closed in \mathfrak{B} ($\mathcal{A} \subseteq_{ec} \mathfrak{B}$), then there is an embedding of \mathfrak{B} in an ultrapower of \mathcal{A} , which is the identity on \mathcal{A} .)

They also share a common model-theoretic property, called *dp-minimal*.

Definition

A theory T is **not dp-minimal** if there is a model \mathcal{M} of T , $a_{ij} \in M$ and uniformly unary definable sets $X_i, Y_j \subseteq M$, $i, j \in \mathbb{N}$, such that

$$a_{ij} \in X_{i'} \leftrightarrow i = i',$$

$$a_{ij} \in Y_{j'} \leftrightarrow j = j'.$$

[Johnson, 2016] If $\mathcal{K} := (K, +, \cdot, 0, 1, \dots)$ is an expansion of an infinite field with a *dp-minimal theory* but not strongly minimal, then \mathcal{K} can be endowed with a *non-discrete Hausdorff definable field topology*, namely \mathcal{K} has a uniformly definable basis of neighbourhoods of zero compatible with the field operations. Furthermore, in this case the topology is induced either by a non-trivial valuation or an absolute value.

Moreover, any definable subset of \mathcal{K} has finite boundary and every infinite definable set has non-empty interior (so \mathcal{K} eliminates \exists^∞ , namely there is a bound on a uniformly definable family of finite sets).

Results of Simon and Walsberg on dp-minimal fields-dimension

- In a dp-minimal field \mathcal{K} , we have always the notion of a **topological dimension**:

let $X \subseteq K^n$, then $t\text{-dim}(X) := \max\{\ell : \text{there is a projection } \pi : K^n \rightarrow K^\ell \text{ such that } \pi(X) \text{ has non-empty interior}\}$.

Let X be a definable subset of \mathcal{K}^n .

- One can define acl-dim as follows: $\text{acl-dim}(\bar{u}/A) := \min\{\ell : \text{there is a subtuple } \bar{d} \text{ of } \bar{u} \text{ of length } \ell \text{ such that } \bar{u} \in \text{acl}(A, \bar{d})\}$. Then $\text{acl-dim}(X/A) := \max\{\text{acl-dim}(\bar{u}/A) : \bar{u} \in X\}$.

Note that it is not assumed that acl has the exchange.

Theorem (Simon-Walsberg, to appear)

Then $t\text{-dim}(X) = \text{acl-dim}(X)$.

From now on we will use dim for any of these dimensions.

Definition

Let E, F be two definable subsets of K^n , then a **correspondence** f is a definable subset $\mathit{graph}(f)$ of $E \times F$ such that

$$0 < |\{y \in F : (x, y) \in \mathit{graph}(f)\}| < \infty, \text{ for all } x \in E.$$

A correspondence f is an **m -correspondence** if for all $x \in E$,
 $|\{y \in F : (x, y) \in \mathit{graph}(f)\}| = m$.

Now for a dp-minimal field \mathcal{K} , we will describe a generalisation of a cell decomposition theorem due to L. Mathews (for certain topological fields).

Results of Simon and Walsberg on dp-minimal fields-definable sets

Let X be a A -definable subset of K^n with A a subset of K , then:

Theorem (Proposition 4.1, Simon-Walsberg, to appear)

*There a finitely many A -definable subsets X_i with $X = \bigcup X_i$ such that X_i is the graph of a A -definable *continuous* m -correspondance $f : U_i \rightrightarrows K^{n-d}$, where U_i is a A -definable open subset of K^d , for some $0 \leq d \leq n$.*

Conventions: if $d = 0$, $f : K^0 \rightrightarrows K^{n-d}$, then $\text{graph}(f)$ is identified with a finite set and if $d = n$, $f : U \rightrightarrows K^0$, $\text{graph}(f)$ is identified with U (an open subset of K^n).

Theorem (Proposition 4.3, Simon-Walsberg, to appear)

Let $\text{Fr}(X) := \text{closure}(X) \setminus X$, then $\dim(\text{Fr}(X)) < \dim(X)$.

Theories of large fields

Let \mathcal{K} be a topological field $(K, +, -, \cdot, 0, 1, \dots)$ of characteristic 0 and assume that $\chi(x, \bar{y})$ be an \mathcal{L} -formula such that for any $\bar{a} \subset K$, $\chi(K, \bar{a})$ is an open neighbourhood of 0 in K . We put the product topology on K^n .

From now on we will always consider a language \mathcal{L} which is a **relational** expansion of the ring (field) language and we assume that every relation and its complement is the union of an algebraic set set and an open subset.

Let T be the theory of \mathcal{K} . We will assume that T admits **quantifier elimination in the language \mathcal{L}** .

Examples

Let \mathcal{L} be the language of fields. Let div be a binary relation.

- 1 Let $\mathcal{L}_{<} := \mathcal{L} \cup \{<\}$, then RCF admits quantifier elimination (Tarski),
- 2 Let $\mathcal{L}_p := \mathcal{L} \cup \{\text{div}, c_1, \dots, c_d, P_n; n \geq 1\}$, then ${}_pCF_d$ admits quantifier elimination in \mathcal{L}_p (Macintyre, Prestel-Roquette).
- 3 Let $\mathcal{L}_{<, \text{div}} := \mathcal{L}_{<} \cup \{\text{div}\}$, then $RCVF$ admits quantifier-elimination (Cherlin-Dickmann).
- 4 Let $\mathcal{L}_{\text{div}} := \mathcal{L} \cup \{\text{div}\}$, then $ACVF$ admits quantifier-elimination (Robinson).

In all the above cases, the relations and their complements satisfy the hypothesis to be the union of an open set with an algebraic set. Moreover **any definable set is a finite union of an algebraic set and an open set.**

Differential expansions

We consider the *generic* expansion of \mathcal{K} with a derivation δ ,
namely we put no a priori continuity assumptions on δ .

Denote by $\mathcal{L}_D := \mathcal{L} \cup \{\delta\}$ and T_D the \mathcal{L}_D -theory $T \cup \{\delta \text{ is a derivation}\}$.

Question: under which conditions, the class of existentially closed models is well-behaved?

Scheme (DL)

Let T and χ be as before. Set $T_D^* := T_D \cup (DL)$, where (DL) is the following list of axioms:

Let $\mathcal{K} \models T$. For each $n \geq 1$, let

$\mathcal{V}_n := \{\chi(K, \bar{a}_1) \times \cdots \times \chi(K, \bar{a}_n) : \bar{a}_i \subset K, 1 \leq i \leq n\}$ be a (definable) basis of neighbourhoods of $\bar{0}$ in K^n .

\mathcal{K} satisfies (DL) if for every $n \geq 1$, for every differential polynomial $f(X) \in K\{X\}$, with $f(X) = f^*(X, X^{(1)}, \dots, X^{(n)})$ and for every $W \in \mathcal{V}_n$, we have:

$$(\exists \alpha_0, \dots, \alpha_n \in K)(f^*(\alpha_0, \dots, \alpha_n) = 0 \wedge s_f^*(\alpha_0, \dots, \alpha_n) \neq 0) \Rightarrow ((\exists z)(f(z) = 0 \wedge s_f(z) \neq 0 \wedge (z^{(0)} - \alpha_0, \dots, z^{(n)} - \alpha_n) \in W)).$$

Axiomatisation of differential t -large e.c. topological fields of characteristic 0

Under the further hypothesis, called t -large—it adapts in this topological setting the property of largeness—, we show that the theory T_D^* is consistent and axiomatize the class of existentially closed models of T_D .

Theorem (Guzy-P)

Let T be a theory of topological t -large \mathcal{L} -fields of characteristic 0, admitting quantifier elimination.

Then T_D^ is the model-completion of T_D and admits quantifier elimination.*

Let K be a model of T and consider the iterated Laurent series field extension $K((\mathbb{Z}^n)) := K((t_1)) \cdots ((t_n))$ endowed with the valuation map v taking its values in the lexicographic product \mathbb{Z}^n of n copies of $\langle \mathbb{Z}, +, -, <, 0, 1 \rangle$. We endow $K((\mathbb{Z}^n))$ with the following fundamental system of neighbourhoods \mathcal{W} of zero:

$$W_{V,0} := \{a \in K((\mathbb{Z}^n)) : a = \sum_{\gamma \geq 0} \alpha_\gamma \cdot t^\gamma, \alpha_0 \in V \text{ with } V \in \mathcal{V}\},$$

$$\text{and for } \gamma \in (\mathbb{Z}^n)^{\geq 0}, W_\gamma := \{a \in K((\mathbb{Z}^n)) : v(a) \geq \gamma\}.$$

We will denote the corresponding topological structure by $\langle K((\mathbb{Z}^n)), \mathcal{W} \rangle$ and let $\mathcal{W}_{K,0} := \{W_{V,0}; V \in \mathcal{V}\}$. It is easy to see that $\langle K((\mathbb{Z}^n)), \mathcal{W} \rangle$ is a topological \mathcal{L}_{rings} -extension of $\langle K, \mathcal{V} \rangle$.

A model K of T is *t-large* if:

given the topological $\mathcal{L}_{\text{rings}}$ -extension $\langle K((\mathbb{Z}^n)), \mathcal{W} \rangle$ of \mathcal{K} and a polynomial $f(X) \in K((\mathbb{Z}^n))[X]$ with coefficients in $W_{K,0}$, if $f(a) \sim_{\mathcal{W}_{K,0}} 0$ and $f'(a) \not\sim_{\mathcal{W}_{K,0}} 0$ for some element $a \in W_{K,0}$, then there exists \tilde{L} a model of T extending $K((\mathbb{Z}^n))$ such that

- 1 $t_i \sim_K 0$, $i = 1, \dots, n$ and
- 2 there exists an element b of \tilde{L} with $f(b) = 0$ and $a \sim_K b$.

Note that if \mathcal{L} is the language of rings and if K is a large field, then K is t-large.

- We obtain for the theory T_D^* :
 - ① $CODF$ in case $T = RCF$,
 - ② $RCVF_D^*$ in case $T = RCVF$ (an expansion of $CODF$),
 - ③ ${}_pCF_D^*$ in case $T = {}_pCF$,
 - ④ $ACVF_{0,0}_D^*$ in case $T = ACVF_{0,0}$ (an expansion of DCF_0),

Some notation-(prolongations)

By assumption on \mathcal{L} , any \mathcal{L}_D -term $t(x)$ with $x = (x_1, \dots, x_n)$, is equivalent, modulo the theory of differential fields, to an \mathcal{L} -term $t^*(\bar{\delta}^{m_1}(x_1), \dots, \bar{\delta}^{m_n}(x_n))$ for some $(m_1, \dots, m_n) \in \mathbb{N}^n$.

So, we may associate with any **quantifier-free \mathcal{L}_D -formula $\varphi(x)$** an equivalent \mathcal{L}_D -formula, modulo the theory of differential fields, of the form $\varphi^*(\bar{\delta}^m(x))$, $m \in \mathbb{N}$, where φ^* is a **\mathcal{L} -quantifier-free formula** which arises by uniformly replacing every occurrence of $\delta^m(x_i)$ by a new variable y_i^m in φ with the following choice for the order of variables $\varphi^*(y_1^0, \dots, y_1^m, \dots, y_n^0, \dots, y_n^m)$. So we get

$$\varphi(x_1, \dots, x_n) \Leftrightarrow \varphi^*(\bar{\delta}^m(x_1), \dots, \bar{\delta}^m(x_n)).$$

Order of a definable set

Let $A \subset K$, set $\text{Jet}_m(A)$ for $\{\bar{\delta}^m(a) : a \in A\}$, where $\bar{\delta}^m(a) := (a, \delta(a), \dots, \delta^m(a))$.

Likewise for $A \subset K^n$, set $\text{Jet}_m(A) := \{\bar{\delta}^m(a) : a \in A\} \subset K^{(m+1)n}$, where for $a = (a_1, \dots, a_n) \in K^n$, $\bar{\delta}^m(a) := (\bar{\delta}^m(a_1), \dots, \bar{\delta}^m(a_n)) \in K^{(m+1)n}$.

Since T_D^* admits quantifier elimination, every \mathcal{L}_D -definable set $X \subseteq K^n$ is of the form $\text{Jet}_m^{-1}(Y)$ for some quantifier-free \mathcal{L} -definable set $Y \subseteq K^{(m+1)n}$.

DEFINITION (Order)

Let $X \subseteq K^n$ be an \mathcal{L}_D -definable set. The *order of X* , denoted by $o(X)$, is the smallest integer m such that $X = \text{Jet}_m^{-1}(Y)$ for some \mathcal{L} -definable set $Y \subseteq K^{(m+1)n}$.

First properties (direct consequences of the axiomatisation)

Let $\mathcal{K} \models T_D^*$ and denote by C_K its subfield of constants.

Using the axiomatisation (respectively the geometrical axiomatisation), two observations:

- Then C_K is dense in K .
- (Brouette, Cousins, Pillay, P.–in case \mathcal{L} is the language of rings–)
Then $C_K \models T$.

Using the fact that T_D^* admits q.e. (and the forgetful functor), one can observe:

- (Guzy-P.) If T is **NIP**, then T_D^* is **NIP**.
- (Chernikov, 2015) If T is **distal**, then T_D^* is **distal**.

Now we wish to associate with an \mathcal{L}_D -definable set A , an \mathcal{L} -definable set where the differential points coming from A are dense.

Let \mathcal{K} be a model of T_D^* and assume that it is $|K_0|^+$ -saturated where K_0 be a differential subfield of K .

Property (\star): For any $X \subseteq K^n$ \mathcal{L}_D -definable non-empty subset, there is an integer $m \geq o(X)$ and an \mathcal{L} -definable set $Z \subseteq K^{(m+1)n}$ such that

- 1 $x \in X$ if and only if $\text{Jet}_m(x) \in Z$ and
- 2 $\bar{Z} = \overline{\text{Jet}_m(X)}$.

Note that equivalently in Property (\star) one can require that $m = o(X)$.

Kolchin polynomial

The \mathcal{L} -definable set Z decomposes as a finite disjoint union of cells C .

Let $\bar{u} \in X$ and assume that $\bar{\delta}(\bar{u})$ belongs to such cell C and assume it is a \mathcal{L} -generic point of C . By hypothesis there is a projection $\pi_{[m_1, \dots, m_n]}$ such that $\pi_{[m_1, \dots, m_n]}(C)$ is an open subset of $K^{(o(X)+1) \cdot n}$, $m_i \leq o(X) + 1$, $1 \leq i \leq n$.

Let $\alpha = |\{1 \leq i \leq n : m_i = m + 1\}|$ and $\beta = \sum_{i=1}^n (m + 1 - m_i)$.

Consider the subfields $K_0^{[t]} := K_0(\bar{\delta}^t(\bar{u}))$ of K , $t \in \omega$.

Theorem (Johnson, Pong)

The transcendence degree of $K_0^{[t]}$ over K_0 stabilises for t sufficiently big and is equal to $\alpha \cdot t + \beta$

The coefficient α is the differential transcendence degree of $K_0(\bar{\delta}^\ell(\bar{u}))$; $\ell \in \omega$ over K_0 .

DEFINITION

Let $\mathcal{K} \models T$. Then (\mathcal{K}, D) has \mathcal{L} -open core if every \mathcal{L}_D -definable open subset is \mathcal{L} -definable.

An \mathcal{L}_D -expansion of T has \mathcal{L} -open core if every model of that expansion has \mathcal{L} -open core.

Lemma

Property (\star) is equivalent to: T_D^ has \mathcal{L} -open core.*

(\Rightarrow) one shows that given an \mathcal{L}_D -definable set X , its closure \bar{X} is \mathcal{L} -definable.

Indeed, $\bar{X} = \overline{\pi(\bar{Z})}$, where Z has the property (\star) and π is the projection sending each block of $(m+1)$ coordinates to its first coordinate.

(\Leftarrow) Conversely, if the theory T_D^* has \mathcal{L} -open core, then:

If $X \subseteq K^n$ is a non-empty \mathcal{L}_δ -definable set, there is an \mathcal{L} -definable set $Z \subseteq K^{(o(X)+1)n}$ such that (\star)

- 1 $x \in X$ if and only if $\text{Jet}_{o(X)}(x) \in Z$ and
- 2 $\bar{Z} = \overline{\text{Jet}_{o(X)}(X)}$.

Take $Y \subseteq K^{(o(X)+1)n}$ be an \mathcal{L} -definable set such that $X = \text{Jet}_{o(X)}^{-1}(Y)$. Set $Z := Y \cap \overline{\text{Jet}_{o(X)}(X)}$. Since $\overline{\text{Jet}_{o(X)}(X)}$ is both closed and \mathcal{L}_δ -definable, it is \mathcal{L} -definable since T_D^* has open core. So the set Z is \mathcal{L} -definable. Since $\text{Jet}_{o(X)}(X) \subseteq Z \subseteq \overline{\text{Jet}_{o(X)}(X)}$, both properties (1) and (2) are easily shown.

Elimination of imaginaries

Given an automorphism σ and a set X , we say that X is σ -invariant if σ fixes X setwise. We say that a theory T admits elimination of imaginaries if every definable set X has a code e , namely for any automorphism σ , X σ -invariant iff it fixes e .

Theorem

Suppose that T admits elimination of imaginaries in some expansion \mathcal{L}^G of \mathcal{L} and that definable subsets in models of T are endowed with a dimension function \dim as before. Suppose that the theory T_D^ has \mathcal{L} -open core. Then the theory T_D^* admits elimination of imaginaries in \mathcal{L}_D^G .*

We follow an idea of Marcus Tressl, associating to an \mathcal{L}_D -definable set X , the pair of \mathcal{L} -definable sets: $(Z, \text{Jet}_{o(X)}^{-1}(\bar{Z}) \setminus X)$.

Elimination of imaginaries

Let X be a non-empty \mathcal{L}_D -definable set.

Consider the \mathcal{L}_D -definable set $\tilde{X} := \text{Jet}_{o(X)}^{-1}(\bar{Z})$. Recall that Z is \mathcal{L} -definable. We proceed by induction on $\dim(Z)$.

If $\dim(Z) = 0$, X is finite.

Claim: $\dim(\overline{\text{Jet}_{o(X)}(\tilde{X} \setminus X)}) < \dim(\bar{Z})$.

Suppose the Claim holds, so by induction hypothesis there is e_1 a code for $\tilde{X} \setminus X$. Let e_2 be a code for Z . Then (e_1, e_2) is a code for X .

To show the Claim: we apply both properties of Z and the following property of \dim :

$$\dim(\overline{\text{Jet}_{o(X)}(\tilde{X} \setminus X)}) \leq \dim(\text{Fr}(Z)) < \dim(\bar{Z}).$$

Elimination of imaginaries

Fact: $CODF$ has \mathcal{L} -open core.

Proof: it eliminates \exists^∞ and it is definably complete.

- In case $T = RCF$, we obtain yet another proof that $CODF$ admits elimination of imaginaries (e.i.) in the language of differential fields.
- In case $T = RCVF$ and $T =_p CF$, we know which sorts to add to \mathcal{L} in order to get e.i. and so it transfers to the corresponding T_D^* , modulo the proof that T_D^* has \mathcal{L} -open core. In those two cases, one can show that using the following property of continuous \mathcal{L}_D -definable functions.

Continuous functions

Let T be either one of the following \mathcal{L} -theories RCF , $RCVF_p$, CF , then:

Theorem

Let \mathcal{K} be a model of T_D^ , let $X \subset K^n$ be an \mathcal{L} -definable subset and let f be a continuous \mathcal{L}_D -definable function from X to K , then f is \mathcal{L} -definable.*

Corollary

T_D^ has \mathcal{L} -open core.*

Applications to dense pairs

Let $\mathcal{L}^2 := \mathcal{L} \cup \{P\}$ where P is a new unary predicate P and let T^2 be the \mathcal{L}^2 -theory of the pairs (K, F) (i.e., P is interpreted in K by F) with $F \preceq_{\mathcal{L}} K$, $F \neq K$ and F dense in K .

Theorem (van den Dries, Fornasiero)

The theory T^2 is complete.

Fact

Let \mathcal{K} be a model of T_D^ . Then (K, C_K) is a model of T^2 .*

Observation Every model (K, F) of T^2 has an \mathcal{L}^2 -elementary extension (K^*, F^*) such that K^* is a model of T_D^* with constant field $C_{K^*} = F^*$.

So we get another proof of:

Theorem (Boxall and Hieronymi)

T^2 has \mathcal{L} -open core.

Lemma

Let (K, F) be a pair of real-closed fields with F a dense subfield. Then (K, F) has an elementary extension (K^, F^*) which has a distal expansion.*

Theorem (Hieronymi, Nell, 2017)

Let T be an o-minimal theory extending the theory of ordered abelian groups. Then the theory T^2 is not distal.

Theorem (Nell, 2018)

Consider the pair (A, B) with A an ordered vector space and B a dense subspace. Then it has a distal expansion, namely $(A, B, A/B, +, 0, <)$.

Let \mathcal{M} be a saturated model of T_D^* .

Definition (Hieronymi, Nell (2017))

Let $\varphi(x_1, \dots, x_n; y)$ be a partitioned \mathcal{L} -formula, where x_i , $1 \leq i \leq n$ is a p -tuple of variables and y is a q -tuple of variables, $p, q > 0$. Then φ is distal (in T) if for every $b \in M^q$, and every indiscernible sequence $(a_i)_{i \in I}$ in M^p such that

- 1 $I = I_1 + c + I_2$, where both I_1, I_2 are (countable) infinite dense linear orders without end points and c is a single element with $I_1 < c < I_2$,
- 2 the sequence $(a_i)_{i \in I_1 + I_2}$ in M^p is indiscernible over b ,

then $\mathcal{M} \models \varphi(a_{i_1}, \dots, a_{i_n}; b) \leftrightarrow \varphi(a_{j_1}, \dots, a_{j_n}; b)$ with $i_1 < \dots < i_n, j_1 < \dots < j_n$ in I .

Theorem (Chernikov)

Assume that T is a distal theory of topological \mathcal{L} -fields and that T admits quantifier elimination. Then T_D^ is distal.*

Definition

Let \mathcal{A} be a first-order structure and $R \subset A^m \times A^n$ a definable relation.

- 1 A pair of subsets $E_1 \subset A^m, E_2 \subset A^n$ are R -homogeneous if either $E_1 \times E_2 \subset R$, or $E_1 \times E_2 \cap R = \emptyset$.
- 2 the relation R has the strong Erdős-Hajnal property if there is a constant $c(R)$ such that for every finite subsets $E_1 \subset A^m, E_2 \subset A^n$ there are subsets $E_1^0 \subset E_1, E_2^0 \subset E_2$ such that $|E_1^0| \geq c \cdot |E_1|, |E_2^0| \geq c \cdot |E_2|$ and the pair E_1^0, E_2^0 is R -homogeneous.

Erdős-Hajnal property

Theorem (Chernikov and Starchenko)

Definable relations in an arbitrary differentially closed field of characteristic 0 satisfy the strong Erdős-Hajnal property.

One uses the fact that any model of *CODF* interprets a model of *DCF*₀ (Singer).

Corollary (to Chernikov's theorem)

Definable relations in a dense pair of real-closed fields satisfy the strong Erdős-Hajnal property.