

# mmooRREE COUNTING!

*Question:* In how many ways can we place  $k$  objects in  $n$  boxes?

*Answer:* It depends.

- ▶ What do the objects look like?
  - ▶ Do the objects all look the same?
- ▶ What do the boxes look like?
  - ▶ Do the boxes all look the same?
- ▶ Are there any restrictions?
  - ▶ Is there a size limit?
  - ▶ Must there be an object in each box?

# Counting distributions

*Definition:* A **distribution** is an assignment of objects to recipients.

Certain counting problems can be revisited in this framework:

$\left\{ \begin{array}{l} \text{Five-letter passwords} \\ \text{on } \{A, B, C, D, E, F, G\} \end{array} \right\}$  correspond to  $\left\{ \begin{array}{l} \text{Distributions of} \\ \text{___ distinct objects} \\ \text{into ___ distinct boxes} \end{array} \right\}$

- ▶ What are candidates for objects, boxes?
- ▶ View as a function
- ▶ View as a distribution
- ▶ Find the restriction

# THE CHART

*Question:* In how many ways can we place  $k$  objects in  $n$  boxes?

Distributions of		Restrictions on # objects received			
$k$ objects	$n$ boxes	none	$\leq 1$	$\geq 1$	$= 1$
distinct	distinct				
identical	distinct				
distinct	identical				
identical	identical				

Where do our known answers fit into the table? (Use function view)

- ▶  $n^k$ : Objects distinct, Boxes distinct, no restriction.
- ▶  $(n)_k$ : Objects distinct, Boxes distinct,  $\leq 1$  object per box.
- ▶  $n!$ : Permutations. What about when  $n \neq k$ ?
- ▶  $\binom{n}{k}$ : Objects \_\_\_\_\_, Boxes \_\_\_\_\_, \_\_\_\_\_.
- ▶  $\binom{n}{k}$ : Objects \_\_\_\_\_, Boxes \_\_\_\_\_, \_\_\_\_\_.

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distinct	distinct				
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distinct	identical				
identical	identical				

We can also fill in these answers:

- ▶ Objects identical, Boxes distinct,  $\geq 1$  object per box:
  
- ▶ Objects identical, Boxes distinct,  $= 1$  object per box:

## Distinct objects in indistinguishable boxes

When placing  $k$  distinguishable objects into  $n$  indistinguishable boxes, what matters? \_\_\_\_\_

- ▶ Each object needs to be in some box.
- ▶ No object is in two boxes.

We have rediscovered \_\_\_\_\_.

So ask “How many set partitions are there of a set with  $k$  objects?”

Or even, “How many set partitions are there of  $k$  objects into  $n$  parts?”

# Stirling numbers

The **Stirling number of the second kind** counts the number of ways to partition a set of  $k$  elements into  $i$  **non-empty** subsets.

Notation:  $S(k, i)$  or  $\left\{ \begin{matrix} k \\ i \end{matrix} \right\}$ . ← **Careful about this order!**

$k$	$\left\{ \begin{matrix} k \\ 0 \end{matrix} \right\}$	$\left\{ \begin{matrix} k \\ 1 \end{matrix} \right\}$	$\left\{ \begin{matrix} k \\ 2 \end{matrix} \right\}$	$\left\{ \begin{matrix} k \\ 3 \end{matrix} \right\}$	$\left\{ \begin{matrix} k \\ 4 \end{matrix} \right\}$	$\left\{ \begin{matrix} k \\ 5 \end{matrix} \right\}$	$\left\{ \begin{matrix} k \\ 6 \end{matrix} \right\}$	$\left\{ \begin{matrix} k \\ 7 \end{matrix} \right\}$
0	1							
1		1						
2		1	1					
3		1	3	1				
4		1	7	6	1			
5		1	15	25	10	1		
6		1	31	90	65	15	1	
7		1						1

In Stirling's triangle:

$$S(k, 1) = S(k, k) = 1.$$

$$S(k, 2) = 2^{k-1} - 1.$$

$$S(k, k-1) = \binom{k}{2}.$$

Later: Formula for  $S(k, i)$ .

To fill in the table, find a recurrence for  $S(k, i)$ :

**Ask:** In how many ways can we place  $k$  objects into  $i$  boxes?

We'll condition on the placement of element  $\#i$ :

# THE CHART

*Question:* In how many ways can we place  $k$  objects in  $n$  boxes?

Distributions of		Restrictions on # objects received			
$k$ objects	$n$ boxes	none	$\leq 1$	$\geq 1$	$= 1$
distinct	distinct	$n^k$	$\binom{n}{k}$		$n!$ or 0
identical	distinct	$\binom{n}{k}$	$\binom{n}{k}$	$\binom{n}{k-n}$	1 or 0
distinct	identical				
identical	identical				

$S(k, n)$  counts ways to place  $k$  distinct obj. into  $n$  identical boxes.

What if we then label the boxes?

(Note that here we have counted *onto functions*  $[k] \rightarrow [n]$ .)

How many ways to distribute distinct objects into identical boxes:

- ▶ If there is exactly one item in each box?
- ▶ If there is at most one item in each box?
- ▶ What about with no restrictions?  $(n \geq k \rightsquigarrow \text{Bell number } B_k)$

# Bell numbers

*Definition:* The **Bell number**  $B_k$  is the number of partitions of a set with  $k$  elements, into any number of non-empty parts.

We have  $B_k = S(k, 0) + S(k, 1) + S(k, 2) + \cdots + S(k, k)$ .

$B_0$	$B_1$	$B_2$	$B_3$	$B_4$	$B_5$	$B_6$	$B_7$	$B_8$	$B_9$
1	1	2	5	15	52	203	877	4140	21147

*Theorem 2.3.3.* The Bell numbers satisfy a recurrence:

$$B_k = \binom{k-1}{0} B_0 + \binom{k-1}{1} B_1 + \cdots + \binom{k-1}{k-1} B_{k-1}.$$

*Proof:* How many partitions of  $\{1, \dots, k\}$  are there?

**LHS:**  $B_k$ , obviously.

**RHS:** Condition on the box containing the last element  $k$ :

How many partitions of  $[k]$  contain  $i$  elements in the box with  $k$ ?



## Indistinguishable objects in indistinguishable boxes

When placing  $k$  indistinguishable objects into  $n$  indistinguishable boxes, what matters? \_\_\_\_\_

- ▶ We are partitioning the **integer**  $k$  instead of the **set**  $[k]$ .

**Example.** What are the partitions of 6?

**Definition:**  $P(k, i)$  is the number of partitions of  $k$  into  $i$  parts.

**Example.** We saw  $P(6, 1) = 1$ ,  $P(6, 2) = 3$ ,  $P(6, 3) = 3$ ,  $P(6, 4) = 2$ ,  $P(6, 5) = 1$ , and  $P(6, 6) = 1$ .

**Definition:**  $P(k)$  is the number of partitions of  $k$  into **any number** of parts.

**Example.**  $P(6) = 1 + 3 + 3 + 2 + 1 + 1 = 11$ .

# THE CHART, COMPLETED

*Question:* In how many ways can we place  $k$  objects in  $n$  boxes?

Distributions of		Restrictions on # objects received			
$k$ objects	$n$ boxes	none	$\leq 1$	$\geq 1$	$= 1$
distinct	distinct	$n^k$	$(n)_k$	$n!S(k, n)$	$n!$ or 0
identical	distinct	$\binom{n}{k}$	$\binom{n}{k}$	$\binom{n}{k-n}$	1 or 0
distinct	identical	$\sum S(k, i)$	1 or 0	$S(k, n)$	1 or 0
identical	identical	$\sum P(k, i)$	1 or 0	$P(k, n)$	1 or 0

$P(k, n)$  counts ways to place  $k$  identical obj. into  $n$  identical boxes.

How many ways to distribute identical objects into identical boxes:

- ▶ If there is exactly one item in each box?
- ▶ If there is at most one item in each box?
- ▶ What about with no restrictions?

(This is the # of integer partitions of  $k$  into at most  $n$  parts.)