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Effect of Low Medical Literacy on Health Survey Measurements.  
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Effect of Low Medical Literacy on Health Survey Measurements

Alia A. Al-Tayyib, BA, Susan M. Rogers, PhD, James N. Gribble, ScD, Maria Villarreal, MA, and Charles F. Turner, PhD

Paper self-administered questionnaires (SAQs) are a standard interviewing technique for surveys of sensitive topics. Although SAQs afford respondents greater privacy than face-to-face interviews, they have several limitations. First, they require literate respondents. Second, they require forms literacy—the ability to select consistent responses, implement general survey instructions, and correctly follow branching or skip instructions. Survey respondents may have difficulty following skip instructions if they are not forms literate.

This brief report examines the relationship between assessed levels of medical literacy, respondent characteristics, and the quality of measurements made in the 1997/98 Baltimore Sexually Transmitted Disease (STD) and Behavior Survey.

METHODS

Baltimore STD and Behavior Survey

The Baltimore STD and Behavior Survey (BSBS) collected data on sensitive health behaviors, including alcohol and drug use, sexual behaviors, and sexually transmitted diseases, among a probability sample of 1014 adults aged 18 to 45 years residing in Baltimore, Md. For details on sampling design and survey procedures, see Turner et al.1,2 Participants were randomly assigned to 1 of 2 interview modes, audio computer-assisted self-interview (ACASI) or computer-assisted personal interview (CAPI). Survey questions contained branching or skip patterns—conditional paths through the questionnaire based on answers to specific questions. Participants assigned to the ACASI mode completed the entire questionnaire on a laptop computer. Branching patterns in ACASI were automated, removing the need for the respondent to follow skip instructions. Participants assigned to CAPI were administered the majority of questions by a trained interviewer but completed 2 paper SAQs for the more sensitive behaviors.

Rapid Estimate of Adult Literacy in Medicine

Following completion of the questionnaire, interviewers administered the Rapid Estimate of Adult Literacy in Medicine (REALM) to all participants. The REALM was designed for use in public health settings to identify patients who may need special attention with health care instructions because of low literacy.3 The REALM measures a respondent’s ability to read and correctly pronounce 66 common medical terms. The total number of correctly pronounced words measures each respondent’s REALM score. Scores are collapsed into 4 reading grade range estimates: grade 3 and below, grades 4 through 6, grades 7 through 8, and grade 9 and above.

Paper Self-Administered Questionnaires

The first SAQ contained 10 questions about alcohol and illicit drug use. Respondents who indicated that they had used alcohol were asked 4 CAGE scale questions on alcohol-related problems.4 Respondents indicating that they had never used alcohol were instructed to skip to questions that assessed illicit drug use. The second SAQ contained 12 questions about same-sex attraction and sexual contact, masturbation, forced sexual intercourse, and paid sexual intercourse.5,6 This form also contained skip patterns based on whether or not the respondent had engaged in a particular behavior.

Types of Errors

We identified 3 types of errors made in completing the SAQs. Skip errors included instances in which the respondent did not properly follow the printed skip instructions. Although this type of error may indicate low forms literacy or simple inattention to instructions, it does not suggest that the respondent was unable to comprehend the questions. A second category, logically inconsistent answers, suggests that the respondent was not reading the question and was merely circling an answer at random, or that the respondent did...
not understand the question. The final category, other errors, included circling more than 1 answer, writing in answers not offered as responses, or writing that the question did not apply.

RESULTS

Of the 1014 adults who completed the survey, 992 also completed the REALM instrument. Table 1 presents population-based estimates of medical literacy for the adult population of Baltimore. Five percent of adults are estimated to have REALM-assessed literacy scored at the level of grade 3 or below, 6.6% in the grades 4 through 6 range, 16.3% in the grades 7 through 8 range, and 72.1% at the level of grade 9 or higher. Estimates vary significantly by race, self-reported education, income, and sex.

To assess the effect of medical literacy on our study measurements, we compared the error rates for completion of the SAQ by respondents’ scores on the REALM. Table 2 summarizes the error rates of the 485 participants who completed the alcohol SAQ and the 487 participants who completed the same-sex sexual intercourse and masturbation SAQ; all of these participants also completed the REALM. Skip errors were the most common type of error across grade range estimates. On the alcohol SAQ, the overall error rate decreased significantly as the literacy level increased (P < .0001). At the literacy range of grade 3 or lower, only 21.4% of respondents were able to complete the alcohol SAQ without errors, compared with 70.5% of those at the level of grade 9 and above. However, skip errors were detected among 25% of those with estimated literacy at grade 9 or above, although only 0.8% answered inconsistently.

The layout of the same-sex sexual intercourse and masturbation SAQ was more complex than the alcohol SAQ. The questions assessing respondents’ experience with masturbation contained 2 different skip instructions originating from the same question. For respondents scoring at the level of grade 9 and above, only 53.8% completed the same-sex sexual intercourse and masturbation SAQ without error, whereas 39.7% made skip errors. The proportion of respondents providing logically inconsistent answers on
this form was highest among those at the level of grade 3 or below (17.9%).

CONCLUSIONS

Our findings support a link between low literacy, as assessed by the REALM, and participants’ inability to accurately complete a paper SAQ. We estimate that 28% of Baltimore adults (aged 18 to 45 years) have a REALM-rated literacy at the level of grade 8 or less and that 12% are at the level of grade 6 or less. These results have important implications for the survey measurement of health and other behaviors.

Our data suggest that, although persons with low medical literacy will provide answers on paper self-administered forms, they may respond to questions that they do not completely understand. For example, we found that logically inconsistent answers on the alcohol SAQ were 8 times more likely in respondents at the level of grade 3 and below (17.9%) than with respondents at the level of grade 9 or higher (6.5%). Measurements made with SAQs are also vulnerable to errors when respondents do not follow questionnaire skip instructions. These error rates increase with lower literacy. Nonetheless, we found that error rates on skip instructions were quite high even among persons whose REALM-assessed literacy tested at the grade 9 and above range (25% to 40%).

These findings provide important evidence for the potential benefits of audio computer-assisted self-interviewing technologies. A–D ACASI does not require respondent literacy; the respondent listens to the recorded questions and the defined response categories through headphones. ACASI eliminates the requirement that respondents be forms literate by automatically skipping respondents to the next question that is appropriate for them. The trend in survey research to adopt computer-based technologies offers promise for reducing the errors associated with low literacy, thereby improving the quality of survey measurements.

About the Authors
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Contributions
A.A. Al-Tayyib analyzed the data and wrote the brief. S.M. Rogers supervised data analysis and contributed to the writing. J.N. Gribble supervised the initial conception of the data analysis plan. M. Villarreal duplicated the data analysis for quality control purposes. C.F. Turner conceived and designed the 1997/98 Baltimore Sexually Transmitted Diseases and Behavior Survey and contributed to the writing of the brief. All authors approved the final version of this brief.

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Human Participant Protection
The protocol for this study was approved by institutional review boards at the Research Triangle Institute (RTI) and the Johns Hopkins Medical Institutions (JHMs).

References
Avoidable mortality is a potentially useful population-based outcome measure that would encourage further evaluation and implementation. Its potential as a public health measurement convinced us to examine the avoidable mortality for underlying disease incidence in the United States and Canada from 1980–1996.

In 1976, Rutstein et al. proposed “avoidable mortality” as a simple and practical method of counting “unavoidable mortality” and suggested that, if differences exist, avoidable mortality might be a useful public health and primary care performance indicator because it focuses at least in part on primary care and community health outcomes. O’Reilly et al. suggested that adjusting avoidable mortality for possible shortcomings in the health care system, but deaths from these conditions, public health and primary care are usually the responsible health care performance indicator because it focuses at least in part on primary care and community health outcomes.

We used the European Community Concerted Action Project on Health Services and “avoidable mortality” (ECCAP).8 The European Community Database for Canada.20

Among the 11 disease groups, we found that breast cancer mortality was marginally lower in the United States than in Canada, and that, if differences existed, avoidable mortality might be a useful public health and primary care performance indicator because it focuses at least in part on primary care and community health outcomes.

Predictably, ischemic heart disease accounted for approximately half of all avoidable deaths. Compared with the United States, differences in avoidable mortality between the 2 countries are significant, and that, if differences existed, avoidable mortality might be a useful public health and primary care performance indicator because it focuses at least in part on primary care and community health outcomes.

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Results:

Avoidable mortality is also one of the few outcome measures that can use existing data to compare national health care systems. We used the European Community Concerted Action Project on Health Services and “avoidable mortality” (ECCAP).8 The European Community Database for Canada.20

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