

Math 157 Fall 2013 Homework 6 - Selected answers

Problem 1. Read Chapter 1, through section 1.8. (pages 48-61).

(a) In Section 1.5, do exercises 2,3,4,8,9,10, and 11.

Answer. Exercise 2. Let $f(x) = 1 + x$ and $g(x) = 1 - x$. Then

$$f(2) + g(2) = 3 + -1 = 2$$

$$f(2) - g(2) = 3 - -1 = 4$$

$$f(2)g(2) = (3)(-1) = -3$$

$$\frac{f(2)}{g(2)} = \frac{3}{-1} = -3$$

$$g(f(2)) = g(3) = 1 - 3 = -2$$

$$f(g(2)) = f(-1) = -1 + 1 = 0$$

$$f(a) + g(-a) = 1 + a + (1 - -a) = 2 + 2a$$

$$f(t)g(-t) = (1 + t)(1 + t).$$

Answer. Exercise 9. Let

$$f(x) = \sum_{k=0}^n c_k x^k = c_0 + c_1 x + c_2 x^2 + \cdots + c_n x^n.$$

(a) If $n \geq 1$ and $f(0) = 0$, the $f(x) = xg(x)$ where g is a polynomial of degree $n - 1$. To see this, note that for any polynomial, $f(0) = c_0$. So, if $f(0) = 0$, then $c_0 = 0$. So,

$$\begin{aligned} f(x) &= \sum_{k=1}^n c_k x^k = c_1 x + c_2 x^2 + \cdots + c_n x^n \\ &= x(c_1 + c_2 x + \cdots + c_n x^{n-1}) \\ &= xg(x) \end{aligned}$$

where

$$g(x) = (c_1 + c_2 x + \cdots + c_n x^{n-1}) = \sum_{k=0}^{n-1} c_{k+1} x^k.$$

(b) For each real number a , the function p defined by $p(x) = f(x + a)$ is a polynomial of degree n . To see this, simply substitute $x + a$ for x in $f(x)$, expand the expressions $(x + a)^k$, and collect the powers of x . More explicitly,

$$\begin{aligned} p(x) &= f(x + a) \\ &= c_0 + c_1(x + a) + c_2(x + a)^2 + \cdots + c_n(x + a)^n \\ &= c_0 + (c_1 x + c_1 a) + (c_2 x^2 + 2c_2 a x + c_2 a^2) + (c_3 x^3 + 3c_3 a x^2 + 3c_3 a^2 x + c_3 a^3) \\ &\quad + \cdots + (c_n x^n + n c_n a x^{n-1} + \cdots + n c_n a^{n-1} x + c_n a^n) \\ &= (c_0 + c_1 a + c_2 a^2 + c_3 a^3 + \cdots + c_n a^n) + (c_1 + 2c_2 a + 3c_3 a^2 + \cdots + n c_n a^{n-1})x + \\ &\quad + (c_2 + 3c_3 a + \cdots)x^2 + \cdots + c_n x^n \end{aligned}$$

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which is a polynomial of degree n also.

- (c) If $n \geq 1$ and $f(a) = 0$, then there exists a polynomial h of degree $n - 1$ with $f(x) = (x - a)h(x)$. To see this, let $p(x) = f(x + a)$. Note that $f(x) = p(x - a)$. Since $f(a) = 0$, we have $p(0) = 0$ and since p is a polynomial of degree n , part (a) implies that p factors as

$$p(x) = xq(x)$$

for some polynomial $q(x)$ of degree $n - 1$. Therefore $f(x) = p(x - a) = (x - a)q(x - a)$. Since $q(x)$ is a polynomial of degree $n - 1$, so is $h(x) := q(x - a)$. Thus, we have found a polynomial h of degree $n - 1$ so that

$$f(x) = (x - a)h(x)$$

- (d) It follows that if f is a polynomial of degree n , then $f(x) = 0$ for at most n distinct real numbers. I'll walk through a slow argument of this fact.

If $n \geq 2$, and $f(x) = 0$ for two distinct values of x , say $x = a$ and $x = b$, then there exists a polynomial g of degree $n - 2$ so that $f(x) = (x - a)(x - b)g(x)$. To see this, use the previous part to write $f(x) = (x - a)h(x)$ for a polynomial h of degree $n - 1$. Then, since $f(b) = 0$, we have $(b - a)h(b) = 0$. Since $b - a \neq 0$ if a and b are distinct, we must have $h(b) = 0$. Then, the previous part says that there exists a polynomial g of degree $n - 2$ so that $h(x) = (x - b)g(x)$. Then,

$$f(x) = (x - a)(x - b)g(x).$$

Continuing, one sees that if $f(x) = 0$ for k distinct values of x , say $x = a_1, \dots, a_k$, then there exists a polynomial g of degree $n - k$ so that

$$f(x) = (x - a_1) \cdots (x - a_k)g(x).$$

Note that if the degree of f is n , then $f(x) = 0$ for at most n distinct values of x . To see this, note that if $f(x) = 0$ for $n > 0$ distinct values of x , call them a_1, \dots, a_n , then

$$f(x) = (x - a_1)(x - a_2) \cdots (x - a_n)g(x)$$

for some polynomial g of degree zero. Since g is a degree zero polynomial, $g(x) = c$ for some constant c and $c \neq 0$ since degree of f is $n > 0$. So the polynomial f has the form

$$f(x) = c(x - a_1)(x - a_2) \cdots (x - a_n)$$

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for some constants a_1, \dots, a_n and some nonzero constant c . Then, for any number a_{n+1} distinct from a_1, \dots, a_n , we have $(a_{n+1} - a_i) \neq 0$, so the righthand side $c(x - a_1)(x - a_2) \cdots (x - a_n)$ is not zero at $x = a_{n+1}$. That is, $f(x)$ cannot be zero for any number a_{n+1} distinct from a_1, \dots, a_n .

Another way to summarize this is to say that if f is a polynomial of degree less than or equal to n and $f(x) = 0$ for $n + 1$ distinct values of x , then $f(x) = 0$ for all x .

- (e) Now suppose that g is a polynomial of $m \geq n$, where n is the degree of the polynomial f . If $f(x) = g(x)$ for $m + 1$ distinct values of x , then $f = g$. To see this, note that the function h defined by $h(x) = f(x) - g(x)$ is a polynomial of degree $\leq m$. If $f(x) = g(x)$ for $m + 1$ distinct values of x , then $h(x) = 0$ for more than $m + 1$ distinct numbers, hence $h(x) = 0$.

- (b) In Section 1.7, do exercises 1,2,3, and 6.

Functions

Definition 1. We say that a set of ordered pairs $f \subseteq X \times Y$ is a *function from X to Y* if and only if for all $x \in X$ there exists one and only one $y \in Y$ so that $(x, y) \in f$. We usually write $f : X \rightarrow Y$ if $f \subseteq X \times Y$ is a function and we write $y = f(x)$ if $(x, y) \in f$. The set X is called *the domain of f* and the set Y is called *the codomain of f* .

It is common to think of a function $f : X \rightarrow Y$ a “rule” that associates $x \in X$ to $y \in Y$ whenever $y = f(x)$ and to refer to the set of ordered pairs $f \subseteq X \times Y$ as the *graph* of the function. By this convention the “rule” is referred to as the function f and the set $\text{graph}(f) = \{(x, y) \in X \times Y : f(x) = y\}$ is the *graph of f* .

Definition 2. Suppose that $f : X \rightarrow Y$ is a function.

- (a) For any subset $A \subseteq X$, we define the set $f(A) \subseteq Y$ by

$$f(A) = \{y \in Y : \exists x \in A \text{ with } f(x) = y\}.$$

- (b) We call $f(X) \subseteq Y$ the *range of f* .

- (c) For any subset $B \subseteq Y$, we define the set $f^{-1}(B) \subseteq X$ by

$$f^{-1}(B) = \{x \in X : f(x) \in B\}.$$

Problem 2. Suppose that $f : X \rightarrow Y$ is a function.

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- (a) For any $A \subseteq X$ and $B \subseteq X$, compare $f(A \cup B)$ and $f(A) \cup f(B)$.

Answer.

Claim 1. For any $A, B \subseteq X$, we have $f(A \cup B) = f(A) \cup f(B)$.

Proof. Let $A, B \subseteq X$. To prove that $f(A \cup B) \subseteq f(A) \cup f(B)$ let $y \in f(A \cup B)$. This means there exists an element $x \in A \cup B$ with $f(x) = y$. If $x \in A$, we have $y = f(x) \in f(A) \Rightarrow y \in f(A) \cup f(B)$. If $x \in B$, we have $y = f(x) \in f(B) \Rightarrow y \in f(A) \cup f(B)$.

To prove that $f(A) \cup f(B) \subseteq f(A \cup B)$, let $y \in f(A) \cup f(B)$. If $y \in f(A)$, there exists an element $x \in A$ with $f(x) = y$. Since $x \in A$, we have $x \in A \cup B$, so $y = f(x) \in f(A \cup B)$. If $y \in f(B)$, there exists an element $x \in B$ with $f(x) = y$. Since $x \in B$, we have $x \in A \cup B$, so $y = f(x) \in f(A \cup B)$. \square

- (b) For any $A \subseteq X$ and $B \subseteq X$, compare $f(A \cap B)$ and $f(A) \cap f(B)$.

Answer.

Claim 2. For any $A, B \subseteq X$, we have $f(A \cap B) \subseteq f(A) \cap f(B)$.

Proof. Let $A, B \subseteq X$ and let $y \in f(A \cap B)$. This means there exists an element $x \in A \cap B$ with $f(x) = y$. Since $x \in A$, $y = f(x) \in f(A)$. Since $x \in B$, $y = f(x) \in f(B)$. Together, $y \in f(A)$ and $y \in f(B)$ imply $y \in f(A) \cap f(B)$. \square

It may be the case that

$$f(A) \cap f(B) \not\subseteq f(A \cap B).$$

Consider an example: Let $X = \{1, 2, 3\}$, $Y = \{a, b, c\}$ and $f : \{1, 2, 3\} \rightarrow \{a, b, c\}$ be defined by

$$f(1) = a, \quad f(2) = a, \quad f(3) = b.$$

For $A = \{1\}$ and $B = \{2\}$, we have

$$f(A \cap B) = f(\emptyset) = \emptyset \text{ and } f(A) \cap f(B) = f(\{1\}) \cap f(\{2\}) = \{a\} \cap \{a\} = \{a\}.$$

Claim 3. If f is injective, then for any $A, B \subseteq X$, $f(A \cap B) = f(A) \cap f(B)$.

Proof. Let $A, B \subseteq X$. We already know that $f(A \cap B) \subseteq f(A) \cap f(B)$. To finish, we need to show that if f is injective then $f(A) \cap f(B) \subseteq f(A \cap B)$.

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So, assume f is injective and let $y \in f(A) \cap f(B)$. Since $y \in f(A)$ there exists an element $x \in A$ with $f(x) = y$. Since $y \in f(B)$ there exists an element $z \in B$ with $f(z) = y$. Since f is injective and $f(x) = f(z)$, we have $x = z$. Therefore $x \in A$ and $x = z \in B$, so $x \in A \cap B$. Therefore, $y = f(x) \in f(A \cap B)$. \square

(c) For any $C \subseteq Y$ and $D \subseteq Y$, compare $f^{-1}(C \cup D)$ and $f^{-1}(C) \cup f^{-1}(D)$.

(d) For any $C \subseteq Y$ and $D \subseteq Y$, compare $f^{-1}(C \cap D)$ and $f^{-1}(C) \cap f^{-1}(D)$.

Answer.

Claim 4. For any $C, D \subseteq Y$, we have $f^{-1}(C \cap D) = f^{-1}(C) \cap f^{-1}(D)$.

Proof. Let $C, D \subseteq Y$. To prove that $f^{-1}(C \cap D) \subseteq f^{-1}(C) \cap f^{-1}(D)$, let $x \in f^{-1}(C \cap D)$. This means $f(x) \in C \cap D$. So $f(x) \in C$ and $f(x) \in D$. Since $f(x) \in C$, we have $x \in f^{-1}(C)$. Since $f(x) \in D$, we have $x \in f^{-1}(D)$. Together $x \in f^{-1}(C)$ and $x \in f^{-1}(D)$ imply that $x \in f^{-1}(C) \cap f^{-1}(D)$.

To prove that $f^{-1}(C) \cap f^{-1}(D) \subseteq f^{-1}(C \cap D)$, let $x \in f^{-1}(C) \cap f^{-1}(D)$. This means $x \in f^{-1}(C)$ and $x \in f^{-1}(D)$. Since $x \in f^{-1}(C)$, we have $f(x) \in C$. Since $x \in f^{-1}(D)$ we have $f(x) \in D$. Therefore, $f(x) \in C \cap D$. Therefore $x \in f^{-1}(C \cap D)$. \square

(e) For any $A \subseteq X$, compare $f(X \setminus A)$ and $Y \setminus f(A)$.

Answer. First, we give an example to show that it may be the case that

$$f(X \setminus A) \not\subseteq Y \setminus f(A) \text{ and } Y \setminus f(A) \not\subseteq f(X \setminus A).$$

Let $X = \{1, 2, 3\}$, $Y = \{a, b, c\}$ and $f : \{1, 2, 3\} \rightarrow \{a, b, c\}$ be defined by

$$f(1) = a, \quad f(2) = a, \quad f(3) = b.$$

Let $A = \{1\}$. We have

$$f(X \setminus A) = f(\{2, 3\}) = \{a, b\} \text{ and } Y \setminus f(A) = Y \setminus \{a\} = \{b, c\}.$$

Claim 5. If $f : X \rightarrow Y$ is injective, then for any $A \subseteq X$ we have $f(X \setminus A) \subseteq Y \setminus f(A)$.

Proof. Assume $f : X \rightarrow Y$ is injective and $A \subseteq X$. Let $y \in f(X \setminus A)$. This means there exists $x \in X \setminus A$ with $f(x) = y$. Since f is injective, x is the only element of X with $f(x) = y$. Since $x \notin A$, there is no element $z \in A$ with $f(z) = y$. Thus, $y \notin f(A)$. This says $y \in Y \setminus f(A)$, as needed to prove that $f(X \setminus A) \subseteq Y \setminus f(A)$. \square

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Claim 6. If $f : X \rightarrow Y$ is surjective then for any $A \subseteq X$ we have $Y \setminus f(A) \subseteq f(X \setminus A)$.

Proof. Assume $f : X \rightarrow Y$ is surjective and $A \subseteq X$. Let $y \in Y \setminus f(A)$. Because f is onto, there exists $x \in X$ with $f(x) = y$. Note that $x \notin A$ for otherwise $f(x) = y \in f(A)$. Therefore $x \in X \setminus A$. Since $f(x) = y$, we have $y \in f(X \setminus A)$, as needed to prove that $Y \setminus f(A) \subseteq f(X \setminus A)$. \square

(f) For any $C \subseteq Y$, compare $f^{-1}(Y \setminus C)$ and $X \setminus f^{-1}(C)$.

(g) For any $A \subseteq X$, compare $f^{-1}(f(A))$ and A .

Answer.

Claim 7. For any $A \subseteq X$, we have $A \subseteq f^{-1}(f(A))$.

Proof. Let $x \in A$. Then $f(x) \in f(A)$. Since $f(x) \in f(A)$, the element $x \in f^{-1}(f(A))$. \square

Note that $f^{-1}(f(A))$ and A need not be equal. For example, let $X = \{1, 2, 3, 4\}$ and $Y = \{a, b, c, d\}$ and define

$$\begin{aligned} f : X &\rightarrow Y \\ 1 &\mapsto a \\ 2 &\mapsto b \\ 3 &\mapsto b \\ 4 &\mapsto c \end{aligned}$$

Let $A = \{1, 2\}$. Then

$$f^{-1}(f(A)) = f^{-1}(\{a, b\}) = \{1, 2, 3\} \neq A.$$

Claim 8. If f is injective, then for any $A \subseteq X$, we have $A = f^{-1}(f(A))$.

Proof. We only need to show that if f is injective, then $f^{-1}(f(A)) \subseteq A$. So assume f is injective and let $x \in f^{-1}(f(A))$. This means that $f(x) \in f(A)$. Therefore, there exists an element $z \in A$ with $f(z) = f(x)$. Since f is injective, $z = x$ and we see that $x \in A$. \square

(h) For any $C \subseteq Y$, compare $f(f^{-1}(C))$ and C .

Here “compare” means decide whether \subseteq , \supseteq , $=$, or none apply.

Definition 3. Suppose that $f : X \rightarrow Y$ is a function.

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- (a) We say that f is *injective* or *one to one* if and only if

$$\forall x \in X \forall z \in X (f(x) = f(z) \Rightarrow x = z).$$

- (b) We say that f is *surjective* or *onto* if and only if

$$\forall y \in Y \exists x \in X (f(x) = y).$$

- (c) We say that f is *bijective* if f is both injective and surjective.

We may call an injective function an *injection*, a surjective function a *surjection*, and a bijective function a *bijection*.

Problem 3. Which apply: injective, surjective, or bijective?

- (a) Define $f : \mathbb{N} \rightarrow \mathbb{N}$ by $f(n) = 2n$ for every $n \in \mathbb{N}$.

Answer. The function f is injective but not surjective. To see that f is injective, suppose $f(n) = f(k)$. This means $2n = 2k$, which implies $n = k$. To see that f is not surjective, note that $1 \notin f(\mathbb{N})$ since $1 \neq 2n$ for any $n \in \mathbb{N}$.

- (b) Define $g : \mathbb{N} \setminus \{0, 1\} \rightarrow \mathbb{N}$ by $g(n) = n - 1$ for every $n \in \mathbb{N}$.

Answer. Here, g is bijective. To see that g is injective, assume $g(n) = g(k)$. This means $n - 1 = k - 1$ which implies $n = k$. To see that g is surjective, let $y \in \mathbb{N}$, then $n = y + 1 \in \mathbb{N} \setminus \{0, 1\}$ and $g(n) = g(y + 1) = y + 1 - 1 = y$.

- (c) Let $X = \{\text{functions } \phi : \mathbb{N} \rightarrow \mathbb{N}\}$. Define a function $G : X \rightarrow \mathbb{N}$ by $G(\phi) = \phi(3)$ for all $\phi \in X$.

Answer. This function G is surjective but not injective. To see that it is surjective, let $y \in \mathbb{N}$. Then for the constant function $\phi : \mathbb{N} \rightarrow \mathbb{N}$ defined by $\phi(n) = y$, we have $G(\phi) = \phi(3) = y$.

To see that G is not surjective consider the constant function the constant function $\phi : \mathbb{N} \rightarrow \mathbb{N}$ defined by $\phi(n) = 6$ and the function $f : \mathbb{N} \rightarrow \mathbb{N}$ defined by $f(n) = 2n$. Here,

$$\phi \neq f \text{ and } G(\phi) = \phi(3) = 6 \text{ and } G(f) = f(3) = 6.$$

- (d) Let $X = \{\text{functions } \phi : \mathbb{N} \rightarrow \{0, 1\}\}$ and let $Y = \{\text{subsets of } \mathbb{N}\}$. Define a function $H : X \rightarrow Y$ by $H(\phi) = \phi^{-1}(\{1\})$.

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Answer. The function H is bijective. To see that H is injective, assume that $H(f) = H(g)$ for functions $f, g : \mathbb{N} \rightarrow \{0, 1\}$. The assumption that $H(f) = H(g)$ means that $f^{-1}(\{1\}) = g^{-1}(\{1\})$. So, for all $n \in f^{-1}(\{1\})$, we have $f(n) = 1 = g(n)$. If $n \notin f^{-1}(\{1\})$, we must have $f(n) = 0$ and $g(n) = 0$. Therefore, for all $n \in \mathbb{N}$, $f(n) = g(n)$. That is, $f = g$.

To see that H is surjective, let $Y \subset \mathbb{N}$. Define a function $f : \mathbb{N} \rightarrow \mathbb{N}$ by $f(n) = 1$ if $n \in Y$ and $f(n) = 0$ if $n \notin Y$. Then $H(f) = f^{-1}(\{1\}) = Y$.