

## Local and Global Extrema (Relative and Absolute)

$f(x)$  has a **local maximum** at  $x = a$   
if for all points  $x$  near  $a$ ,  $f(x) \leq f(a)$ .

$f(x)$  has a **local minimum** at  $x = a$   
if for all points  $x$  near  $a$ ,  $f(x) \geq f(a)$ .

**If**  $f(x)$  has a local max or local min at  $x = a$ ,  
**then**  $f'(a) = 0$  or  $f'(a)$  does not exist.

This is called a **critical point**. (pics!)

However, **if**  $f'(a) = 0$  or  $f'(a)$  DNE  
then this **does not imply** that  $x = a$   
has a local max or a local min. (pics!)

**Extreme Value Theorem:** If  $f$  is continuous on  
a closed interval (meaning: \_\_\_\_\_),  
then  $f(x)$  attains its absolute max and absolute min  
somewhere on this interval.

# Determining relative extrema

Important vocabulary:

- ▶ A maximum or minimum: means \_\_\_\_\_.
- ▶ A maximum value or minimum value: means \_\_\_\_\_.

We can try to determine if a critical point is a local extremum using:

## The second derivative test.

**If** the second partial derivatives of  $f(x, y)$  are continuous around  $(a, b)$  **And if**  $f_x(a, b) = 0$  and  $f_y(a, b) = 0$ , then define  $D(a, b)$ :

$$D(a, b) = f_{xx}(a, b) \cdot f_{yy}(a, b) - (f_{xy}(a, b))^2 = \begin{vmatrix} f_{xx} & f_{xy} \\ f_{xy} & f_{yy} \end{vmatrix}$$

1. If  $D > 0$  and  $f_{xx} > 0$ , then  $(a, b)$  is a local minimum.
2. If  $D > 0$  and  $f_{xx} < 0$ , then  $(a, b)$  is a local maximum.
3. If  $D < 0$ , then  $(a, b)$  is a **saddle point** of  $f$ .
4. If  $D = 0$ , the test is inconclusive.

## Extreme Examples

**Example.** Find the local extrema and saddle points of  $f(x, y) = x^4 + y^4 - 4xy + 1$ .

- ▶ Critical points:
- ▶ For each: Find  $D(a, b)$ , classify.

**Example.** Find the global extrema of  $f(x, y) = x^2 - 2xy + 2y$  on the rectangle  $0 \leq x \leq 3$  and  $0 \leq y \leq 2$ .

- ▶ Critical points on interior
- ▶ Check boundary

## Optimization is just finding maxima and minima

**Example.** A rectangular box with no lid is made from  $12 \text{ m}^2$  of cardboard. What is the maximum volume of the box?

**Solution.** Let length, width, and height be  $x$ ,  $y$ , and  $z$ , respectively. Then the question asks us to maximize  $V = \underline{\hspace{2cm}}$ , subject to  $\underline{\hspace{2cm}}$ .

Solving for  $z$  gives  $z = \frac{12-xy}{2x+2y}$ . Inserting,  $V = xy \left( \frac{12-xy}{2x+2y} \right)$ .

To find an optimum value, solve for  $\frac{\partial V}{\partial x} = 0$  and  $\frac{\partial V}{\partial y} = 0$ .

$$\frac{\partial V}{\partial x} = 0 \rightsquigarrow$$

$$\frac{\partial V}{\partial y} = 0 \rightsquigarrow$$

Solving these simultaneous equations,  $12 - 2xy = x^2 = y^2 \Rightarrow x = \pm y$ .

Because this is real world,  $\underline{\hspace{2cm}}$ , so we solve  $12 - 3x^2 = 0$ :  $\underline{\hspace{2cm}}$ .

This problem must have an absolute maximum, which must occur at a critical point. (Why?) Therefore  $(x, y, z) = (2, 2, 1)$  is the absolute maximum, and the maximum volume is  $xyz = 4$ .