

# Course Notes

Combinatorics, Fall 2015

Queens College, Math 636

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<http://qc.edu/~chanusa/courses/636/15/>

## Reference List

The following are books that I recommend to complement this course. They are *on reserve* in the library.

Benjamin and Quinn. *Proofs that really count.*

Bóna. *A walk through combinatorics.*

Brualdi. *Introductory combinatorics.*

Graham, Knuth, and Patashnik. *Concrete mathematics.*

Mazur. *Combinatorics: A guided tour*

van Lint and Wilson. *A course in combinatorics.*

# What is combinatorics?

In this class: Learn how to count ... **better**.

*Question:* How many domino tilings are there of an  $8 \times 8$  chessboard?



A **domino tiling** is a placement of dominoes on a region, where

- ▶ Each domino covers two squares.
- ▶ The dominoes cover the whole region and do not overlap.

# Domino tilings

How to determine the “answer”?

- ▶ Convert the chessboard into a combinatorial structure (a graph).
- ▶ Represent the graph numerically as a matrix.
- ▶ Take the determinant of this matrix.
- ▶ Use the structure of the matrices to determine their eigenvalues.

*Question:* How many domino tilings are there of an  $m \times n$  board?

*Answer:* If  $m$  and  $n$  are both even, then we have the **formula** (!):

$$\prod_{j=1}^{m/2} \prod_{k=1}^{n/2} \left( 4 \cos^2 \frac{\pi j}{m+1} + 4 \cos^2 \frac{\pi k}{n+1} \right).$$

# Combinatorial questions

Given some discrete objects, what properties and structures do they have?

- ▶ Can we count the arrangements?
  - ▶ **Count** means give a *number*.
- ▶ Can we enumerate the arrangements?
  - ▶ **Enumerate** means give a *description* or *list*.
- ▶ Do any arrangements have a certain property?
  - ▶ This is an **existence** question.
- ▶ Can we construct arrangements having some property?
  - ▶ We need to find a method of **construction**.
- ▶ Does there exist a “best” arrangement?
  - ▶ **Prove optimality**.

Mastering “Combinatorics” means internalizing many different techniques and strategies to know the best way to approach any counting question. We will develop **our toolbox**.

Uses a different kind of reasoning than in other math classes.

## To do well in this class:

- ▶ **Come to class prepared.**
  - ▶ Print out and read over course notes.
  - ▶ Read sections before class.
- ▶ **Form good study groups.**
  - ▶ Discuss homework and classwork.
  - ▶ Bounce proof ideas around.
  - ▶ You will depend on this group.
- ▶ **Put in the time.**
  - ▶ Three credits = (at least) nine hours / week out of class.
  - ▶ Homework stresses key concepts from class; learning takes time.
- ▶ **Stay in contact.**
  - ▶ If you are confused, ask questions (in class and out).
  - ▶ Don't fall behind in coursework or project.
  - ▶ I need to understand your concerns.

All homeworks online. Email me by Tuesday, 1st hwk due Thursday.

# Numbers are everywhere

Arrange yourselves into groups of **four** people,  
With people you **don't know**.

- ▶ Introduce yourself. (your name, where you are from)
- ▶ What brought you to this class?
- ▶ Fill out **the front of** your notecard:
  - ▶ Write your name. (Stylize if you wish.)
  - ▶ Write some words about how I might remember you & your name.
  - ▶ *Draw* something (anything!) in the remaining space.
- ▶ Exchange contact information. (phone / email / other)
- ▶ *Small talk suggestion:* What kept you busy this summer?

## Four Counting Questions (p. 2)

Here are four counting questions.

- Q1. How many 8-character passwords are there using  $A-Z$ ,  $a-z$ ,  $0-9$ ?
- Q2. In how many ways can a baseball manager order nine fixed baseball players in a lineup?
- Q3. How many Pick-6 lottery tickets are there?  
(Choose six numbers between 1–40.)
- Q4. How many possible orders for a dozen donuts are there when the store has 30 varieties?

**Group discussion:** Use your powers of estimation to order these from smallest to largest.

\_\_\_\_\_



# Counting words

*Definition:* A **list** or **word** is an ordered sequence of objects.

*Definition:* A  **$k$ -list** or  **$k$ -word** is a list of length  $k$ .

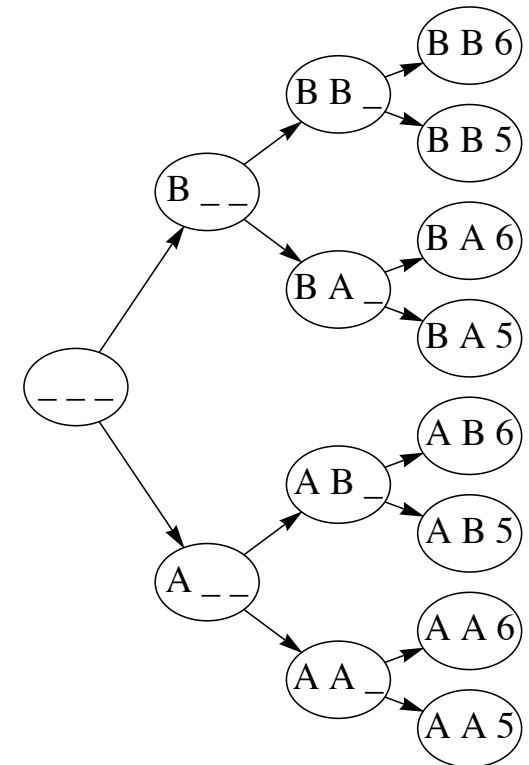
- ▶ A **list** or **word** is always ordered and a **set** is always unordered.

*Question:* How many lists have three entries where

- ▶ The first two entries can be either  $A$  or  $B$ .
- ▶ The last entry is either 5 or 6.

*Answer:* We can solve this using a tree diagram:

*Alternatively:* Notice two *independent* choices for each character. Multiply  $2 \cdot 2 \cdot 2 = 8$ .



# The Product Principle

This illustrates:

**The product principle:** When counting lists  $(l_1, l_2, \dots, l_k)$ ,

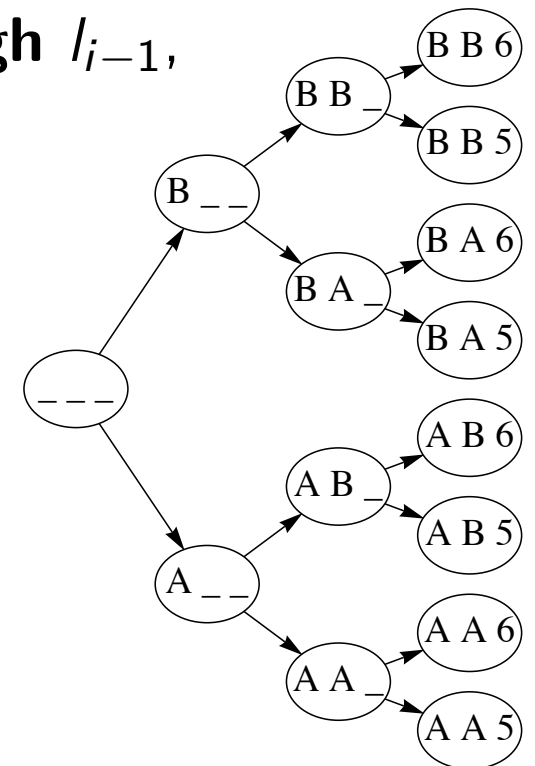
**IF** there are  $c_1$  choices for entry  $l_1$ , each leading to a different list,

**AND IF** there are  $c_i$  choices for entry  $l_i$ ,

**no matter the choices made for  $l_1$  through  $l_{i-1}$ ,**  
each leading to a different list

**THEN** there are  $c_1 c_2 \cdots c_k$  such lists.

**Caution:** The product principle seems simple, but we must be careful when we use it.



## Lists WITH repetition

**Q1.** How many 8-character passwords are there using  $A-Z$ ,  $a-z$ ,  $0-9$ ?

*Answer:* Creating a word of length 8, with \_\_\_\_ choices for each character. Therefore, the number of 8-character passwords is \_\_\_\_.  
(=218,340,105,584,896)

In general, the number of words of length  $k$  that can be made from an alphabet of length  $n$  and where repetition is allowed is  $n^k$

## Application: Counting Subsets

**Example.** How many subsets of a set  $S = \{s_1, s_2, \dots, s_n\}$  are there?

**Strategy:** “Try small problems, see a pattern.”

- ▶  $n = 0$ :  $S = \emptyset \rightsquigarrow \{\emptyset\}$ , size 1.
- ▶  $n = 1$ :  $S = \{s_1\} \rightsquigarrow \{\emptyset, \{s_1\}\}$ , size 2.
- ▶  $n = 2$ :  $S = \{s_1, s_2\} \rightsquigarrow \{\emptyset, \{s_1\}, \{s_2\}, \{s_1, s_2\}\}$ , size 4.
- ▶  $n = 3$ :  $S = \{s_1, s_2, s_3\} \rightsquigarrow \left\{ \begin{array}{l} \emptyset, \{s_1\}, \{s_2\}, \{s_1, s_2\}, \\ \{s_3\}, \{s_1, s_3\}, \{s_2, s_3\}, \{s_1, s_2, s_3\} \end{array} \right\}$ , 8.

It appears that the number of subsets of  $S$  is \_\_\_\_\_. (notation)

This number also counts \_\_\_\_\_.

We can label the subsets by whether or not they contain  $s_i$ .

For example, for  $n = 3$ , we label the subsets  $\left\{ \begin{array}{l} 000, 100, 010, 110, \\ 001, 101, 011, 111 \end{array} \right\}$

# Permutations

**Q2.** In how many ways can a baseball manager order nine fixed baseball players in a lineup?

*Answer:* The number of choices for each lineup spot are:

\_\_\_\_\_

Multiplying gives that the number of lineups is \_\_\_\_\_ = 362,880.

*Definition:* A **permutation** of an  $n$ -set  $S$  is an (ordered) list of **all** elements of  $S$ . There are  $n!$  such permutations.

*Definition:* A  **$k$ -permutation** of an  $n$ -set  $S$  is an (ordered) list of  $k$  distinct elements of  $S$ . **How many are there?**

- ▶ “Permutation” always refers to a list without repetition.

## Lists WITHOUT repetition

*Question:* How many 8-character passwords are there using  $A-Z$ ,  $a-z$ ,  $0-9$ , containing no repeated character?

**OK:** 2eas3FGS, 10293465      **Not OK:** 2kdjfn2, oOoOoOo0

*Answer:* The number of choices for each character are:

\_\_\_\_\_

for a total of  $(62)_8 = \frac{62!}{54!}$  passwords.

In general, the number of words of length  $k$  that can be made from an alphabet of length  $n$  and where repetition is NOT allowed is  $(n)_k$ .

- ▶ That is, the number of  $k$ -permutations of an  $n$ -set is  $(n)_k$ .
- ▶ **Special case:** For  $n$ -permutations of an  $n$ -set:  $n!$ .

# Notation

Some quantities appear frequently, so we use shorthand notation:

$$\blacktriangleright [n] := \{1, 2, \dots, n\} \quad \blacktriangleright 2^S := \text{set of all subsets of } S$$

$$\blacktriangleright n! := n \cdot (n-1) \cdot (n-2) \cdots 2 \cdot 1$$

$$\blacktriangleright (n)_k := n \cdot (n-1) \cdot (n-2) \cdots (n-k+1) = \frac{n!}{(n-k)!}$$

$$\blacktriangleright \binom{n}{k} := \frac{n!}{k!(n-k)!} = \frac{(n)_k}{k!}$$

$$\blacktriangleright \binom{\binom{n}{k}}{k} := \binom{k+n-1}{k}$$

★ Leave answers to counting questions in terms of these quantities.

★ **Do NOT** multiply out unless you are comparing values.

## Counting subsets of a set

*My question:* In how many ways are there to choose a subset of  $k$  objects out of a set of  $n$  objects?

*Your answer:*  $\binom{n}{k}$ . “ $n$  choose  $k$ ”.

*Question:* In how many ways can you choose 4 objects out of 10?

Q3. How many Pick-6 lottery tickets are there?  
(Choose six numbers between 1–40.)

$$= 3,838,380.$$

- ▶  $\binom{n}{k}$  is called a **binomial coefficient**.
- ▶ Alternate phrasing: How many  $k$ -subsets of an  $n$ -set are there?
- ▶ The individual objects we are counting are unordered. They are subsets, not lists.



## A formula for $\binom{n}{k}$

You may know that  $\binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{1}{k!}(n)_k$ . But why?

Let's rearrange it.

And prove it!

$$(n)_k = \binom{n}{k} k!$$

We ask the question:

“In how many ways are there to create a  $k$ -list of an  $n$ -set?”

LHS:

RHS:

Since we counted the same quantity twice, they must be equal!

# Counting Multisets

*Definition:* A **multiset** is an unordered collection of elements where repetition is allowed.

► *Example.*  $\{a, a, b, d\}$  is a multiset.

*Definition:* We say  $M$  is a **multisubset** of a set (or multiset)  $S$  if every element of  $M$  is an element of  $S$ .

► *Example.*  $M = \{a, a, a, b, d\}$  is a **multisubset** of  $S = \{a, b, c, d\}$ .

**Think Write Pair Share:** Enumerate **all** multisubsets of  $[3]$ .  
[In other words, *list them all* or *completely describe the list.*]

*Answer:*

How would you describe a  $k$ -multisubset of  $[n]$ ?

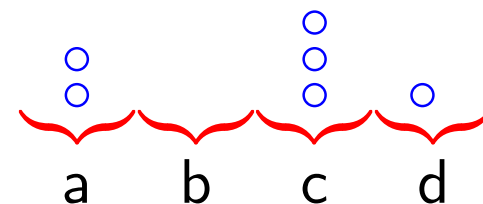
# Stars and Bars

*Question:* How many  $k$ -multisets can be made from an  $n$ -set?

$$\{a^2, b^0, c^3, d^1\} \quad \begin{array}{l} n = 4 \\ k = 6 \end{array}$$

— *is the same as* —

*Question:* How many ways are there to place  $k$  indistinguishable balls into  $n$  distinguishable bins?



— *is the same as* —

*Question:* How many  $\{\star, |\}$ -words contain  $k$  stars and  $(n - 1)$  bars?



— *which we can count by:* —

*Question:* How many ways are there to choose  $k$  star positions out of  $k + n - 1$ ?

$$\binom{k+n-1}{k} =: \binom{n}{k}$$

## Answering Q1–Q4

**Q4.** How many possible orders for a dozen donuts are there when the store has 30 varieties?

*Answer:*  $\binom{30}{12} = 7,898,654,920$ .

### Correct order:

Q2. Order 9 baseball players	$(9!)$	362,880
Q3. Pick-6; numbers 1–40	$\binom{40}{6}$	3,838,380
Q4. 12 donuts from 30	$\binom{30}{12}$	7,898,654,920
Q1. 8-character passwords	$(62^8)$	218,340,105,584,896

# Summary

	order matters (choose a list)	order doesn't matter (choose a set)
repetition allowed		
repetition not allowed		