# Definable Incompleteness and Friedberg Splittings

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#### Abstract

We define a property  $R(A_0, A_1)$  in the partial order  $\mathcal{E}$  of computably enumerable sets under inclusion, and prove that R implies that  $A_0$  is noncomputable and incomplete. Moreover, the property is nonvacuous, and the  $A_0$  and  $A_1$  which we build satisfying R form a Friedberg splitting of their union A, with  $A_1$  prompt and A promptly simple. We conclude that  $A_0$  and  $A_1$  lie in distinct orbits under automorphisms of  $\mathcal{E}$ , yielding a strong answer to a question previously explored by Downey, Stob, and Soare about whether halves of Friedberg splittings must lie in the same orbit.

### 1 Introduction

The computably enumerable sets form an upper semi-lattice under Turing reducibility. Under set inclusion, they form a lattice  $\mathcal{E}$ , as first noted by Myhill in [14], and the properties of a c.e. set as an element of  $\mathcal{E}$  often help determine its properties under Turing reducibility. Even before Myhill, Post had suggested that there should be a nonvacuous property of c.e. sets, definable without reference to the Turing degrees, which would imply that the Turing degree of such a set must lie strictly between the computable degree  $\mathbf{0}$  and the complete c.e. degree  $\mathbf{0}'$ .

Post's own attempts to find such a property failed. The properties he defined turned out to be extremely useful in computability theory, but each of them – simplicity, hypersimplicity, and hyperhypersimplicity – actually does hold of some complete set. The existence of a Turing degree between

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 $\mathbf{0}$  and  $\mathbf{0}'$  was first proven by completely different means, namely the finite injury constructions of Friedberg and Muchnik ([6], [13]).

The term "Post's Program" eventually came to denote the search for an  $\mathcal{E}$ -definable property implying incompleteness. Of the properties proposed by Post, all except hypersimplicity turned out to be definable in  $\mathcal{E}$ , and other  $\mathcal{E}$ -definable properties, such as maximality, were developed and studied in their own right. Nevertheless, Post's Program remained unfinished until 1991, when Harrington and Soare ([7]) found a property Q(A) definable in  $\mathcal{E}$  such that every A satisfying Q must be both noncomputable and Turing-incomplete. We give their definition of Q(A):

$$\begin{split} Q(A): & (\exists C)_{A \subset_{\mathrm{m}} C} (\forall B \subseteq C) (\exists D \subseteq C) (\forall S)_{S \sqsubseteq C} \\ & \left( \begin{array}{c} B \cap (S-A) = D \cap (S-A) \implies \\ (\exists T) [\overline{C} \subset T \& A \cap (S \cap T) = B \cap (S \cap T)] \end{array} \right). \end{split}$$

Here  $S \subset C$  abbreviates  $(\exists \hat{S})[S \cup \hat{S} = C \& S \cap \hat{S} = \emptyset]$ . (All variables represent elements of  $\mathcal{E}$ , namely c.e. sets.)  $A \sqcup B$  denotes the union of two disjoint sets A and B. Also,  $A \subset_{\mathbf{m}} C$  abbreviates "A is a major subset of C," meaning that  $A \subset C$  with C - A infinite such that for every W, if  $\overline{C} \subset W$ , then  $\overline{A} - W$  is finite. Since the property of being finite is  $\mathcal{E}$ -definable, the statement  $A \subset_{\mathbf{m}} C$  is  $\mathcal{E}$ -definable as well.

In this paper we generalize the property Q(A) to an  $\mathcal{E}$ -definable property  $R(A_0, A_1)$  of two c.e. sets. The statement of R is as follows:

$$R(A_0, A_1): A_0 \cap A_1 = \emptyset \&$$

$$(\exists C)(\forall B \subseteq C)(\exists D \subseteq C)(\forall S \sqsubset C)(\exists T) \Big[ A_0 \cup A_1 \subset_{\mathbf{m}} C \&$$

$$[(B \cap (S - A_0)) \cup A_1 = (D \cap (S - A_0)) \cup A_1 \implies$$

$$[\overline{C} \subset T \& (A_0 \cap S \cap T) \cup A_1 = (B \cap S \cap T) \cup A_1] \Big].$$

This property can be read to say that  $A_0$  satisfies the Q-property on  $\overline{A_1}$ . Indeed, the statement  $R(A_0,\emptyset)$  is equivalent to  $Q(A_0)$ . In Section 2 we prove that just as with the Q-property,  $R(A_0,A_1)$  implies that  $A_0$  is not of prompt degree, and hence not Turing complete in  $\Sigma_1^0$ . (A set which is not of prompt degree is said to be tardy, and since  $A_0$  satisfies an  $\mathcal{E}$ -definable property implying tardiness, we say that  $A_0$  is "definably tardy." Since all tardy sets are incomplete, we also say that  $A_0$  is "definably incomplete.")

Alternatively, we can interpret  $R(A_0, A_1)$  in the lattice  $\mathcal{E}/\mathcal{A}$ , where  $\mathcal{A}$  is the principal ideal in  $\mathcal{E}$  generated by  $A_1$ . (See [15], p. 225.) In this lattice,  $C \subseteq_{\mathcal{A}} D$  is defined to mean  $C \subseteq D \cup A_1$ , and  $C \approx_{\mathcal{A}} D$  if  $C \subseteq_{\mathcal{A}} D$ 

and  $D \subseteq_{\mathcal{A}} C$ . Essentially,  $R(A_0, A_1)$  says that  $Q(A_0)$  holds in  $\mathcal{E}/\mathcal{A}$ , with containment and equality replaced by  $\subseteq_{\mathcal{A}}$  and  $\approx_{\mathcal{A}}$ . The only differences are that we cannot state the properties  $A_0 \cap A_1 = \emptyset$  or  $A_1 \subseteq C$  in  $\mathcal{E}/\mathcal{A}$ , and that we have left the quantifier  $(\forall S \sqsubset C)$  in  $R(A_0, A_1)$  just as in the original Q-property, rather than restating it to hold on  $\overline{A_1}$ . Choosing not to restate it makes the R-property slightly stronger, but the stronger version can still be satisfied.

In Section 3 we construct c.e. sets  $A_0$  and  $A_1$  satisfying R, to show that the R-property is non-vacuous.  $A_0$  and  $A_1$  will also be noncomputable. Thus, the following  $\mathcal{E}$ -definable formula is non-vacuous:

$$(\exists A_1)[A_0 >_T \emptyset \& R(A_0, A_1)]$$

This formula guarantees that  $A_0$  is noncomputable and incomplete, just as the property Q(A) does for A. (Recall that computability is equivalent to the property of having a complement in  $\mathcal{E}$ .)

We then consider Friedberg splittings. Two disjoint c.e. sets  $B_0$  and  $B_1$  form a *Friedberg splitting* of  $B = B_0 \sqcup B_1$  if for every c.e. W:

$$W-B$$
 is not c.e.  $\implies$  neither  $W-B_0$  nor  $W-B_1$  is c.e.

The sets  $B_0$  and  $B_1$  are each said to be *half* of this Friedberg splitting. The sets  $A_0$  and  $A_1$  which we construct will have the additional property of forming a Friedberg splitting of their union.

We use the R-property to show that  $A_0$  and  $A_1$  cannot lie in the same orbit under automorphisms of  $\mathcal{E}$ . (In the argot of this topic, we say that  $A_0$  and  $A_1$  are not automorphic. Two sets are automorphic if they lie in the same orbit.) This will follow because the  $A_1$  we construct will be of prompt degree, hence automorphic to a complete set, by another result of Harrington and Soare in [7].

The orbits of halves of Friedberg splittings have been a subject of interest for some time, at least since the discovery of the hemimaximal sets. A set is hemimaximal if it is half of a nontrivial splitting of a maximal set. This is  $\mathcal{E}$ -definable, and Downey and Stob proved that the hemimaximal sets form an orbit (see [3]).

Since the maximal sets themselves form an orbit, and since few orbits are known in  $\mathcal{E}$ , this led to the conjecture that if  $\mathcal{O}$  is any orbit in  $\mathcal{E}$ , then the collection of "hemi- $\mathcal{O}$ " sets, i.e. halves of nontrivial splittings of sets in  $\mathcal{O}$ , might also be an orbit. Alternatively, it was conjectured that halves of Friedberg splittings of sets in  $\mathcal{O}$  might form an orbit. (For the orbit

of maximal sets, these classes coincide, since any nontrivial splitting of a maximal set is automatically a Friedberg splitting.)

Downey and Stob refuted both conjectures in [5], by producing two Friedberg splittings  $B_0 \sqcup B_1 = C_0 \sqcup C_1$  of the same set B, which were definably different in  $\mathcal{E}$ . Hence  $B_0$  and  $C_0$  satisfy different 1-types in the language of inclusion and cannot be automorphic.

The present result goes a step further. Since  $A_0$  is definably tardy, every set in its orbit must also be tardy, and hence  $A_1$  must lie in a different orbit. This is thus the first example of a single Friedberg splitting with the two halves known to lie in different orbits in  $\mathcal{E}$ . It is also the first application of Harrington and Soare's Q-property to derive results about Friedberg splittings.

Our notation mostly follows that of [16]. The finite sets form an ideal  $\mathcal{F} \subset \mathcal{E}$ , and we write  $\mathcal{E}^*$  for the lattice  $\mathcal{E}/\mathcal{F}$ . (Computability is definable in  $\mathcal{E}$  as the property of possessing a complement, and then finiteness is definable, since a set is finite if and only if all its subsets are computable.) We write  $A \subseteq^* B$  if B - A is finite, and  $A =^* B$  if  $A \subseteq^* B$  and  $B \subseteq^* A$ .

We use the standard enumeration  $\{W_e\}_{e\in\omega}$  of the computably enumerable sets, with finite approximations  $\{W_{e,s}\}_{s\in\omega}$  to each. For the c.e. sets which we construct ourselves, we will also give finite approximations, usually writing  $A = \bigcup_{s\in\omega}A^s$ . If A and B are both enumerated this way, we write  $A \setminus B = \{x : (\exists s)[x \in A^s - B^s]\}$ , and  $A \searrow B = \{x \in A \cap B : (\exists s)[x \in A^s - B^s]\}$ . Thus when an element not yet in B enters A, we put it into  $A \setminus B$ , and if it later enters B, then we put it into  $A \searrow B$  as well.

# 2 The R-Property

In order to guarantee that the set  $A_0$  is not automorphic to a complete set, we will force it to satisfy the lattice-definable property R defined in Section 1, and prove that this implies tardiness of  $A_0$ . Tardiness itself does not guarantee that a set cannot be automorphic to a complete set, of course, but satisfaction of R does, since every other set automorphic to  $A_0$  must also satisfy R and therefore must also be tardy, hence incomplete. (A tardy set must be half of a minimal pair under  $\leq_T$ , as shown in [16], and therefore must be incomplete.) We restate the R-property here:

$$R(A_0, A_1): A_0 \cap A_1 = \emptyset \&$$

$$(\exists C)(\forall B \subseteq C)(\exists D \subseteq C)(\forall S \sqsubset C)(\exists T) \Big[ A_0 \cup A_1 \subset_{\mathbf{m}} C \&$$

$$[(B \cap (S - A_0)) \cup A_1 = (D \cap (S - A_0)) \cup A_1 \implies$$

$$[\overline{C} \subset T \& (A_0 \cap S \cap T) \cup A_1 = (B \cap S \cap T) \cup A_1] \Big]$$

**Theorem 2.1** If  $A_0$  and  $A_1$  are two c.e. sets such that  $R(A_0, A_1)$  holds, then  $A_0$  is not of prompt degree.

*Proof.* The proof is similar to the corresponding result for the Q-property in [7]. Given  $A_0$  and  $A_1$ , we pick a set C as specified in  $R(A_0, A_1)$  and fix enumerations  $\{A_0^s\}_{s\in\omega}$  of  $A_0$  and  $\{C^s\}_{s\in\omega}$  of C such that  $A_0\subseteq C\searrow A_0$ .

To prove that a given  $\varphi_e$  is not a promptness function for  $A_0$ , we need to find an infinite c.e. set  $W_i$  with standard enumeration  $\{W_{i,s}\}_{s\in\omega}$  satisfying the tardiness requirement  $\mathcal{T}_e$ :

$$[(\forall s)\varphi_e(s)\downarrow \geq s] \implies (\forall x)(\forall s)[x\in W_{i,s}-W_{i,s-1} \implies A_0^s\upharpoonright x=A_0^{\varphi_e(s)}\upharpoonright x].$$

We will prove independently for each e that  $\mathcal{T}_e$  holds. Having fixed e, we will assume for the rest of this section that  $\varphi_e$  is total with  $\varphi_e(s) \geq s$  for every s, since otherwise  $\mathcal{T}_e$  is automatically fulfilled. We will build a strong array  $\{V_{(\alpha,k),n}\}_{k,n\in\omega;\alpha\in\omega\times\omega}$  of c.e. sets with enumerations  $\{V_{(\alpha,k),n}^s\}_{s\in\omega}$ . The Slowdown Lemma then gives a computable function f such that for each  $\langle \alpha,k\rangle$  and each n,  $W_{f(\langle \alpha,k\rangle,n)} = V_{\langle \alpha,k\rangle,n}$  and  $V_{\langle \alpha,k\rangle,n} \searrow W_{f(\langle \alpha,k\rangle,n)} = V_{\langle \alpha,k\rangle,n}$ , so that no element of  $V_{\langle \alpha,k\rangle,n}$  enters  $W_{f(\langle \alpha,k\rangle,n)}$  until it has already entered  $V_{\langle \alpha,k\rangle,n}$ . Periodically the strategy for a given  $\langle \alpha,k\rangle$  may be injured by a higher-priority strategy. If this happens while we are enumerating  $V_{\langle \alpha,k\rangle,n}$ , then we give up on  $V_{\langle \alpha,k\rangle,n}$  and start enumerating  $V_{\langle \alpha,k\rangle,n+1}$ . There will exist an  $\langle \alpha,k\rangle$  which is only injured n times (with  $n<\omega$ ), yet receives attention

at infinitely many stages, and the corresponding  $V_{(\alpha,k),n}$  will be infinite and will be the set which proves satisfaction of  $\mathcal{T}_e$ .

We define the function  $n(\langle \alpha, k \rangle, s)$  to keep track of which  $V_{\langle \alpha, k \rangle, n}$  we are enumerating at stage s. In particular, if the  $\langle \alpha, k \rangle$ -strategy receives attention at stage s+1, then we may add an element to  $V_{\langle \alpha, k \rangle, n(\langle \alpha, k \rangle, s+1)}^{s+1}$ . To avoid notational chaos, however, we will write  $V_{\langle \alpha, k \rangle, n}^{s+1}$  in the construction and understand  $V_{\langle \alpha, k \rangle, n}^{s+1}$  for it.

and understand  $V_{\langle \alpha,k\rangle,n(\langle \alpha,k\rangle,s+1)}^{s+1}$  for it. To ensure that one of these  $W_{f(\langle \alpha,k\rangle,n)}$  will satisfy  $\mathcal{T}_e$ , we build a c.e. set B to which to apply the property R. When we want to preserve  $A_0 \upharpoonright x$  from stage s until stage  $\varphi_e(s)$  so as to satisfy  $\mathcal{T}_e$ , we do so by restraining all elements < x from entering B until stage  $\varphi_e(s)$ . The R-property then prohibits such elements from entering  $A_0$ , since if they did, we would then hold them out of B forever after, thereby contradicting  $R(A_0, A_1)$ .

To apply the R-property, we need to know which c.e. set  $W_i$  is the D specified by the property. Of course, we do not have this information, but our strategy is to use S to cover all the possibilities. Specifically, in the construction we will split C into the disjoint union of c.e. sets:

$$C = \bigsqcup_{i \in \omega} S_i.$$

and apply the R-property to each  $S_i$ , with  $S_i$  in the role of S. (Clearly each  $S_i \subset C$ .) We use each  $S_i$  to handle the possibility that  $D = W_i$ .

Of course, the R-property states that the restraints we place on elements from entering B only affect  $A_0$  on  $S \cap T \cap \overline{A_1}$ . Since  $R(A_0, A_1)$  also states that  $A_0 \cap A_1$  is empty, we do not need to worry about elements of  $A_1$ , for they can never enter  $A_0$ . We are allowed to choose the S, since the matrix of R applies for all S, and indeed we have already done so above (namely  $S = S_i$ , for each i in turn). However, we can only guess at the set T.

To determine the index j such that  $T = W_j$  corresponds to the set S which we choose, we use a  $\Pi_2^0$  guessing procedure, since the conclusion in the matrix of R is a  $\Pi_2^0$  property. The j for which  $T = W_j$  will be the least j which receives infinitely many guesses under this procedure. (We ensure that the hypothesis of the matrix holds, by periodically putting all elements of  $D^s \cap (S^s - A_0^s)$  into  $B^s$ .) Moreover, in the construction, we will subdivide each  $S_i$  into the disjoint union of c.e. sets  $S_{i,j}$ :

$$S_i = \bigsqcup_{j \in \omega} S_{i,j}.$$

 $S_{i,j}$  is used to handle the possibility that  $T=W_j$ , so we pay attention to  $S_{i,j}$ 

each time j is named by the guessing procedure. Thus the  $S_{i,j}$  corresponding to the correct T will receive attention infinitely often.

To simplify the notation, we let the variable  $\alpha = \langle i, j \rangle$  range over  $\omega \times \omega$ , and define:

$$D_{\alpha} = W_i$$
  

$$S_{\alpha} = S_{i,j}$$
  

$$T_{\alpha} = W_i$$

We order the elements  $\alpha$  of  $\omega \times \omega$  by pulling back the usual order < on  $\omega$  to  $\omega \times \omega$  via a standard pairing function. Thus each  $\alpha$  has only finitely many predecessors under <.

For each  $\alpha$ , let  $F(\alpha)$  be the conjunction of the hypothesis and conclusion in the matrix of the R-property:

$$F(\alpha): \qquad (B \cap (S_{\alpha} - A_0)) \cup A_1 = (D_{\alpha} \cap (S_{\alpha} - A_0)) \cup A_1 \&$$

$$[\overline{C} \subset T_{\alpha} \& (A_0 \cap S_{\alpha} \cap T_{\alpha}) \cup A_1 = (B \cap S_{\alpha} \cap T_{\alpha}) \cup A_1]$$

$$(2)$$

s a  $\Pi_2^0$  condition, uniformly in lpha, so there is a computable total

Then  $F(\alpha)$  is a  $\Pi_2^0$  condition, uniformly in  $\alpha$ , so there is a computable total function g such that  $F(\alpha)$  holds just if  $g^{-1}(\alpha)$  is infinite. We enumerate the c.e. set  $Z_{\alpha} = g^1(\alpha)$  by setting  $Z_{\alpha}^s = \{t \leq s : g(t) = \alpha\}$ .

Now we narrow down each  $T_{\alpha}$  to a c.e. subset  $U_{\alpha}$ , enumerated by:

$$U_{\alpha}^{s} = U_{\alpha}^{s-1} \cup \{x \in T_{\alpha}^{s} - C^{s} : x < |Z_{\alpha}^{s}|\}$$

Thus, if  $T_{\alpha}$  actually is the T corresponding to  $S_i$ , then  $U_{\alpha}$  will contain all of  $T_{\alpha}$  except certain elements of C. Hence  $F(\alpha)$  will hold with  $U_{\alpha}$  in place of  $T_{\alpha}$ . On the other hand, if  $F(\alpha)$  fails, then  $Z_{\alpha}$  and  $U_{\alpha}$  are both finite.

If  $F(\alpha)$  holds, then  $\overline{C} \subseteq U_{\alpha}$ , so  $\overline{A_0} \subseteq^* U_{\alpha} \cup A_1$ , because  $A_0 \cup A_1 \subset_{\mathrm{m}} C$ . For the least  $\alpha$  such that  $F(\alpha)$  holds, our construction of  $S_{\alpha}^{s+1}$  will yield  $C - A_0 \subseteq^* S_{\alpha} \cup A_1$ , with  $S_{\beta}$  finite for all  $\beta < \alpha$ . Hence there will exist a k such that

$$C - A_0 \subseteq S_\alpha \cup A_1 \cup \{0, 1, \dots k - 1\}$$
 (3)

Line (3) is a  $\Pi_2^0$  statement, uniformly in k and  $\alpha$ , since our definition of  $S_{\alpha}$  will be uniform in  $\alpha$ . Therefore, there exists a total function  $h_{\alpha}$  such that (3) holds if and only if  $h_{\alpha}^{-1}(k)$  is infinite. We define:

$$h(s) = h_{g(s)}(n), \text{ where } n = |\{t < s : g(t) = g(s)\}|.$$

We will enumerate sets  $V_{(\alpha,k),n}$  for each  $\alpha$ , k and n. For the least  $\alpha$  with  $Z_{\alpha}$  infinite and the least k with  $h_{\alpha}^{-1}(k)$  infinite, the set  $V_{(\alpha,k),n}$  (for some

n) will be the  $W_i$  required by  $\mathcal{T}_e$ . Elements of each  $V_{(\alpha,k),n}$  (the "witness elements" for the requirement  $\mathcal{T}_e$ ) will be denoted  $v_{(\alpha,k)}^s$ . Each  $v_{(\alpha,k)}^s$  will enter  $V_{(\alpha,k),n}$  for at most one n.

The Slowdown Lemma (see [16], p. 284) then yields a computable function f such that, for every  $\langle \alpha, k \rangle$  and every n,  $V_{\langle \alpha, k \rangle, n} = W_{f(\langle \alpha, k \rangle, n)}$ , and at every stage s,

$$(V^s_{\langle \alpha, k \rangle, n} - V^{s-1}_{\langle \alpha, k \rangle, n}) \cap W_{f(\langle \alpha, k \rangle, n), s} = \emptyset.$$

When a witness element  $v_{\langle \alpha, k \rangle}^s$  enters  $V_{\langle \alpha, k \rangle, n}$ , we will find the stage  $t_{\langle \alpha, k \rangle}^s > s$  at which  $v_{\langle \alpha, k \rangle}^s$  enters  $W_{f(\langle \alpha, k \rangle, n)}$  and restrain (with priority  $\langle \alpha, k \rangle$ ) elements  $\leq v_{\langle \alpha, k \rangle}^s$  from entering  $A_0$  until stage  $\varphi_e(t_{\langle \alpha, k \rangle}^s)$ . (Recall that  $\mathcal{T}_e$  assumes  $\varphi_e$  to be total.) Thus we will have  $A_0^{t_{\langle \alpha, k \rangle}^s} \upharpoonright v_{\langle \alpha, k \rangle}^s = A_0^{\varphi_e(t_{\langle \alpha, k \rangle}^s)} \upharpoonright v_{\langle \alpha, k \rangle}^s$ . If we can achieve this for all  $v_{\langle \alpha, k \rangle}^s$  in the (infinite) set  $V_{\langle \alpha, k \rangle, n}$  for some n, then the set  $W_{f(\langle \alpha, k \rangle, n)}$  will be the set required by  $\mathcal{T}_e$  to prove that  $\varphi_e$  is not a promptness function for  $A_0$ .

At stage 0, for all  $\langle \alpha, k \rangle$ , we set  $n(\langle \alpha, k \rangle, 0) = 0$  and  $V^0_{\langle \alpha, k \rangle, 0} = \emptyset$ , with  $v^0_{\langle \alpha, k \rangle} \uparrow$  and  $t^0_{\langle \alpha, k \rangle} \uparrow$ . Also, let every  $S^0_{\alpha} = \emptyset$  and let  $B^0 = \emptyset$ .

At stage s+1, we first define each  $S_{\alpha}^{s+1}$ . For each  $x \in C^{s+1} - C^s$ , find the least  $\alpha$  such that  $x \in U_{\alpha}^s$  and put x into  $S_{\alpha}^{s+1}$ . If there is no such  $\alpha$ , put x into  $S_{\omega}^{s+1}$ . (The c.e. set  $S_{\omega}$  simply collects elements which enter C without entering any  $S_{\alpha}$ . Thus  $C = \bigsqcup_{\alpha < \omega} S_{\alpha}$ .)

Set  $\alpha = g(s)$ , and define:

$$B^{s+1} = B^s \cup \left\{ x: \begin{array}{l} x \in C^s - A^s_0 \ \& \ (\exists \beta \leq \alpha)[x \in D^{s+1}_\beta \cap S^{s+1}_\beta \ \& \\ (\forall \delta \leq \beta)(\forall k < s)[t^s_{\langle \delta, k \rangle} \downarrow \implies x \geq v^s_{\langle \delta, k \rangle}]] \end{array} \right\}$$

For each strategy which is injured at stage s+1, we begin enumerating a new witness set. To this end, set  $n(\langle \gamma, k \rangle, s+1) = n(\langle \gamma, k \rangle, s) + 1$  and  $v_{\langle \gamma, k \rangle}^{s+1} \uparrow$  and  $t_{\langle \gamma, k \rangle}^{s+1} \uparrow$  for each  $\langle \gamma, k \rangle$  satisfying any of the following conditions:

- $\gamma > \alpha$ .
- $\gamma = \alpha$  and k > h(s).
- There exists x < k with  $x \in A_0^{s+1} A_0^s$ .
- There exists  $\beta < \gamma$  with  $S_{\beta}^{s+1} \neq S_{\beta}^{s}$ .
- There exists  $\beta < \gamma$  such that  $U_{\beta}^{s+1}$  contains an element  $\geq m$ , where  $m = \min(B^{s+1} B^s)$ .

For all other  $\langle \gamma, k \rangle$ , set  $n(\langle \gamma, k \rangle, s+1) = n(\langle \gamma, k \rangle, s)$ .

We now define the witness sets at stage s+1. For each  $\langle \beta, k \rangle \leq \langle \alpha, h(s) \rangle$  (in the lexicographic order) which was not injured at stage s+1:

- 1. If  $v^s_{\langle \beta, k \rangle} \uparrow$  and  $\langle \beta, k \rangle \neq \langle \alpha, h(s) \rangle$ , let  $v^{s+1}_{\langle \beta, k \rangle}$  and  $t^{s+1}_{\langle \beta, k \rangle}$  diverge also, with  $V^{s+1}_{\langle \beta, k \rangle, n} = V^s_{\langle \beta, k \rangle, n}$ .
- 2. If  $v^s_{\langle \alpha,h(s)\rangle} \uparrow$ , let  $v^{s+1}_{\langle \alpha,h(s)\rangle} = s+1$ , with  $V^{s+1}_{\langle \alpha,h(s)\rangle,n} = V^s_{\langle \alpha,h(s)\rangle,n}$  and  $t^{s+1}_{\langle \alpha,h(s)\rangle} \uparrow$ .
- 3. If  $v^s_{\langle \beta, k \rangle} \downarrow$  but  $t^s_{\langle \beta, k \rangle} \uparrow$ , let  $v^{s+1}_{\langle \beta, k \rangle} = v^s_{\langle \beta, k \rangle}$ , and ask whether the following holds:

$$(\forall y)_{k \le y \le v_{(\beta,k)}^{s+1}} \begin{bmatrix} y \in A_0^{s+1} \lor y \in A_1^{s+1} \lor \\ y \in (U_{\beta}^{s+1} - C^{s+1}) \lor \\ y \in (C^{s+1} - B^{s+1}) \cap S_{\beta}^{s+1} \cap U_{\beta}^{s+1} \end{bmatrix}$$
(4)

If (4) holds, let  $V^{s+1}_{\langle\beta,k\rangle,n}=V^s_{\langle\beta,k\rangle,n}\cup\{v^{s+1}_{\langle\beta,k\rangle}\}$  and

$$t_{\langle \beta, k \rangle}^{s+1} = \mu t [v_{\langle \beta, k \rangle}^{s+1} \in W_{f(\langle \beta, k \rangle, n), t}].$$

(Such a t must exist, since  $W_{f(\langle \beta, k \rangle, n)} = V_{\langle \beta, k \rangle, n}$ .) If (4) fails, then let  $V^{s+1}_{\langle \beta, k \rangle, n} = V^s_{\langle \beta, k \rangle, n}$  and  $t^{s+1}_{\langle \beta, k \rangle}$ .

- 4. If  $v^s_{\langle \beta, k \rangle} \downarrow$  and  $t^s_{\langle \beta, k \rangle} \downarrow$  and  $\varphi_{e,s}(t^s_{\langle \beta, k \rangle}) \downarrow < s$ , then let  $v^{s+1}_{\langle \beta, k \rangle} \uparrow$  and  $t^{s+1}_{\langle \beta, k \rangle} \uparrow$ , with  $V^{s+1}_{\langle \beta, k \rangle, n} = V^s_{\langle \beta, k \rangle, n}$ .
- 5. If  $v^s_{\langle \beta, k \rangle} \downarrow$  and  $t^s_{\langle \beta, k \rangle} \downarrow$  but either  $\varphi_{e,s}(t^s_{\langle \beta, k \rangle}) \downarrow \geq s$  or  $\varphi_{e,s}(t^s_{\langle \beta, k \rangle})$  diverges, then let  $V^{s+1}_{\langle \beta, k \rangle, n} = V^s_{\langle \beta, k \rangle, n}, v^{s+1}_{\langle \beta, k \rangle} = v^s_{\langle \beta, k \rangle}$ , and  $t^{s+1}_{\langle \beta, k \rangle} = t^s_{\langle \beta, k \rangle}$ .

This completes the construction.

We now use the sets B and  $S_{\alpha}$  to prove that requirement  $\mathcal{T}_{e}$  is satisfied.

**Lemma 2.2** If  $Z_{\beta}$  is finite, then there exists a stage  $s_1$  such that  $t_{\langle \beta, k \rangle}^s \uparrow$  for all  $s \geq s_1$  and all k.

*Proof.* Pick a stage  $s_0$  such that no  $s \geq s_0$  satisfies  $g(s) = \beta$ , and let  $k' = \max\{h(s) : g(s) = \beta\}$ . Then for all k > k',  $v^s_{\langle \beta, k \rangle} \uparrow$  for all s, and hence  $t^s_{\langle \beta, k \rangle} \uparrow$  for all s. (The construction makes it clear that for any k and s,  $t^s_{\langle \beta, k \rangle}$  can converge only if  $v^s_{\langle \beta, k \rangle}$  converges.)

Now suppose  $k \leq k'$  and  $v_{\langle \beta, k \rangle}^s \downarrow$  for all  $s \geq s_0$ . This means that we never execute Step (4) in the construction after stage  $s_0$ , and that the  $\langle \beta, k \rangle$  strategy is never injured after stage  $s_0$ . But if  $t_{\langle \beta, k \rangle}^s$  ever converges after stage  $s_0$ , then eventually we must reach Step (4), since we assumed  $\varphi_e$  to be total. Hence  $t_{\langle \beta, k \rangle}^s$  must diverge for all  $s \geq s_0$ .

Finally, suppose  $k \leq k'$  and  $v_{\langle \beta, k \rangle}^{s_{1,k}} \uparrow$  for some  $s_{1,k} \geq s_0$ . Then  $v_{\langle \beta, k \rangle}^s$  will diverge for all subsequent s, since it can only be newly defined at a stage s with  $g(s) = \beta$ . Thus  $t_{\langle \beta, k \rangle}^s$  will diverge for all subsequent s as well. Letting  $s_1 = \max_{k \leq k'} s_{1,k}$  completes the proof.

**Lemma 2.3**  $F(\alpha)$  holds for some  $\alpha$ , and for the least such  $\alpha$ , there exists a k such that  $h_{\alpha}^{-1}(k)$  is infinite.

*Proof.* First we claim that some  $Z_{\alpha}$  must be infinite. Suppose not, so  $Z_{\alpha}$  is finite for all  $\alpha$ , and  $F(\alpha)$  fails for all  $\alpha$ . However, the R-property holds, so there must be some  $\alpha$  for which line (1) fails. Choose the least such  $\alpha$ . Then

$$(B \cap (S_{\alpha} - A_0)) \cup A_1 \neq (D_{\alpha} \cap (S_{\alpha} - A_0)) \cup A_1.$$

Suppose  $x \in B \cap (S_{\alpha} - A_0)$ . Pick s such that  $x \in B^{s+1} - B^s$ . Now to go into  $B^{s+1}$ , x must have been in  $D_{\beta}^{s+1} \cap S_{\beta}^{s+1}$  for some  $\beta$ . Since  $x \in S_{\alpha}$ , we know  $x \notin S_{\beta}$  for all  $\beta \neq \alpha$ . Hence  $x \in D_{\alpha}$ , and so

$$(B \cap (S_{\alpha} - A_0)) \cup A_1 \subseteq (D_{\alpha} \cap (S_{\alpha} - A_0)) \cup A_1.$$

Therefore, there must be some element  $x \in \overline{A_1} \cap \overline{B} \cap D_{\alpha} \cap (S_{\alpha} - A_0)$ . Assume x is the least such element. Now for every  $\beta < \alpha$ , line (1) must hold and line (2) must fail, since we chose  $\alpha$  to be minimal satisfying the R-property. Hence for all  $\beta < \alpha$ ,

$$(B \cap (S_{\beta} - A_0)) \cup A_1 = (D_{\beta} \cap (S_{\beta} - A_0)) \cup A_1.$$

Now since every  $Z_{\beta}$  with  $\beta \leq \alpha$  is finite, there is a stage  $s_0$  such that for all  $s \geq s_0$ ,  $g(s) > \alpha$ , and we may also assume that  $s_0$  is so large that  $x \in S_{\alpha}^{s_0} \cap D_{\alpha}^{s_0} \cap C^{s_0}$ . (Notice that  $x \in S_{\alpha}$  forces  $x \in C$ .)

Now use Lemma 2.2 to find a stage  $s_1 \geq s_0$  such that:

$$(\forall s \geq s_1)(\forall \beta \leq \alpha)(\forall k)[t^{s_1}_{\langle \beta, k \rangle} \uparrow].$$

Since  $\varphi_e$  is total, there must be a stage  $s \geq s_1$  such that  $t^s_{\langle \alpha, k \rangle} \uparrow$ , and once we reach this stage s, x must go into  $B^{s_1+1}$ , contradicting our assumption that  $x \notin B$ .

Thus, there must be some  $\alpha$  such that  $Z_{\alpha}$  is infinite. Let  $\alpha$  be the least such. Then every  $U_{\beta}$  with  $\beta < \alpha$  is finite. Since  $F(\alpha)$  holds, we have  $\overline{C} \subseteq T_{\alpha}$ , so by our construction,  $\overline{C} \subseteq U_{\alpha}$ , and by the major subset property,  $\overline{A_0} \subseteq^* U_{\alpha} \cup A_1$ .

For this  $\alpha$ , we claim that  $C-A_0\subseteq^*S_\alpha\cup A_1$ . Suppose  $x\in C-A_0$ . All but finitely many such x lie in  $U_\alpha\cup A_1$ , as noted above. If  $x\in A_1$ , we are done. For each sufficiently large  $x\in C-A_0-A_1$ , there exists s such that  $x\in U_\alpha^s-U_\alpha^{s-1}$ . By definition of  $U_\alpha^s$ , we must have  $x\notin C^s$ . But  $x\in C$ , so  $x\in C^{t+1}-C^t$  for some  $t\geq s$ . Hence  $x\in S_\alpha^{t+1}$  by definition of  $S_\alpha^{t+1}$ , unless there exists  $\beta<\alpha$  with  $x\in U_\beta$ . But all  $U_\beta$  with  $\beta<\alpha$  are finite, by our choice of  $\alpha$ , so all but finitely many of these x lie in  $S_\alpha$ . Therefore, line (3) holds for some k, and  $h_\alpha^{-1}(k)$  is infinite.

Use Lemma 2.3 to take the lexicographically least  $\langle \alpha, k \rangle$  such that  $F(\alpha)$  holds and  $h_{\alpha}^{-1}(k)$  is infinite. Then there are infinitely many stages s for which  $g(s) = \alpha$  and h(s) = k, but only finitely many for which  $\langle g(s), h(s) \rangle$  precedes  $\langle \alpha, k \rangle$  in the lexicographic ordering. Let  $s_0$  be the least stage with  $\langle g(s_0), h(s_0) \rangle = \langle \alpha, k \rangle$  such that:

- $A_0^{s_0} \upharpoonright k = A_0 \upharpoonright k$ , and
- $B^{s_0} \upharpoonright m = B \upharpoonright m$ , where  $m = \max \cup_{\beta < \alpha} U_{\beta}$ , and
- for all  $s \geq s_0$ ,  $\langle g(s), h(s) \rangle \geq \langle \alpha, k \rangle$  lexicographically, and
- $S_{\beta}^{s_0} = S_{\beta}$  for all  $\beta < \alpha$ .

The final condition is possible since each  $S_{\beta} \subseteq U_{\beta}$ , which is finite for every  $\beta < \alpha$ . We also let  $s_0 < s_1 < s_2 < \cdots$  be all the stages  $s \geq s_0$  with  $\langle g(s), h(s) \rangle = \langle \alpha, k \rangle$ .

Now the  $\langle \alpha, k \rangle$ -strategy is never injured after stage  $s_0$ , so for every  $s \geq s_0$ ,  $n(\langle \alpha, k \rangle, s_0) = n(\langle \alpha, k \rangle, s)$ , and we write  $n = n(\langle \alpha, k \rangle, s_0)$ . (Thus n is the number of times the  $\langle \alpha, k \rangle$ -strategy was injured during the construction.) Moreover, minimality of  $s_0$  implies that this strategy was injured at some stage  $s \leq s_0$  such that there is no  $s_{-1}$  with  $s \leq s_{-1} < s_0$  and  $\langle g(s_{-1}), h(s_{-1}) \rangle = \langle \alpha, k \rangle$ . Therefore,  $V_{(\alpha, k), n}^s = V_{(\alpha, k), n}^{s_0}$  is empty.

 $\langle g(s_{-1}), h(s_{-1}) \rangle = \langle \alpha, k \rangle$ . Therefore,  $V^s_{\langle \alpha, k \rangle, n} = V^{s_0}_{\langle \alpha, k \rangle, n}$  is empty. We claim that the subset  $V_{\langle \alpha, k \rangle, n}$  satisfies requirement  $\mathcal{T}_e$ . For this we need:

**Lemma 2.4** For this  $\langle \alpha, k \rangle$ , and for each  $y \geq k$ , there exists an s such that the matrix of line (4) holds of y,  $\langle \alpha, k \rangle$ , and s.

*Proof.* Let  $y \geq k$ . If  $y \in A_0 \cup A_1$ , we are done. If  $y \in \overline{C}$ , then  $y \in T_\alpha$  since  $F(\alpha)$  holds. But  $Z_\alpha$  is infinite, so  $T_\alpha - C \subseteq U_\alpha$ , and y is in  $U_\alpha - C$ , hence in some  $U_\alpha^{s+1} - C^{s+1}$ .

So suppose  $y \in C - A_0 - A_1$ . Now since  $h_{\alpha}^{-1}(k)$  is infinite and  $y \geq k$ , we know by line (3) that  $y \in S_{\alpha}$ . But  $S_{\alpha} \subseteq U_{\alpha} \subseteq T_{\alpha}$  by definition of  $S_{\alpha}^{s+1}$ . Since  $y \notin (B \cap S_{\alpha} \cap T_{\alpha}) \cup A_1$  by line (2), we know  $y \notin B$ . Thus there is an s with  $y \in (C^{s+1} - B^{s+1}) \cap S_{\alpha}^{s+1} \cap U_{\alpha}^{s+1}$ . This proves the Lemma.

Now  $V_{\langle \alpha, k \rangle, n} = W_{f(\langle \alpha, k \rangle, n)}$ , and if s' is the stage at which  $v_{\langle \alpha, k \rangle}^{s'}$  enters  $V_{\langle \alpha, k \rangle, n}$ , then  $t_{\langle \alpha, k \rangle}^{s'} \downarrow > s'$  by our choice of f from the Slowdown Lemma. Let  $s'' = \varphi_e(t_{\langle \alpha, k \rangle}^{s'})$ . Then s' < s'', since we assumed  $\varphi_e$  to be increasing.

**Lemma 2.5**  $V_{\langle \alpha,k\rangle,n}$  is infinite. Moreover, for any element  $v_{\langle \alpha,k\rangle}^{s'}$  of  $V_{\langle \alpha,k\rangle,n}$ , with s' and s'' as above, we have:

$$B^{s'} \upharpoonright v_{\langle \alpha, k \rangle}^{s'} = B^{s''} \upharpoonright v_{\langle \alpha, k \rangle}^{s'} \quad and \quad A_0^{s'} \upharpoonright v_{\langle \alpha, k \rangle}^{s'} = A_0^{s''} \upharpoonright v_{\langle \alpha, k \rangle}^{s'}.$$

*Proof.* For each  $v_{\langle \alpha, k \rangle}^s$  with  $s \geq s_0$ , Lemma 2.4 guarantees that there will be a stage at which Step (3) of the construction applies. The first such stage will be s', since at that stage  $v_{\langle \alpha, k \rangle}^s = v_{\langle \alpha, k \rangle}^{s'}$  will enter  $V_{\langle \alpha, k \rangle, n}$  and  $t_{\langle \alpha, k \rangle}^{s'}$  will be defined. But since  $\varphi_e$  is total, we will eventually reach the stage s'' > s' at which Step (4) applies, leaving  $v_{\langle \alpha, k \rangle}^{s''+1}$  undefined. Then at the next  $s_m > s''$ , we will define  $v_{\langle \alpha, k \rangle}^{s_m+1} = s_m + 1$ , which is not yet in  $V_{\langle \alpha, k \rangle, n}^{s_m}$ . Thus,  $V_{\langle \alpha, k \rangle, n}$  must be infinite.

Now pick  $v_{\langle \alpha,k\rangle}^{s'} \in V_{\langle \alpha,k\rangle,n}$ , with s' and s'' as above. Since  $V_{\langle \alpha,k\rangle,n}^{s_0}$  is empty, we know that  $s' > s_0$ . If s is any stage with  $s' \leq s < s''$ , then we see from the definition of  $B^{s+1}$  that an element y can only enter  $B^{s+1}$  on behalf of some  $\gamma$  such that  $y \in S_{\gamma}^{s+1}$ . But then  $y \in U_{\gamma}^{s+1}$ . Since we chose  $s_0$  to let  $B^{s_0} \upharpoonright m = B \upharpoonright m$ , we must have  $\gamma \geq \alpha$ . But  $t_{\langle \alpha,k\rangle}^s \downarrow$ , so  $y \geq v_{\langle \alpha,k\rangle}^s = v_{\langle \alpha,k\rangle}^{s'}$  by definition of  $B^{s+1}$ . Hence  $B^{s'} \upharpoonright v_{\langle \alpha,k\rangle}^{s'} = B^{s''} \upharpoonright v_{\langle \alpha,k\rangle}^{s'}$ .

Having seen that no  $y < v_{\langle \alpha, k \rangle}^{s'}$  can enter B between stages s' and s'', we prove that no such y can enter  $A_0$  at those stages either. First, we know that  $A_0^{s_0} \upharpoonright k = A_0 \upharpoonright k$  by choice of  $s_0$ . So suppose  $k \leq y < v_{\langle \alpha, k \rangle}^{s'}$ . Now since  $v_{\langle \alpha, k \rangle}^{s'}$  entered  $V_{\langle \alpha, k \rangle, n}$  at stage s', we know by line (4) that

$$y \in A_0^{s'} \vee y \in A_1^{s'} \vee y \in (U_{\alpha}^{s'} - C^{s'}) \vee y \in (C^{s'} - B^{s'}) \cap S_{\alpha}^{s'} \cap U_{\alpha}^{s'}.$$

If  $y \in A_0^{s'}$ , then  $A_0^{s'}(y) = A_0^{s''}(y)$ , and if  $y \in A_1$ , then  $y \notin A_0$  at all. Therefore, we will assume that  $y \notin A_0^{s'} \cup A_1$  and prove that  $y \notin A_0^{s''}$ .

If the final clause holds, then  $y \in (C^{s'} - B^{s'}) \cap S_{\alpha}^{s'} \cap U_{\alpha}^{s'}$ . Hence  $y \notin B^{s''}$ , by the first half of the lemma. If  $y \in A_0^{s''}$ , then  $y \notin B$ , since no element that has entered  $A_0$  can later enter B. But then

$$(A_0 \cap S_\alpha \cap T_\alpha) \cup A_1 \neq (B \cap S_\alpha \cap T_\alpha) \cup A_1$$

since y is on the left side and not on the right side. (Notice that  $y \in U_{\alpha}$  implies  $y \in T_{\alpha}$ .) This contradicts line (2), which we knows holds because  $F(\alpha)$  holds. Therefore  $y \notin A_0^{s''}$ .

So suppose the third clause holds, i.e.  $y \in (U_{\alpha}^{s'} - C^{s'})$ . Then  $y \notin B^{s'}$  since  $B^{s'} \subseteq C^{s'}$ , and so  $y \notin B^{s''}$ . If  $y \in A_0^{s''}$ , then we must have  $y \in C^{s''-1}$  since we chose enumerations such that  $A_0 \subseteq C \searrow A_0$ . Pick s such that  $y \in C^s - C^{s-1}$ ; then s' < s < s'' and  $y \notin A_0^s$ . Now  $y \in U_{\alpha}^{s'} \subseteq T_{\alpha}^{s'}$ , and by definition of  $S_{\alpha}^s$  we will have  $y \in S_{\alpha}^s$ . (Recall that  $s_0$  was chosen so large that  $S_{\beta}^{s_0} = S_{\beta}$  for all  $\beta < \alpha$ .) But now  $y \notin A_0^{s''}$ , since otherwise

$$(A_0 \cap S_\alpha \cap T_\alpha) \cup A_1 \neq (B \cap S_\alpha \cap T_\alpha) \cup A_1$$

just as in the preceding paragraph.

Hence  $V_{(\alpha,k),n} = W_{f((\alpha,k),n)}$  is an infinite c.e. set which satisfies the tardiness requirement  $\mathcal{T}_e$ . This completes the proof of Theorem 2.1.

## 3 Satisfaction of R

We now prove that the R-property defined in Section 2 is nontrivial. The theorem establishes several other properties of the sets  $A_0$  and  $A_1$  as well, in order to yield the corollaries.

**Theorem 3.1** There exists a c.e. set A with Friedberg splitting  $A = A_0 \sqcup A_1$  such that all of the following hold:

- 1. A is promptly simple of high degree.
- 2. A<sub>1</sub> has prompt degree.
- 3.  $R(A_0, A_1)$ .

Corollary 3.2 The formula in one free variable  $A_0$ :

$$(\exists A_1)[A_0 >_T \emptyset \& R(A_0, A_1)]$$

is definable in  $\mathcal{E}$  and non-vacuous, and implies that  $A_0$  is a noncomputable incomplete set.

Proof of Corollary. The statement  $A_0 >_T \emptyset$  is equivalent to the statement that  $A_0$  does not have a complement in  $\mathcal{E}$ , hence is  $\mathcal{E}$ -definable. The  $A_0$  and  $A_1$  constructed in Theorem 3.1 satisfy the matrix, since halves of a Friedberg splitting must be noncomputable. Finally, Theorem 2.1 shows that  $A_0$  is tardy, hence incomplete.

**Corollary 3.3** There exists a Friedberg splitting  $A = A_0 \sqcup A_1$  such that  $A_0$  and  $A_1$  are not automorphic in the lattice of c.e. sets.

Proof of Corollary. Take the splitting given by Theorem 3.1. If an automorphism  $\Phi$  of  $\mathcal{E}$  satisfied  $\Phi(A_0) = A_1$ , then  $R(A_1, \Phi(A_1))$  would have to hold. By Theorem 2.1, then,  $A_1$  would be tardy, contradicting the promptness of  $A_1$ .

Proof of Theorem. Let C be any promptly simple set, with computable enumeration  $C = \{C^s\}_{s \in \omega}$ . Then C is also of prompt degree, so let v and w be the prompt-simplicity and promptness functions for this enumeration of C, satisfying for every i:

$$W_i \text{ infinite } \implies (\exists^{\infty} s)(\exists x \in W_{i,s} - W_{i,s-1})[x \in C^{v(s)}]$$
  
 $W_i \text{ infinite } \implies (\exists^{\infty} s)(\exists x \in W_{i,s} - W_{i,s-1})[C^{w(s)} \upharpoonright x \neq C^s \upharpoonright x]$ 

We construct disjoint sets  $A_0$  and  $A_1$  and auxiliary sets  $D_i$  and  $T_{i,j}$ , and set  $A = A_0 \sqcup A_1$ . The approximations to A,  $A_0$ , and  $A_1$  at stage s will be written  $A^s$ ,  $A_0^s$ , and  $A_1^s$ , and will be defined so that  $A^s = A_0^s \cup A_1^s \subseteq C^s$  for all s. The construction will satisfy the following requirements for all i and j:

```
\mathcal{N}_{\langle i,j\rangle} (matrix of R-property):
              [W_i \subseteq C \& W_j \subseteq C \& C - W_j \text{ c.e. } \&
              (W_i \cap (W_j - A_0)) \cup A_1 = (D_i \cap (W_j - A_0)) \cup A_1] \Longrightarrow
              (\exists T)[\overline{C} \subseteq T \& (A_0 \cap W_j \cap T) \cup A_1 =^* (W_i \cap W_j \cap T) \cup A_1]
             (major subset requirement):
             \overline{C} \subset W_i \implies \overline{A} \subset^* W_i
   \mathcal{P}_i
             (prompt \ simplicity \ of \ A):
             W_i \text{ infinite } \implies (\exists s)(\exists x \in W_{i,s} - W_{i,s-1})[x \in A^{v(s)}]
   Q_i
             (promptness \ of \ A_1):
             W_i \text{ infinite } \implies (\exists s)(\exists x \in W_{i,s} - W_{i,s-1})[A_1^{w(s)} \upharpoonright x \neq A_1^s \upharpoonright x]
   \mathcal{F}_i
             (Friedberg requirement for A_0):
              W_i \setminus A \text{ infinite } \implies W_i \cap A_0 \neq \emptyset
  \mathcal{G}_i
             (Friedberg requirement for A_1):
              W_i \searrow A \text{ infinite } \implies W_i \cap A_1 \neq \emptyset
```

In the requirement  $\mathcal{N}_{\langle i,j\rangle}$ , of course,  $W_i$  plays the role of B and  $W_j$  the role of S in the matrix of the R-property. We will construct c.e. sets  $T_{i,j}$  for each i and j, and then refine them to form the T demanded by each  $\mathcal{N}_{\langle i,j\rangle}$ . Once again we order  $\omega \times \omega$  in order type  $\omega$  and write  $\alpha = \langle i,j\rangle$ , this time with:

$$\begin{array}{l} B_{\alpha} = W_{i} \\ D_{\alpha} = D_{i} \\ S_{\alpha} = W_{j'} \\ \hat{S}_{\alpha} = W_{j''} \end{array} \right\} \ \, \text{where} \,\, j = \langle j', j'' \rangle \\ T_{\alpha} = T_{i,j} \\ \mathcal{N}_{\alpha} = \mathcal{N}_{i,j}. \end{array}$$

Thus  $\mathcal{N}_{\alpha}$  says:

$$[B_{\alpha} \subseteq C \& S_{\alpha} \sqcup \hat{S}_{\alpha} = C \& (B_{\alpha} \cap (S_{\alpha} - A_{0})) \cup A_{1} = (D_{\alpha} \cap (S_{\alpha} - A_{0})) \cup A_{1}]$$
  
$$\implies (\exists T) [\overline{C} \subseteq T \& (A_{0} \cap S_{\alpha} \cap T) \cup A_{1} =^{*} (B_{\alpha} \cap S_{\alpha} \cap T) \cup A_{1}].$$

 $\mathcal{N}_{\alpha}$  is a negative requirement, trying to keep elements from entering  $A_0$  until they can do so without harming the R-property (if ever). All the other requirements are positive ones, trying to put elements into  $A_0$  or  $A_1$ . There

are no negative restraints on elements of C entering  $A_1$ , except that they cannot already be in  $A_0$ .

Each element which we try to put into  $A_0$  to satisfy some  $\mathcal{F}_e$  or  $\mathcal{M}_e$  must receive permission to enter  $A_0$  from each  $\mathcal{N}_\alpha$  with  $\alpha \leq e$ . The restraint function q(x,s) will give the greatest  $\alpha \leq e$  which has not yet given this permission as of stage s. The priority function p(x,s) keeps track of which requirement  $\mathcal{F}_e$  or  $\mathcal{M}_e$  wanted x to enter  $A_0$ . This can change from stage to stage, for several reasons. If a higher-priority requirement decides at stage s+1 that it needs x to enter  $A_0$ , then p(x,s+1) < p(x,s). Alternatively, an  $\mathcal{F}_e$  could find itself satisfied by another  $x' \in A_0^{s+1}$  and no longer need to put x into  $A_0$ , although in this case we leave p(x,s+1) = p(x,s) so as not to disrupt the flow of elements into  $A_0$ . Finally, a higher-priority requirement could make x enter  $A_1^{s+1}$ , in which case we define  $p(x,s+1) \uparrow$ , removing x from the flow of elements into  $A_0$  since we need  $A_0 \cap A_1 = \emptyset$ .

We use the Recursion Theorem on our construction of  $A_0$ , C, and  $D_{\alpha}$  to define the following  $\Pi_2^0$  statement  $F(\alpha)$  for each  $\alpha$ :

$$(B_{\alpha} \cap (S_{\alpha} - A_0)) \cup A_1 = (D_{\alpha} \cap (S_{\alpha} - A_0)) \cup A_1 \& B_{\alpha} \subseteq C \& S_{\alpha} \sqcup \hat{S}_{\alpha} = C.$$

Since  $F(\alpha)$  is  $\Pi_2^0$ , there is a computable function  $g: \omega \to \omega \times \omega$  such that  $F(\alpha)$  holds if and only if the set  $Z_\alpha = g^{-1}(\alpha)$  is infinite. We let  $Z_\alpha^s = g^{-1}(\alpha) \cap \{0,1,\ldots s-1\}$ . Monitoring  $|Z_\alpha^s|$  will help us determine for which  $\alpha$  the hypothesis in the matrix of the R-property is satisfied. For those  $\alpha$  for which the hypothesis fails,  $|Z_\alpha|$  is finite, and  $\mathcal{N}_\alpha$  will only restrain finitely many elements from entering  $A_0$ , since we need not satisfy the conclusion of the R-property for such an  $\alpha$ .

At stage s=0, we set  $A_0^0=A_1^0=\emptyset$ . Also, let all p(x,0) and q(x,0) diverge.

At stage s+1, we first define  $T_{\alpha}^{s+1}$  for each  $\alpha$ :

$$T^{s+1}_\alpha = T^s_\alpha \cup \{x \in \overline{C^{s+1}} : x < |Z^{s+1}_\alpha|\}.$$

Next we determine which elements of  $C^{s+1}$  to add to  $A_0^s$  to create  $A_0^{s+1}$ . For this, we need movable markers for elements currently in C-A. Write

$$C^{s+1} - A^s = \{d_0^{s+1}, d_1^{s+1}, \dots d_{m_{s+1}}^{s+1}\}$$

preserving the order of the markers from the preceding stage. (That is, if  $d_i^s = d_{i'}^{s+1}$  and  $d_j^s = d_{j'}^{s+1}$ , then i < j iff i' < j'; and if  $d_i^{s+1} \in C^s$  and  $d_i^{s+1} \notin C^s$ , then i < j.)

For the sake of  $\mathcal{M}_e$ , we define

$$V_e^{s+1} = V_e^s \cup \{x \in W_{e,s+1} - C^{s+1} : (\forall y \le x)[y \in W_{e,s+1} \cup C^{s+1}]\}.$$

(For each e, the sets  $V_e^s$  enumerate a c.e. set  $V_e$ . If  $\overline{C} \not\subseteq W_e$ , then  $V_e$  will be finite, but if  $\overline{C} \subseteq W_e$ , then  $\overline{C} \subseteq V_e \subseteq W_e$ .)

For each  $e \leq s$ , define the e-state of each  $d_k^{s+1}$  at stage s+1 to be:

$$\sigma(e, d_k^{s+1}, s+1) = \{i < e : d_k^{s+1} \in V_i^{s+1}\}.$$

We order the different possible e-states by viewing them as binary strings.

Find the least  $i \leq s$  such that there exist e and j with  $e < i < j \leq s$  and  $\sigma(e, d_i^{s+1}, s+1) = \sigma(e, d_j^{s+1}, s+1)$  and  $d_i^{s+1} \notin V_e^{s+1}$  and  $d_j^{s+1} \in V_e^{s+1}$ . For the least such e and the least corresponding j, we say that  $\mathcal{M}_e$  wants to put into  $A_0$  all the elements  $d_i^{s+1}, d_{i+1}^{s+1}, \ldots d_{j-1}^{s+1}$ , so as to give the marker  $d_i$  a higher (e+1)-state at subsequent stages.

Now we consider the requirements  $\mathcal{F}_e$ . For each  $e \leq s$  with  $W_{e,s} \cap A_0^s = \emptyset$  and for each x such that

$$x \in (W_{e,s} \cap C^{s+1}) - A^s - \{d_0^{s+1}, d_1^{s+1}, \dots d_e^{s+1}\}$$

we say that  $\mathcal{F}_e$  wants to put x into  $A_0$ .

We set  $p(x, s+1) \uparrow$  for all  $x \notin C - A^s$ . Otherwise  $x = d_k^{s+1}$  for some k, and p(x, s+1) is the least  $e \leq k$  (if any) such that either  $p(x, s) \downarrow = e$  or  $\mathcal{M}_e$  or  $\mathcal{F}_e$  wants to put x into  $A_0$ . Thus, the function p(x, s+1) gives the priority currently assigned to putting x into  $A_0$ . If there is no such e, let  $p(x, s+1) \uparrow$ .

We now follow the following steps for each  $x \leq s$ :

- 1. If  $p(x, s+1) \uparrow$ , then  $q(x, s+1) \uparrow$  also.
- 2. If  $p(x,s+1) \downarrow$  but  $q(x,s) \uparrow$ , we ask if every  $\alpha \leq p(x,s+1)$  satisfies either  $x \in S_{\alpha}^{s+1} \cup \hat{S}_{\alpha}^{s+1}$  or  $x \notin T_{\alpha}^{s+1}$ . If so, set q(x,s+1) = p(x,s+1) + 1. If not, then  $q(x,s+1) \uparrow$ .
- 3. If  $p(x, s+1) \downarrow$  and  $q(x, s) \downarrow > p(x, s+1)$ , then set q(x, s+1) to be the greatest  $\alpha \leq p(x, s+1)$  satisfying all four of the following conditions:
  - (a)  $S_{\alpha}^{s+1} \cap \hat{S}_{\alpha}^{s+1} = \emptyset$ .
  - (b)  $x \notin \hat{S}_{\alpha}^{s+1}$ .
  - (c)  $x \in T^{s+1}_{\alpha}$ .

(d)  $\forall \beta < \alpha$ , either  $\beta$  fails one of the three conditions (a)-(c), or  $\beta = \langle i', j' \rangle$  and  $\alpha = \langle i, j \rangle$  with  $i \neq i'$ .

Also, enumerate x in  $D_{q(x,s+1)}^{s+1}$ . (For future reference, notice that if  $\alpha$  satisfies (a)-(c), then some  $\beta \leq \alpha$  with the same first coordinate as  $\alpha$  must satisfy (a)-(d).)

If there is no such  $\alpha$ , set q(x, s + 1) = -1.

- 4. If  $p(x,s+1) \downarrow$  and  $q(x,s) \downarrow$  with  $0 \leq q(x,s) \leq p(x,s+1)$ , we ask whether  $x \in B_{q(x,s)}^{s+1}$ . If so, or if q(x,s) no longer satisfies the conditions (a)-(d), set q(x,s+1) to be the greatest  $\alpha < q(x,s)$  satisfying the conditions (a)-(d) above, and let  $x \in D_{q(x,s+1)}^{s+1}$ . (If there is no such  $\alpha$ , let q(x,s+1) = -1.) Otherwise, let q(x,s+1) = q(x,s).
- 5. If  $p(x,s+1) \downarrow$  and  $q(x,s) \downarrow = -1$ , enumerate  $x \in A_0^{s+1}$ , and let  $q(x,s+1) \uparrow$ .

This completes our enumeration of  $A_0^{s+1}$ . Next we determine which elements to add to  $A_1^{s+1}$ :

- 1. Find the least  $e \leq s$  (if any) such that  $\mathcal{Q}_e$  is not yet satisfied and there is an element  $x \in W_{e,t} W_{e,t-1}$  for some  $t \leq s$  such that w(t) > s, and there exists y < x such that  $y \in C^{s+1} A_0^{s+1}$  and  $y \notin A_1^t \cup \{d_0^{s+1}, \ldots d_e^{s+1}\}$  and no  $\mathcal{F}_i$  with i < e wants to put y into  $A_0$ . Put the greatest such y into  $A_1^{s+1}$ . This forces  $A_1^{s+1} \upharpoonright x \neq A_1^t \upharpoonright x$ , satisfying  $\mathcal{Q}_e$  permanently. (If there is no such e, do nothing.)
- 2. Find the least  $e \leq s$  (if any) such that  $\mathcal{P}_e$  is not yet satisfied and there is an element  $x \in C^{s+1} \cap (W_{e,t} W_{e,t-1})$  for some  $t \leq s$  with v(t) > s, such that  $x \notin \{d_0^{s+1}, \ldots d_e^{s+1}\}$  and no  $\mathcal{F}_i$  with i < e wants to put x into  $A_0$ . If no such x lies in  $A^s \cup A_0^{s+1}$ , then put the least such x into  $A_1^{s+1}$ . This forces  $x \in A^{s+1}$ , satisfying  $\mathcal{P}_e$  permanently.
- 3. Find the least  $e \leq s$  (if any) such that  $\mathcal{G}_e$  is not yet satisfied and there is an element  $x \in (W_{e,s+1} \cap C^{s+1}) A_0^{s+1}$  with  $x \notin \{d_0^{s+1}, \ldots d_e^{s+1}\}$ , such that no  $\mathcal{F}_i$  with i < e wants to put x into  $A_0$ . Put this x into  $A_1^{s+1}$ . This satisfies  $\mathcal{G}_e$  forever.

Let  $A^{s+1} = A_0^{s+1} \cup A_1^{s+1}$ . This completes the construction.

**Lemma 3.4** C - A is infinite.

Proof. We prove by induction on e that  $d_e = \lim_s d_e^s$  exists. Assume that this holds for all markers  $d_i$  with i < e, and let  $s_0 \ge e$  be a stage such that  $d_i^{s_0} = d_i$  for all i < e. Now each  $\mathcal{F}_j$ ,  $\mathcal{G}_j$ ,  $\mathcal{P}_j$ , and  $\mathcal{Q}_j$  with j > e cannot put any of the elements  $d_0^s$ , ...  $d_e^s$  into  $A_1$  at stage s+1, so none of these requirements ever moves the marker  $d_e^s$ . Also, each  $\mathcal{G}_i$ ,  $\mathcal{P}_i$ , and  $\mathcal{Q}_i$  with  $i \le e$  puts at most one element into A, hence moves the markers at most once. Let  $s_1 \ge s_0$  be a stage so large that no  $\mathcal{G}_i$ ,  $\mathcal{P}_i$ , or  $\mathcal{Q}_i$  with  $i \le e$  moves any markers at any stage  $s \ge s_1$ .

By the construction,  $d_e^s$  can only be moved at stage  $s \geq s_1$  by a requirement  $\mathcal{M}_i$  or  $\mathcal{F}_i$  with  $i \leq e$ . Furthermore, when  $\mathcal{F}_i$   $(i \leq e)$  moves a marker, it puts an element into  $A_0$ , so it is satisfied at that point. Before then it may have tried to put finitely many other elements into  $A_0$  as well, and any of them may go into  $A_0$  or  $A_1$  at a later stage, moving markers in the process. However, since there are only finitely many such elements,  $d_e$  is moved only finitely many times on behalf of  $\mathcal{F}_i$ .

Now  $\mathcal{M}_0$  moves  $d_e$  at most  $2^{e+1}$  times after stage  $s_1$ : once to put  $d_0$  into  $V_0$ , possibly twice to put  $d_1$  into  $V_0$ , and so on. Once  $\mathcal{M}_0$  has finished moving  $d_e$ ,  $\mathcal{M}_1$  moves it at most  $2^e$  more times, to put markers into  $V_1$ . Similarly, once each  $\mathcal{M}_i$  has moved  $d_e$  for the last time,  $\mathcal{M}_{i+1}$  may move it at most  $2^{e-i}$  more times. Hence we eventually reach a stage  $s_2$  after which  $d_e$  never is moved again. Possibly  $d_e^{s_2} \uparrow$ , but since C is infinite and every  $d_i$  with i < e has already converged to its limit, we know that  $d_e^t$  will be defined at some stage  $t > s_2$ . Since it never moves again, this yields  $d_e^t = \lim_s d_e^s$ .

**Lemma 3.5** For each e, the requirements  $\mathcal{N}_e$ ,  $\mathcal{P}_e$ ,  $\mathcal{Q}_e$ ,  $\mathcal{F}_e$ , and  $\mathcal{G}_e$  are all satisfied.

Proof. We proceed by induction on e. Assume the lemma holds for all i < e. We write  $\alpha$  for the pair coded by e, and prove first that  $\mathcal{N}_{\alpha}$  is satisfied. Suppose  $(B_{\alpha} \cap (S_{\alpha} - A_0)) \cup A_1 = (D_{\alpha} \cap (S_{\alpha} - A_0)) \cup A_1$  and  $B_{\alpha} \subseteq C$  and  $S_{\alpha} \sqcup \hat{S}_{\alpha} = C$ . Then  $F(\alpha)$  holds and  $Z_{\alpha}$  is infinite. The construction of  $T_{\alpha}$  then guarantees that  $\overline{C} \subseteq T_{\alpha}$ . Let  $G_{\alpha}$  be the intersection of all those  $V_i$  with  $i < \alpha$  such that  $V_i$  is infinite, and let  $\hat{T}_{\alpha} = T_{\alpha} \cap G_{\alpha}$ . Thus  $\overline{C} \subseteq \hat{T}_{\alpha}$ , since  $\overline{C} \subseteq V_i$  whenever  $V_i$  is infinite.

**Sublemma 3.6** For each  $\alpha$  and each  $n < \alpha$ , there are only finitely many  $x \in \hat{T}_{\alpha}$  such that  $\mathcal{M}_n$  ever wants to put x into  $A_0$ .

*Proof.* First, if  $V_n$  is finite, then  $\mathcal{M}_n$  will only want to put finitely many elements into  $A_0$ . So we may assume that  $V_n$  is infinite, and hence that  $\hat{T}_{\alpha} \subseteq V_n$ .

If  $\mathcal{M}_n$  wants to put x into  $A_0$  at stage s, then  $x \in C^s - A^s$ , so  $x = d_k^s$  for some k. Moreover, there must be an i with  $n < i \le k$  and a j > k such that  $\sigma(n, d_i^s, s) = \sigma(n, d_j^s, s)$  and  $d_i^s \notin V_n^s$  and  $d_j^s \in V_n^s$ . Furthermore,  $d_i$  is the leftmost marker which any  $\mathcal{M}$ -requirement wants to put into  $A_0$  at stage s, and n and j satisfy the minimality requirements of the construction.

Now if  $d_k^s \notin V_n^s$ , then  $d_k^s \notin V_n$ , since  $C \searrow V_n = \emptyset$ , and hence  $d_k^s \notin \hat{T}_\alpha$ . Therefore we may assume  $d_k^s \in V_n^s$ . (This guarantees  $k \neq i$ ). Then minimality of n forces  $\sigma(n, d_i^s, s) \geq \sigma(n, d_k^s, s)$ , and minimality of j forces  $\sigma(n, d_i^s, s) > \sigma(n, d_k^s, s)$  (since  $d_k^s \in V_n^s$ ). Hence there is some m < n such that  $\sigma(m, d_i^s, s) = \sigma(m, d_k^s, s)$  and  $d_i^s \in V_m^s$  and  $d_k^s \notin V_m^s$ . This forces  $d_i^s \in V_m$  and  $d_k^s \notin V_m$  (since  $d_k^s \in C^s - V_m^s$ ). If  $V_m$  is infinite, then  $d_k^s \notin \hat{T}_\alpha$ . But if  $V_m$  is finite, then  $d_i^s$  lies in the finite set

$$V = \bigcup \{V_m : m < n \& V_m \text{ finite}\}.$$

Hence we need only find a stage t so large that for every  $d \in V$ , either  $d \in A_0^t$  or  $\mathcal{M}_n$  wants to put d into  $A_0$  at stage t or  $\mathcal{M}_n$  never wants to put d into  $A_0$ . Then  $\mathcal{M}_n$  will never want to put into  $A_0$  any  $x > \max(C^t)$  with  $x \in \hat{T}_{\alpha}$ .

We will show that the conclusion of  $\mathcal{N}_{\alpha}$  holds for  $\hat{T}_{\alpha}$ :

$$(A_0 \cap S_\alpha \cap \hat{T}_\alpha) \cup A_1 =^* (B_\alpha \cap S_\alpha \cap \hat{T}_\alpha) \cup A_1.$$

Once we have established this for all  $\alpha$ , clearly  $R(A_0, A_1)$  itself must hold, since for each  $\alpha$  we can choose another  $\hat{T}_{\alpha}$  which excludes the (finite) difference set of the two sides and still contains  $\overline{C}$ .

Suppose first that  $x \in A_0 \cap S_\alpha \cap \hat{T}_\alpha$  and  $x \notin A_1$ , and assume that x is sufficiently large that:

- $x > |Z_{\beta}|$  for every  $\beta < \alpha$  such that  $Z_{\beta}$  is finite, and
- No  $\mathcal{F}_i$  with  $i < \alpha$  ever tries to put x into  $A_0$ , and
- No  $\mathcal{M}_i$  with  $i < \alpha$  ever tries to put x into  $A_0$ .

The last condition is possible by Sublemma 3.6. Notice also that the first condition forces  $x \notin T_{\beta}$  for all  $\beta < \alpha$  with  $|Z_{\beta}|$  finite.

Then for all s, either  $p(x,s) \geq \alpha$  or  $p(x,s) \uparrow$ . But since  $x \in A_0$ , we know that some  $p(x,s) \downarrow$ . For the least such s we have  $x \in C^s$ , and hence  $x \in T^s_\alpha$ , since  $C \cap T_\alpha \subseteq T_\alpha \searrow C$ .

Now  $\alpha$  satisfies conditions (a)-(c) in the construction at stage s, since  $F(\alpha)$  holds and  $x \in S_{\alpha}$ . So there must exist  $\beta = \langle i, j' \rangle \leq \alpha = \langle i, j \rangle$  which satisfies (a)-(d) at stage s.

We claim that this  $\beta$  satisfies conditions (a)-(d) at every stage after s as well. Since  $x \in T^s_\beta$ , we know that  $Z_\beta$  is infinite and  $F(\beta)$  holds, by choice of x. Hence (a) and (c) hold at all subsequent stages. Let t be the first stage at which q(x,t) converged. Then  $x \in C^t$ , and  $x \in T^t_\beta$  since  $C \searrow T_\beta = \emptyset$ . By the definition of q, we must have had  $x \in S^t_\beta \cup \hat{S}^t_\beta$ . But  $x \notin \hat{S}^s_\beta$  since (b) holds at stage s, and because s > t, this forces  $x \in S^t_\beta$ , so (b) always holds of  $\beta$ .

To show that (d) always holds of  $\beta$ , we choose an arbitrary  $\gamma < \beta$  witht he same first coordinate as  $\beta$ . Since  $\beta$  satisfies (d) at stage s,  $\gamma$  must fail one of (a)-(c) at stage s. If  $\gamma$  fails (a) or (b) at stage s, then clearly it fails that same consition at every subsequent stage. Moreover, if  $\gamma$  fails (c) at stage s, then  $x \notin T^s_{\gamma}$ , and since  $x \in C^s$ , this forces  $x \notin T_{\gamma}$ . Thus  $\beta$  will always satisfy condition (d).

But since  $x \in A_0$ , there must also be a stage s' with q(x,s') = -1. Since (a)-(d) continue to hold of  $\beta$ , the only way for  $q(x,s') < \beta$  to occur is for x to enter  $B_{\beta}$ . (Recall that for all s, either  $p(x,s) \geq \alpha$  or  $p(x,s) \uparrow$ .) But  $B_{\beta} = W_i = B_{\alpha}$  since  $\beta = \langle i, j' \rangle$  and  $\alpha = \langle i, j \rangle$ , so this forces  $x \in B_{\alpha}$ . Hence

$$(A_0 \cap S_\alpha \cap \hat{T}_\alpha) \cup A_1 \subseteq^* (B_\alpha \cap S_\alpha \cap \hat{T}_\alpha) \cup A_1.$$

Now suppose that  $x \in B_{\alpha} \cap S_{\alpha} \cap \hat{T}_{\alpha}$  and  $x \notin A_1$ , and assume x is greater than  $\max(d_0, \ldots d_{\alpha})$ , and also greater than the greatest finite  $|Z_{\beta}|$  with  $\beta < \alpha$ . (Thus  $x \notin T_{\beta}$  for all such  $\beta$ .) Now  $x \in C$  since  $S_{\alpha} \subseteq C$ , so at some stage  $s_0$ , x will enter C and be given a marker: say  $x = d_k^{s_0}$ . So  $x \in C^{s_0}$ , and since  $x \in T_{\alpha}$ , this forces  $x \in T_{\alpha}^{s_0}$ .

If  $x \notin A_0$ , then we must have  $x \in D_\alpha$ , since  $(B_\alpha \cap (S_\alpha - A_0)) \cup A_1 = (D_\alpha \cap (S_\alpha - A_0)) \cup A_1$  and  $x \notin A_1$ . (Notice that then x, being in C - A, eventually receives some permanent marker  $d_{k'}$ , with  $k' > \alpha$  by choice of x.) For x to have entered  $D_\alpha$ , there must have been a stage  $s_1 \geq s_0$  with  $q(x,s_1) = \gamma = \langle i,j' \rangle$ , where  $\alpha = \langle i,j \rangle$ . (Also, then  $p(x,s_1) \downarrow$ , and since  $x \notin A_1$ ,  $p(x,s) \downarrow$  for all  $s \geq s_1$ .) But  $\alpha$  satisfies conditions (a)-(c) at all stages  $s \geq s_0$ , so by condition (d) on  $\gamma$ , we must have  $\gamma \leq \alpha$ . The assumption  $x \notin A_0 \cup A_1$  then means that there is some  $s_2 > s_1$  such that  $q(x,s) \downarrow = q(x,s_2)$  for all  $s \geq s_2$ . Let  $\beta = q(x,s_2) \leq \gamma$ . Then  $x \in D_\beta - B_\beta$ , and furthermore  $\beta$  satisfies the conditions (a)-(d) at all stages  $s \geq s_2$ .

Now  $x \in T_{\beta}$ , to satisfy condition (c), so  $x < |Z_{\beta}|$  and  $\beta \le \gamma \le \alpha$ . If  $\beta = \alpha$ , then  $Z_{\beta}$  is infinite since  $F(\alpha)$  holds, and if  $\beta < \alpha$ , then  $Z_{\beta}$  must

be infinite, by our choice of x. Therefore  $F(\beta)$  holds, and in particular  $S_{\beta} \sqcup \hat{S}_{\beta} = C$ . Now  $x \notin \hat{S}_{\beta}$  by condition (b), so  $x \in S_{\beta}$ . However, with  $x \in D_{\beta} - B_{\beta}$ , this contradicts  $F(\beta)$ . Hence  $x \in A_0$ , and

$$(A_0 \cap S_\alpha \cap \hat{T}_\alpha) \cup A_1 \subseteq^* (B_\alpha \cap S_\alpha \cap \hat{T}_\alpha) \cup A_1.$$

This completes our proof that  $\mathcal{N}_{\alpha}$  is satisfied.

Now we continue with the other requirements. Let  $s_0$  be a stage such that no  $\mathcal{P}_i$ ,  $\mathcal{Q}_i$ ,  $\mathcal{F}_i$ , or  $\mathcal{G}_i$  with i < e tries to put any element into  $A_0$  or  $A_1$  at any stage after  $s_0$ . ( $\mathcal{F}_i$  is different from the other requirements in that it may try to put more than one element into  $A_0$ . It only stops trying when one of those elements succeeds in entering  $A_0$ . We choose  $s_0$  so that every element which  $\mathcal{F}_i$  wants to put into  $A_0$  either is in  $A^{s_0}$  or never enters A.) Assume also that  $s_0$  is sufficiently large that  $d_i^{s_0} = d_i$  for every  $i \leq e$ .

Now if  $W_e \searrow A$  is infinite, then there must be an x in some  $W_{e,s} - A^s$  with  $s > s_0$  and  $\{d_0, \ldots d_e\}$ . No requirement of higher priority will need to put this x anywhere, except possibly some  $\mathcal{M}_i$ , and according to our construction,  $\mathcal{G}_e$  does not respect the priority of the requirements  $\mathcal{M}_i$ , so  $x \in A_1^{s+1}$ , and  $\mathcal{G}_e$  is satisfied.

Similarly, if  $W_e$  is infinite, then there must be an x and an  $s > s_0$  such that  $x \in W_{e,s} - W_{e,s-1}$  and  $x \in C^{v(s)}$ , by prompt simplicity of C. If this x is not already in  $A^{v(s)-1}$ , then the construction puts it into  $A_1^{v(s)}$ , so  $\mathcal{P}_e$  holds. Also, there must be an x and an  $s > s_0$  with  $x \in W_{e,s} - W_{e,s-1}$  such that  $C^s \upharpoonright x \neq C^{w(s)} \upharpoonright x$ , by promptness of C. Thus there is a y < x which entered C at some stage t with  $s < t \leq w(s)$ . We must have  $y \notin A^{t-1}$  since  $A^{t-1} \subseteq C^{t-1}$ . But now  $y \notin \{d_0^t, \dots d_e^t\}$ , since these markers had reached their limits by stage  $s_0$  and y only entered C at stage t. Hence the construction will put this y into  $A_1^t$ , and  $A_1^{w(s)} \upharpoonright x \neq A_1^s \upharpoonright x$ , satisfying  $\mathcal{Q}_e$ .

Continuing with the induction, we need a sublemma to handle  $\mathcal{F}_e$ .

**Sublemma 3.7** For this e and for all sufficiently large x, if  $\mathcal{F}_e$  wants to put x into  $A_0$  at some stage, then  $x \in A_0$ .

*Proof.* Choose x so large that it satisfies all of the following:

- 1.  $x > \max\{|Z_{\beta}| : \beta \leq e \& Z_{\beta} \text{ is finite}\}.$
- 2. No  $\mathcal{F}_i$ ,  $\mathcal{G}_i$ ,  $\mathcal{P}_i$ , or  $\mathcal{Q}_i$  with i < e ever wants to put x into  $A_0$  or  $A_1$ .
- 3.  $x \notin \{d_0, \ldots d_e\}$ .

Suppose  $\mathcal{F}_e$  wants x to enter  $A_0$  at stage  $s_0$ . Then  $x=d_k^{s_0}$  for some k and  $p(x,s_0)\downarrow\leq e$ . Now no  $\mathcal{G}_j$ ,  $\mathcal{P}_j$ , or  $\mathcal{Q}_j$  with  $j\geq e$  ever manages to put x into  $A_1$ , since  $\mathcal{F}_e$  takes priority over these. (Since  $x\neq d_e$ , the only way to have  $k\leq e$  is for x eventually to enter  $A_0$ . Hence we may assume k>e.) Also, for every  $\beta< e$ , either  $x\notin T_\beta$  (if  $|Z_\beta|< x$ ) or  $F(\beta)$  holds (if  $Z_\beta$  is infinite). Hence there is an  $s_1\geq s_0$  such that  $q(x,s_1)\downarrow$  and  $q(x,s_1+1)\downarrow\leq e$ .

Now suppose  $q(x,s) = \beta$  for some  $s \geq s_1$  (so  $\beta \leq e$ ). If  $F(\beta)$  failed, then  $Z_{\beta}$  would have to be finite, so  $x \notin T_{\beta}$  (since  $|Z_{\beta}| < x$ ) and q(x,s) would never equal  $\beta$ . Therefore,  $F(\beta)$  must hold. Suppose  $x \notin A_0$ . If  $x \notin S_{\beta}$ , then  $x \in \hat{S}_{\beta}$  by  $F(\beta)$  and so  $q(x,s_{\beta}) < \beta$  for some  $s_{\beta} \geq s_1$ . Otherwise  $x \in D_{\beta} \cap (S_{\beta} - A_0) \subseteq B_{\beta}$  by  $F(\beta)$ , so  $x \in B_{\beta}^{s_{\beta}}$  for some  $s_{\beta} \geq s_1$ , and hence  $q(x,s_{\beta}) < \beta$ . Thus, by induction on  $\beta < e$ , eventually we must have q(x,s) = -1, and so  $x \in A_0^{s+1}$ , proving the sublemma.

Now if  $W_e \searrow A$  is infinite, then  $\mathcal{F}_e$  has infinitely many elements at its disposal to try to put into  $A_0$ . Hence once we find a sufficiently large  $x \in W_e \searrow A$ , we know by the sublemma that this x will eventually enter  $A_0$ , thus satisfying  $\mathcal{F}_e$ . This completes the induction of Lemma 3.5.

**Lemma 3.8** The requirements  $\mathcal{M}_e$  are all satisfied by our construction.

*Proof.* Suppose that  $\overline{C} \subseteq W_e$ . To prove that  $\mathcal{M}_e$  holds, we must show  $\overline{A} \subseteq^* W_e$ . By induction we assume that  $\mathcal{M}_i$  holds for all i < e. Let

$$\sigma = \{ i < e : \overline{C} \subseteq W_i \}.$$

Now if  $i \in \sigma$ , then also  $\overline{C} \subseteq V_i$ , so by inductive hypothesis  $\overline{A} \subseteq^* V_i$ , whereas if  $i \notin \sigma$  (and i < e), then  $V_i$  is finite. Hence for all but finitely many k we have  $\sigma(e, d_k) = \sigma$ .

Now let  $V_{\sigma} = V_e \cap (\bigcap \{V_i : i \in \sigma\})$ . Then  $\overline{C} \subseteq V_{\sigma}$ . But C, being promptly simple, is noncomputable, so  $V_{\sigma} \searrow C$  must be infinite. Choose y so large that no element  $\geq y$  can be held out of  $A_0$  forever by any requirement  $\mathcal{N}_{\alpha}$  with  $\alpha \leq e$ , and let  $s_0$  be a stage such that  $C^{s_0} \upharpoonright y = C \upharpoonright y$ .

Suppose for a contradiction that  $\overline{V_e} \cap (C-A)$  is infinite. Then there exists p such that  $d_p \notin V_e$  with p so large that  $d_p \notin C^{s_0}$  and with  $\sigma(e,d_q) = \sigma$ . (Hence  $d_p > y$ .) Let  $s_1$  be a stage with  $d_p^{s_1} = d_p$  and  $\sigma(e,d_p,s_1) = \sigma$ . Now since  $V_\sigma \searrow C$  is infinite, there will be a stage  $s > s_1$  at which some element  $x \in V_\sigma^{s-1}$  enters C, and is assigned the marker  $d_q^s$  (with q > p since  $d_p^{s_0} = d_p$ ). Moreover, we may assume that q is sufficiently large that not only is  $d_q^s$  in  $V_\sigma$ , but that  $\sigma(e,d_q^s,s) = \sigma$ , since every  $V_i$  with i < e and  $i \notin \sigma$  is finite. Since  $d_q^s \in V_\sigma \subseteq V_e$  and  $d_p \notin V_e$ ,  $\mathcal{M}_e$  will want to put  $d_p$  into  $A_0$ 

at stage s, and since  $d_p > y$ , no negative requirement will keep  $d_p$  out of  $A_0$ . Possibly  $d_p$  will be diverted into  $A_1$  by some requirement  $\mathcal{G}_j$ ,  $\mathcal{P}_j$ , or  $\mathcal{Q}_j$ , since these do not respect the priority of  $\mathcal{M}_e$ . If so, then  $d_p$  will enter  $A_1$ ; if not, then  $d_p$  will enter  $A_0$ . Either way,  $d_p$  enters A, contradicting our assumption that the marker  $d_p$  had reached its limit at stage  $s_0$ .

Hence  $\overline{V_e} \cap (C-A)$  is finite, and  $\overline{A} \subseteq (C-A) \cup \overline{C} \subseteq^* V_e \subseteq W_e$ . Thus  $\mathcal{M}_e$  is satisfied, and the lemma is proven.

Knowing that the requirements are all satisfied, we can easily complete the proof of the theorem. The construction ensured that  $A_0 \cap A_1 = \emptyset$ , and the conjunction of all the  $\mathcal{F}_i$  and  $\mathcal{G}_i$  implies that  $A_0 \sqcup A_1$  is a Friedberg splitting of A. (See pp. 181-182 of [16].) The requirements  $\mathcal{P}_i$  together make A a promptly simple set, by definition, and the  $\mathcal{Q}_i$  together allow  $A_1$  to satisfy the Promptly Simple Degree Theorem (Thm. XIII.1.6 of [16]), so that  $A_1$  is of prompt degree. To prove that  $R(A_0, A_1)$  holds, we note that the requirements  $\mathcal{M}_i$ , along with Lemma 3.4, show that  $A = A_0 \sqcup A_1$  is a major subset of C. Moreover, given a  $B = W_i$  and a pair  $(S_{j'}, \hat{S}_{j''})$  with  $S_{j'} \sqcup S_{j''} = C$ , we have the  $D_i$  and  $T_{\alpha}$  (with  $\alpha = \langle i, \langle j', j'' \rangle \rangle$ ) constructed above. If

$$(B_i \cap (S_{i'} - A_0)) \cup A_1 = (D_i \cap (S_{i'} - A_0)) \cup A_1,$$

then  $F(\alpha)$  holds. Since  $\mathcal{N}_{\alpha}$  is satisfied, we know that there exists a T with  $\overline{C} \subseteq T$  such that

$$(A_0 \cap S_{i'} \cap T) \cup A_1 =^* (B_i \cap S_{i'} \cap T) \cup A_1.$$

So we can pick a sufficiently large  $n_{\alpha}$ , and let

$$T' = \{x \in T : x \ge n_{\alpha}\} \cup \{x \in \overline{C} : x < n_{\alpha}\}.$$

Then  $\overline{C} \subseteq T'$  and also  $(A_0 \cap S_{j'} \cap T') \cup A_1 = (B_i \cap S_{j'} \cap T') \cup A_1$ , since  $S_{j'} \cap \overline{C} = \emptyset$ . Thus  $R(A_0, A_1)$  holds. Finally, since A is a major subset of the set C, A must be of high degree (see [10], page 214).

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